State of Iowa Unified Plan
Workforce Innovation & Opportunity Act

Disclaimer: This draft version of Iowa’s Unified State Plan is not to be considered final. All content herein is subject to change. Please note certain data elements and figures have been overwritten and will be replaced with current figures closer to the date of the submission of the final plan.

For ease of reference, WIOA language has been added into the document. Red, underlined text is used to denote WIOA language (including added guidance from DOL and related agencies).

Please note tables, figures, and much of the supporting data elements have been removed due to formatting requirements, but links to the data have been provided and a complete copy will be posted on the Iowa IWD - WIOA webpage.

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I. WIOA STATE PLAN TYPE

Unified or Combined State Plan. Select whether the State is submitting a Unified or Combined State Plan. At a minimum, a State must submit a Unified State Plan that covers the six core programs. Unified State Plan. This plan includes the Adult Program, Dislocated Worker Program, Youth Program, Wagner-Peyser Act Program, Adult Education and Family Literacy Act Program, and Vocational Rehabilitation Program.

The Unified Plan type was selected due to the fact that Iowa is already carrying out many workforce delivery system functions in alignment with Unified Plan guidelines. Steps will be taken in the future to transition to a Combined Plan. The shift to a Combined Plan is intended within the next five years (2020). Among the many considerations leading to the decision to use a Unified Plan format was that Iowa’s One-Stop Centers already include TANF/PROMISE JOBS, Community Colleges and other key partners under formal agreements. Making an eventual smooth transition to a Combined Plan has been an important part of planning efforts in Iowa. Therefore, there is evidence of intentional inclusion of partners outside the required Core Partners in many aspects of the Unified Plan.

Iowa began planning efforts for the Workforce Innovation and Opportunity Act of 2014 (WIOA) in early 2015 with the formation of a WIOA Steering Committee. This committee included representation from the Governor’s Office and all Core Partners and was formed to oversee the key aspects of WIOA planning in Iowa. This group was tasked with developing a strategy to ensure effective communications were provided to stakeholders, creating a timeline for completion of the Unified State Plan, and establishing additional work groups to carry out WIOA planning. The Steering Committee has continued to be an integral part of WIOA planning and implementation efforts in Iowa.

The Core Partners have continued to work together to further develop and improve Iowa’s workforce delivery system, and to identify other key programs which are critical to Iowa’s workforce delivery system.

Working Groups

The Steering Committee designated the following work groups to carry out WIOA planning efforts:

Communication and Governance

Key Accomplishments

- Establishment of initial working groups
- Development of communication strategy
- Identification of key partners in Iowa
- Nurturing of shared vision and cross-agency collaboration
- Oversight and accountability for Unified State Plan

Current State Mapping
Key Accomplishments

- Establishment of the citizens/customers as central focus of WIOA implementation
- Performance Measures Matrix – Current strengths and gaps in performance measure requirements
- WIOA vs Current State Matrix – Current strengths and gaps in program requirements
- Referral Matrix – Current referral relationships
  - Key Elements Matrix
  - Actionable items leading towards future

Data and Performance

Key Accomplishments

- Identification and listing of requirements in section 116 of WIOA
- Identification of questions and concerns based on requirements for tracking
- Data Issues Identified
- Develop A plan for using a shared system among partners was developed

Financial

Key Accomplishments

- Discussion of and identification of barriers to cost-sharing

Career Pathway and Independent Living

Key Accomplishments

- Recommended the following:
  - Statewide definitions of “Career Pathway” and “Sector Partnership”
  - Training and cross-training opportunities and system integration strategies
  - Close coordination with the Iowa Talent Pipeline team

Unified State Plan

Key Accomplishments

- Outline for developing and writing the Unified State Plan
- Development of criteria for reorganized working groups/representatives
- Writing of the Unified State Plan

Work groups made significant progress and were able to come together to resolve conflicts, improve processes and meet project goals, but by June, 2015 most of the originally assigned work had been completed.

In July, 2015 a WIOA Implementation team was designated to review progress on the Unified State Planning process which included conducting an evaluation of the original work groups.
The team found that the work groups had completed assigned tasks and that evolving WIOA planning objectives warranted the reorganization of the work groups. To meet the changing needs of the Unified State Plan process, the recommendation to reorganize the work groups was brought before the State Board by the Steering Committee and following the Board’s approval in August, the groups were restructured.

The workgroups were established using the following guidelines:

- Roles and expectations were made clear,
- A communication strategy was developed,
- Trust was established,
- A timeline for completion was created,
- A WIOA organizational structure was implemented,
- Imbalances of power were squarely addressed,
- Core Partners held each other accountable,
- Appropriate follow through and participation was expected,
- Many partners were brought to the table to provide input,
- Original plans were reviewed and updated to reflect current objectives and
- Each person was encouraged to draw on their personal talents and expertise.

In August, 2015 the reorganized work groups were tasked with new assignments appropriate to the changing needs of the planning process. The resulting working groups were established along with the following goals and action items.

**Governance and Communication**

**Primary Goals:**

- Determine local area designation
- Revise board membership requirements
- Identify One-Stop Operators
- Craft One-Stop certification standards
- Nurture a collaborative and contributory environment among core partners and key stakeholders
- Communicate effectively to stakeholders

**Action Items:**

- Define roles of state and local board members
- Provide technical assistance and training on board development
- Develop recommendations and implement approved plans for legislative awareness, education and advocacy activities
- Develop process for identification and dissemination of best practices
- Provide oversight/communication of work plans, timelines and progress of work groups

**Planning, Implementation and Policy**
Primary Goals:

- Create a state plan blueprint
- Identify regional planning areas
- Drive continual improvement plan for One-Stop System and WIOA system
- Establish local board certification process

Action Items:

- Revise and implement local workforce development board certification requirements
- Assess and evaluate existing WIA policies and make recommendations for improving overall processes, policies and procedures in light of WIOA
- Develop policies and guidance to facilitate integrated service delivery
- Develop cost-allocation methodology to address funding of the One-Stop infrastructure
- Establish guidance for regional/local plan development
- Determine needed and required financial and monitoring policies
- Clarify and identify legislative requirements, and identify requirements currently being met and those needing action
- Develop a timeline/implementation schedule
- Develop a parking lot of potential future lean work to improve customer service experience and drive further integration

Performance Accountability and Data Sharing

Primary Goals:

- Implement new performance accountability measures
- Refine the Educational Training Provider application and approval process
- Develop recommendations for additional measures
- Decide on a system for use as the core partner data base registration and data reporting management system

Action Items:

- Review new performance measures and identify potential issues, including recording and reporting information
- Analyze current state of reporting requirements, identify gaps, efficiency opportunities needed indicators of performance
- Develop a matrix of required data and reporting requirements and coordinate with State leadership to identify additional and shared performance requirements across agencies
- Develop IT scope of work guidelines for data sharing to successfully implement new data and reporting requirements, including the integration additional program data (i.e. apprenticeship data, iJAG data, STEM credential data, etc.) into longitudinal data coordination efforts
• Make recommendations regarding effective dissemination of performance data to stakeholders, the public, workforce customers, and program managers
• Coordinate with the NGA Talent Pipeline team
• Negotiate levels of performance/adjustment factors

**One-Stop Operations and System Design**

Primary Goals:

• Define roles and responsibilities for state and local partnerships
• Enhance One-Stop partner coordination
• Align resources and expand system capacity

Action Items:

• Identify clear roles and responsibilities of the various One-Stop partners with an emphasis on the One-Stop Operator and center staff
• Develop uniform assessment tools and referral processes to ensure customers are referred to the appropriate services in a prompt manner
• Develop processes and procedures to ensure proper and consistent referral to and from IVRS and IDB to enhance the pathway to competitive employment for persons with disabilities
• Develop procedures for common intake/application and referral for persons enrolled in Adult Education and those with barriers to employment
• Develop a model for service delivery that ensures the local One-Stop Operator controls and manages the flow of services to customers
• Improve the use of technology in the One-Stop Centers
• Ensure that appropriate performance metrics are established to inform service delivery and to support the seamless, coordinated delivery of services by all One-Stop partners
• Identify best practices for implementing work-based learning training methods, including championing apprenticeships
• Develop and expand business services outreach
• Develop processes that ensure customers with significant barriers have many opportunities to achieve competitive employment and advance rewarding careers
• Implement communication tools to be used to quickly identify local area challenges
• Develop accessibility checklist to be applied consistently throughout the state.
• Determine staff training needs and opportunities during WIOA transition
• Develop stakeholder coordination and collaboration promising practices document to highlight examples of excellence across the state

**Career Pathways and Industry Partnerships**

Primary Goals:

• Focus literacy and training programs on Career Pathways
• Enhance services to employers and better identify and integrate demand-side/employer requirements into the education and workforce systems by developing a robust, employer-led sector strategies ecosystem in the state
• Integrate apprenticeship programs and other work-based learning opportunities into the workforce development system

Action Items:

• Adopt common definition for Career Pathways that aligns with the WIOA definition and provide technical assistance in the development of new career pathway programs
• Review state policies, procedures and training investments to support the development of new career pathways
• Adopt a common definition of Sector Strategies that aligns with WIOA and provide technical assistance in the development of sector strategies
• Define “high-quality industry partnership” and provide workforce partners with technical assistance and training in the development of partnerships, including supporting employer-led sector strategy implementation
• Review state policies, procedures and training investments to support industry-led development of sector strategies
• Recommend guidelines for incumbent worker training
• Recommend planning process to ensure enhanced industry engagement and co-investment or resource leveraging
• Continue the state effort in developing a list of industry-valued credentials
• Identify resources and collaborate to increase the number of sector partnerships statewide

Services to Youth

Primary Goals:

• Expand system capacity
• Develop goals for providing services to 75 percent out-of-school youth
• Improve work-based youth activities

Action Items:

• Develop strategies for connecting with, and serving, out-of-school youth
• Identify promising practices being used to serve out-of-school youth
• Improve work-based learning opportunities for youth
• Build partnerships with a wide range of organizations
• Create strategies for delivering services for additional youth program requirements
• Provide workforce partners with technical assistance and training in the outreach, training and retention of out-of-school youth
• Identify strategies to provide activities to meet additional services to include financial literacy, entrepreneurship, and career development
II. STRATEGIC ELEMENTS

The Unified or Combined State Plan must include a Strategic Planning Elements section that analyzes the State’s current economic environment and identifies the State’s overall vision for its workforce development system. The required elements in this section allow the State to develop data-driven goals for preparing an educated and skilled workforce and to identify successful strategies for aligning workforce development programs to support economic growth. Unless otherwise noted, all Strategic Planning Elements apply to Combined State Plan partner programs included in the plan as well as to core programs.

Following the Great Recession of 2007-09, job losses created economic hardship. Poverty rates increased, homes were lost due to foreclosure, debts accumulated and bankruptcy filings reached historic levels. The impacts were felt by individuals, families, businesses and communities throughout the State of Iowa.

Governor Terry Branstad and Lieutenant Governor Kim Reynolds visited each of Iowa’s 99 counties pledging their commitment to restore economic stability, improve fiscal sustainability and promote policies aimed at preparing Iowa’s workforce for participation in an advanced economy. In January of 2011, Governor Branstad issued a series of Executive Orders outlining an ambitious plan designed to meet the state’s goals to restore and strengthen Iowa’s economic prosperity. In the first year following implementation of Governor Branstad’s plan, more than ___ jobs were created and Iowa has continued to experience consistent job growth since that time. A total of ___ jobs – an increase of ___ – have been added since February 2010. In the past 12 months Iowa businesses have added ___ net jobs, attesting to Iowa’s economic progress.

Since 2011, Governor Branstad and state leaders, including Iowa legislatures, have developed a number of innovative and effective workforce development and job training programs and have supported the programs with dedicated funding.

State leaders applaud the progress of the past five years, but recognize that there is still much work to be done to continue to empower all Iowans with rewarding careers and provide employers with the skilled workforce they need to grow and innovate. The Workforce Innovation and Opportunity Act (WIOA) of 2014 helps clarify strengths and improvement opportunities within the current workforce development system and provides a structure and guidance for the ongoing development of key workforce delivery programs in Iowa.

In 2012, the Iowa Partnership for Economic Progress Board (IPEP) was created by Executive Order and was charged with the “study and recommendation of solutions and policy alternatives for issues arising in the area of economic development”. The mandate of IPEP is to continuously identify and study economic growth issues facing Iowa and recommend solutions and policy alternatives.

In a 2014 Battelle Technology Partnership Practice report commissioned by IPEP, a key finding was Iowa’s substantial economic progress in recent years. Iowa has outpaced the nation in both
GDP gains and total job gains from 2007 to 2013, and now exceeds its pre-recession levels in both economic output and total employment.

The Iowa economy continued to improve in 2014. Most indices reflected expansion and confidence in the economic climate. New vehicle registrations advanced slightly and despite softening of the agricultural economy, early forecasts suggest economic growth with most sectors expected to add jobs or maintain current levels through the end of 2015. Iowa’s strengthening economy has underscored new challenges requiring swift and innovative solutions.

One of the most significant challenges Iowa faces is a shortage of qualified workers to fill middle-skill jobs. Substantial disparities exist between the number of workers able to compete for middle-skill jobs and those struggling to find low-skill jobs. In Iowa, 38% of available workers possess low-skills, while workers with middle-skills represent 33% of the workforce. Only 12% of available jobs seek workers with low skill-sets while numerous middle-skill jobs remain unfilled. In fact, 56% of jobs require at least mid-level skills – or some training beyond high school.

By 2018, it is predicted that three out of five Iowa jobs (62%, up from 56%) are expected to require some training beyond high school.

Iowa’s plan for achieving success in workforce development systems improvement to meet the needs of tomorrow’s employers relies upon employer-driven policies, strong employer supports and industry-focused solutions. In order to meet the needs of businesses, Iowa Workforce Development utilizes a variety of assessment tools to analyze current labor market conditions and to predict future trends.

The Labor Market and Information Division (LMI) of Iowa Workforce Development (IWD) is tasked with collecting, analyzing, and disseminating a wide array of labor market data and publications. Among them are employment, industry and occupational statistics, wages, projections, trends and other workforce characteristics. This information is reported for the State of Iowa as well as for each local region across the state.

It is the mission of the Division to produce and deliver information in a reliable and timely manner in order to inform data-driven decisions for business, career, educational programming and economic development. The LMI Division works in cooperation with the United States Department of Labor’s Bureau of Labor Statistics (BLS) and Employment and Training Administration (ETA) along with the United States Census Bureau. The Division also works closely with local economic development and education professionals to provide custom workforce data.

Steady incremental growth describes the current occupational projections outlook. Iowa’s occupational employment is expected to increase by ____% annually. The total growth for all occupations during this period is projected to reach ____ jobs with net job growth being broad-based across many major occupational groups. Service jobs are generally expected to outperform goods-producing jobs.
Major occupational groups expected to grow faster than the state annual average are business and financial; computer and mathematical; community and social service; legal; arts, design, entertainment, sports, and media; healthcare practitioner; healthcare support; building and grounds maintenance; personal care; construction; installation, maintenance, and repair; transportation and material moving.

Several occupations are expected to grow less than the state average and those include management; architecture and engineering; life, physical, and social science; education; protective service; food prep and serving; sales; office and administrative; farming, fishing, and forestry; and production major occupational groups.

(a) ECONOMIC, WORKFORCE, AND WORKFORCE DEVELOPMENT ACTIVITIES ANALYSIS. THE UNIFIED OR COMBINED STATE PLAN MUST INCLUDE AN ANALYSIS OF THE ECONOMIC CONDITIONS, ECONOMIC DEVELOPMENT STRATEGIES, AND LABOR MARKET IN WHICH THE STATE’S WORKFORCE SYSTEM AND PROGRAMS WILL OPERATE.

(1) ECONOMIC AND WORKFORCE ANALYSIS

(A) ECONOMIC ANALYSIS. THE UNIFIED OR COMBINED STATE PLAN MUST INCLUDE AN ANALYSIS OF THE ECONOMIC CONDITIONS AND TRENDS IN THE STATE, INCLUDING SUB-STATE REGIONS AND ANY SPECIFIC ECONOMIC AREAS IDENTIFIED BY THE STATE. THIS INCLUDES:

(i) EXISTING DEMAND INDUSTRY SECTORS AND OCCUPATIONS. PROVIDE AN ANALYSIS OF THE INDUSTRIES AND OCCUPATIONS FOR WHICH THERE IS EXISTING DEMAND.

(ii) EMERGING DEMAND INDUSTRY SECTORS AND OCCUPATIONS. PROVIDE AN ANALYSIS OF THE INDUSTRIES AND OCCUPATIONS FOR WHICH DEMAND IS EMERGING.

(iii) EMPLOYERS’ EMPLOYMENT NEEDS. WITH REGARD TO THE INDUSTRY SECTORS AND OCCUPATIONS IDENTIFIED IN (A)(i) AND (ii), PROVIDE AN ASSESSMENT OF THE EMPLOYMENT NEEDS OF EMPLOYERS, INCLUDING A DESCRIPTION OF THE KNOWLEDGE, SKILLS, AND ABILITIES REQUIRED, INCLUDING CREDENTIALS AND LICENSES.

A comprehensive economic analysis is included as Attachment A, Battelle Report.

Shifting Demographic Patterns

- Greater diversity
- Millennials to take the place of retiring Baby Boomers
- Aging population
- Technological Change Set to Accelerate
- Smart machines to take over routine manufacturing and service jobs
- Globalization will be More Extensive
□ U.S. and Europe will no longer hold a monopoly on job creation, innovation and political power

_Labor Market Trends_

□ Iowa is expected to have an increase of 13% in occupational employment by 2020.
□ 231,680 jobs will be added over the ten-year period.
□ 64,525 annual openings will be generated over the projection period.
□ 63 percent of the annual job openings will be due to replacement needs

_Desired Employability Skills_

□ Communications Skills (listening, verbal and written)
□ Analytical/Research Skills
□ Computer/Technical Literacy
□ Flexibility/Adaptability/Multi-Tasking
□ Interpersonal Abilities
□ Leadership/Management Skills
□ Multicultural Sensitivity/Awareness
□ Planning/Organizing
□ Problem Solving/Reasoning/Creativity
□ Teamwork

(B) **WORKFORCE ANALYSIS. THE UNIFIED OR COMBINED STATE PLAN MUST INCLUDE AN ANALYSIS OF THE CURRENT WORKFORCE, INCLUDING INDIVIDUALS WITH BARRIERS TO EMPLOYMENT, AS DEFINED IN SECTION 3 OF WIOA.** This population must include individuals with disabilities among other groups in the State and across regions identified by the State. This includes:

(i) **EMPLOYMENT AND UNEMPLOYMENT. PROVIDE AN ANALYSIS OF CURRENT EMPLOYMENT AND UNEMPLOYMENT DATA AND TRENDS IN THE STATE.**

(ii) **LABOR MARKET TRENDS. PROVIDE AN ANALYSIS OF KEY LABOR MARKET TRENDS, INCLUDING ACROSS EXISTING INDUSTRIES AND OCCUPATIONS.**

(iii) **EDUCATION AND SKILL LEVELS OF THE WORKFORCE. PROVIDE AN ANALYSIS OF THE EDUCATIONAL AND SKILL LEVELS OF THE WORKFORCE.**

(iv) **DESCRIBE APPARENT ‘SKILL GAPS’.**

A comprehensive needs assessment is included as Attachments’ B-C, 2014 Needs Assessment, Iowa’s Workforce and the Economy.

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1 Individuals with barriers to employment include displaced homemakers; low-income individuals; Indians, Alaska Natives, and Native Hawaiians; individuals with disabilities, including youth who are individuals with disabilities; older individuals; ex-offenders; homeless individuals, or homeless children and youths; youth who are in or have aged out of the foster care system; individuals who are English language learners, individuals who have low levels of literacy, and individuals facing substantial cultural barriers; farmworkers (as defined at section 167(i) of WIOA and Training and Employment Guidance Letter No. 35-14); individuals within 2 years of exhausting lifetime eligibility under the Temporary Assistance for Needy Families program; single parents (including single pregnant women); and long-term unemployed individuals.

2 Veterans, unemployed workers, and youth, and others that the State may identify.
Populations with Barriers to Employment include:

- Displaced homemakers;
- Low-income individuals;
- American Indians, Alaska Natives, and Native Hawaiians, as such terms are defined in section 166 of WIOA;
- Individuals with disabilities, including youth who are individuals with disabilities;
- Older individuals (55+);
- Ex-offenders;
- Homeless individuals (as defined in section 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e–2(6)), homeless children and youths (as defined in section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), or runaway youth;
- Youth who are in or have aged out of the foster care system;
- Individuals who are English language learners, individuals who have low levels of literacy, and individuals facing substantial cultural barriers;
- Eligible migrant and seasonal farmworkers, as defined in section 167(i) of WIOA;
- Individuals within two years of exhausting lifetime eligibility under TANF (part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.));
- Single parents (including single pregnant women);
- Long-term unemployed individuals (27 or more consecutive weeks); and
- Such other groups, as the Governor determines to have barriers to employment.

Barriers in Addition to the above may Exist for The WIOA Youth Program

- A youth who is out of school and does not have a high school diploma or equivalent.
- A youth who is within the age of compulsory school attendance, but has not attended school for at least the most recent complete school year calendar quarter.
- A recipient of a secondary school diploma or its recognized equivalent who is a low-income individual and is basic skills deficient.
- Youth living in a high-poverty area, who requires additional assistance to enter or complete an educational program or to secure or hold employment.

Requires Additional Assistance

For youth who require additional assistance to complete an educational program or to secure and hold employment, the State has defined “requires additional assistance” to include:

- Migrant Youth
- Incarcerated Parent
- Behavior Problems at School
- Family Literacy Problems
- Domestic Violence
- Substance Abuse
- Chronic Health Conditions
- One or more grade levels below appropriate for age
Cultural Barriers that may be a Hindrance to Employment
- American Indian, Alaska Native or Native Hawaiian
- Refugee

(1) Workforce Development, Education and Training Activities Analysis. The Unified or Combined State Plan must include an analysis of the workforce development activities, including education and training in the State, to address the education and skill needs of the workforce, as identified in (a)(1)(b)(iii) above, and the employment needs of employers, as identified in (a)(1)(a)(iii) above. This must include an analysis of—

(A) The State’s Workforce Development Activities. Provide an analysis of the State’s workforce development activities, including education and training activities of the core programs, combined state plan partner programs included in this plan, and mandatory and optional one-stop delivery system partners.

Iowa boasts an array of programs and services dedicated to serving the needs of workforce stakeholders. The following section highlights the many contributors to Iowa’s workforce delivery system.

Iowa Workforce Development

Iowa Workforce Development contributes to the economic security of Iowa’s workers, businesses and communities through a comprehensive statewide system of employment services, education and regulation of health, safety and employment laws.

The agency continually strives to improve processes and align the organization in such a way to provide effective, demand driven products and services. Iowa Workforce Development’s administrative, labor services, workers’ compensation, labor market information, and the unemployment insurance service staff are located in Des Moines. Additionally, the agency maintains a statewide delivery system of 16 regional IowaWORKS Centers, 4 satellite IowaWORKS offices and web-based portals serving all 99 counties.

Through a comprehensive Web site, Iowa Workforce Development provides customers access to major services such as posting résumés; access to a statewide job bank; labor services information, unemployment claim information and filing options; and labor market information, 24 hours a day, seven days a week.

The Labor Services Division is responsible for the administration of state and federal statutes related to public health, safety and workplace issues. Iowa’s Occupational Safety and Health Act administration is located in the department. The Division’s emphasis is on voluntary compliance through education and preventive services. The Division continues to implement the vision of creating a “culture of safety” throughout Iowa’s labor force.

The Communications and Labor Market Information Division oversees the development and dissemination of workforce and economic related information. A large portion of the
information is produced in cooperation with the Bureau of Labor Statistics and the Employment and Training Administration of the U.S. Department of Labor.

The Unemployment Insurance Division collects UI tax payments, processes benefits, and conducts quality control and fraud detection. In 2010, the UI Division updated the tax collection system to create a streamlined, electronic system for the benefit of all users.

The Workers’ Compensation Division performs three core functions: adjudicating disputed workers’ compensation claims, enforcing compliance standards and educating Iowans about workers’ compensation law and procedures. Iowa’s Workers’ Compensation Commissioner, oversees this division of Iowa Workforce Development. The Division is working on the development of a new system that will create a truly electronic and paperless system for Iowa.

The Workforce Services Division provides a wealth of information, services and resources to Iowans, businesses, and partners across the state. The Division administers the programs and services through physical offices and web-based portals across the state in 15 local regions. The Division’s primary functions include employment services, business services, training resources and targeted population activities.

Iowa Workforce Development continually works to improve all aspects of Iowa’s workforce delivery system. To achieve that goal, IWD utilizes a variety of partnerships and initiatives supported by the following programs:

<table>
<thead>
<tr>
<th>Employment Services, Wagner-Peyser</th>
<th>Target Population: General</th>
</tr>
</thead>
<tbody>
<tr>
<td>The purpose of these federal and state programs is to assist individuals with finding jobs, help employers to find workers, and to provide economic protection for unemployed individuals. Employer services include local, state, and nationwide job listing, testing, and referral of qualified workers. Job seeker services include orientation, counseling, job search assistance, job-matching, access to job listings (local state-wide, and via the Internet), and services referral(s).</td>
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<table>
<thead>
<tr>
<th>Workforce Innovation &amp; Opportunity Act Adult Program</th>
<th>Target Population: General</th>
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<tbody>
<tr>
<td>The Adult training program is designed to prepare adults for participation in the labor force by increasing their occupational and educational skills, resulting in improved attainment of self-sustaining employment. Low-income adults who have limited skills, lack work experience, and face other barriers to economic success are primary beneficiaries of this program.</td>
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<thead>
<tr>
<th>Workforce Innovation &amp; Opportunity Dislocated Worker Program</th>
<th>Target Population: Dislocated Workers</th>
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<tbody>
<tr>
<td>The Dislocated Workers program provides retraining and re-employment services to individuals who have been dislocated from their jobs, and to displaced homemakers. Job seekers have access to computerized resource centers for career exploration, resume preparation, skills assessment and testing, job search, on-line work registration, and on-line unemployment insurance claims filing. Job seekers also have access to a variety of skill building workshops, job search assistance curriculum and individualized career services.</td>
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</tr>
</tbody>
</table>
The Program Year 2014 (PY14) Dislocated Worker Entered Employment rate was 69.1%. The target rate for program year 2014 was 70% - within the minimum target rate of 80% of the negotiated goal of 56%. Dislocated Worker Entered Employment accounts for individuals who were not employed at date of participation, the percent employed in the 1st quarter after exit quarter.

<table>
<thead>
<tr>
<th>Workforce Innovation &amp; Opportunity Act Youth Program</th>
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<tr>
<td><strong>Target Population:</strong> Youth with at least one identified barrier to employment</td>
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<tr>
<td>The Youth training program is designed to improve the long-term employability of youth, enhance the educational, occupational and citizenship skills of youth, encourage school completion or enrollment in alternative school programs, increase the employment and earnings, reduce welfare dependency, and assist youth to make a successful transition from school to work, apprenticeship, the military, or post-secondary education and training. WIOA supports an integrated service delivery system and gives a framework through which states and local areas can leverage other Federal, State, Local, and philanthropic resources to support in-school and out-of-school youth. High quality services for youth and young adults beginning with career exploration and guidance, continued support for educational attainment, opportunities for skills training in in-demand industries and occupations, and culminating with a good job along a career pathway or enrollment in post-secondary education.</td>
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<tr>
<th>Promoting Independence &amp; Self-Sufficiency through Employment, Job Opportunities, and Basic Skills (PROMISE JOBS)</th>
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<tbody>
<tr>
<td><strong>Target Population:</strong> Family Investment Program (FIP) Participants</td>
</tr>
<tr>
<td>Provides employment &amp; training services that include supportive services to address barriers to employment and work readiness services to increase opportunities for employment outcomes. This includes overarching activities such as education, certification, training, job search assistance, and employment.</td>
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<thead>
<tr>
<th>Veteran Employment and Training Services – VETS</th>
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<tbody>
<tr>
<td><strong>Target Population:</strong> Veterans &amp; Eligible Spouses</td>
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<tr>
<td>VETS creates opportunities for all veterans to obtain meaningful and successful careers through provision of resources and expertise that maximize employment opportunities and protect veterans’ employment rights. Services include intensive employment and training.</td>
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<tr>
<th>Rapid Response</th>
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<tbody>
<tr>
<td><strong>Target Population:</strong> Workers who are displaced due to a lack of work through no fault of their own from firms hurt by foreign trade, as certified by the U.S. Department of Labor (USDOL) – Office of Trade Adjustment Assistance (OTAA).</td>
</tr>
<tr>
<td>Rapid Response is a proactive, business-focused, and flexible strategy designed for two major purposes: to help growing companies access an available pool of skilled workers from other companies that are downsizing or who have been trained in the skills Iowa companies need to be competitive and to respond to layoffs and plant closings by quickly coordinating services and providing immediate aid to companies and their affected workers.</td>
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<tr>
<th>Trade Act Assistance</th>
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<tbody>
<tr>
<td><strong>Target Population:</strong> Workers who are displaced due to a lack of work through no fault of their own from firms hurt by foreign trade, as certified by the U.S. Department of Labor (USDOL)</td>
</tr>
<tr>
<td>This federally funded employment and training program provides benefits to individuals displaced from their jobs due to foreign competition and jobs that are lost because the work activity was either moved out of the U.S. or as a direct result of foreign imports. IWD staff conduct informational meetings for the workers of the closing organizations and coordinate apprenticeship efforts and the classroom training benefit which involves individual class schedules, tuition payment, and student reimbursements for travel, childcare, books, and other approved costs.</td>
</tr>
</tbody>
</table>
National Dislocated Worker Grants, Department of Labor
Target Population: Dislocated Workers

Job Driven National Dislocated Worker Grant, Department of Labor
Target Population: Dislocated Workers

The Iowa Jobs Driven NEG provides Registered Apprenticeship Training for the following construction occupations:
- Plumbers/Pipefitters/Electricians
- Welders/Sheet Metal Workers
- Laborers/Construction Craft Laborers
- Ironworkers/Structural Steel Workers
- Steel Workers for Engineered Systems
- Carpenters/Cement Masons
- Operating Engineers
- Insulators/Insulation Workers
- Millwrights/Line Workers
- Power Line Distribution Erectors
- Glaziers
- Bricklayers/Brick Masons
- Roofers/Floor Layers
- Pipefitter/Sprinkler Fitters
- HVAC Installers/Servicers/Technicians
- Instrumentation/Control Systems Mechanic
- Drywall Installers/Drywall Applicators
- Painters
- Electronic Systems Technicians
- Instrumentation Mechanics
- Insulation Workers
- Building Maintenance Repairers
- Commercial Interior Specialists
- Data Communications Installers
- Metal Building Assemblers
- Plasterers
- Protective Signal Installers

Sector Partnership National Dislocated Worker Grant, Department of Labor
Target Population: Dislocated Workers

The Re-Employing Rural America Grant provides support for the development and coordination of sector partnerships in regions impacted by the Avian Flu epidemic. Eligible workers can receive training, education, and participate in work-based learning opportunities that increase marketable skills and improve employment outlook. The three primary sectors which are targeted include:
- Advanced Manufacturing, Healthcare & Transportation and Logistics

Migrant & Seasonal Farm Worker (MSFW) Program
Target Population: Migrant & Seasonal Farm Workers

The Migrant & Seasonal Farm Worker (MSFW) outreach program is focused on educating and assisting Agriculture based employers and the farm workers they employ with employment services to address the employer’s hiring needs and the job seeker’s knowledge and skill base to explore permanent employment opportunities. Many migrant farm workers travel to Iowa each year to help with the cultivating and harvesting of several crops in specific regions of the state. Other workers come in search of longer-term, higher-wage jobs in non-agricultural industries. The vast majority of the migrant and seasonal workers coming to Iowa are Hispanic; therefore all of the Outreach Specialists and some of the staff in our local Workforce offices are proficient in English and Spanish.

Work Opportunity Tax Credit (WOTC)
Target Population: All Workforce Stakeholders

Federal tax credit available to employers for hiring individuals from certain target groups who have consistently faced significant barriers to employment. One of several workforce programs that incentivize workplace diversity and facilitate access to good jobs for American workers. WOTC provides an incentive to employers to hire workers who traditionally have identified barriers to employment. In Iowa more than 52,000 workers are hired annually with the assistance of the employer tax credit assistance. It also reduces the burden on employers who hire workers facing barriers to employment.

Federal Bonding Program
Target Population: Businesses
Other IWD initiatives supporting employment include Home Base Iowa (HBI), target population: Veterans, Eligible Spouses and dependents. Home Base Iowa assists veterans and transitioning service members in finding positions at Iowa companies, pursuing continued education, and/or continuing service through the Guard or Reserves.

Extended Training Benefits, a component of the workforce effort, provides individuals receiving unemployment insurance with the opportunity to receive an additional 26 weeks of benefits while they are enrolled in a department approved training program that leads to a career in a high-demand/high-growth occupation. The community colleges across Iowa are also working with the department to reach out to unemployed Iowans and promote retraining programs in the local area.

ACT’s WorkKeys National Career Readiness Certificate is a universal testing system that rates the skills and abilities of students and those in Iowa’s workforce. To earn a certificate, an individual must take assessments in applied mathematics, locating information, and reading for information. Based on scores, individuals can earn one of four levels of certification, each aligned with specific jobs in the WorkKeys database.

Ex-Offender Initiative: Iowa Workforce Development, in partnership with the Iowa Department of Corrections, has implemented the Ex-Offender Initiative in three Iowa correctional facilities across Iowa. Four Re-Entry Advisors are employed and funded through a legislative appropriation. The staff assigned to this initiative network with employers to address the barriers they may have in hiring ex-offenders and coordinate offender participation in

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**Foreign Labor Certification (FLC)\(^*\)**

**Target Population:** Businesses

The Immigration and Nationality Act requires employers first receive a labor certification from the U.S. Department of Labor before hiring a foreign worker. The Secretary of Labor must make two findings as part of the certification:

- At the time the application was submitted sufficient qualified U.S. workers cannot be found in the area of intended employment that are available, willing and able to fill the position offered to the foreign worker.
- The employment of foreign workers will not adversely affect the wages and working conditions of similarly employed U.S. workers.

IWD handles job orders through IowaWORKS Centers and completes housing inspections for H2A placements.

There are three types of Foreign Labor Certification Job Orders commonly posted:

- **H2A job orders** are agricultural in nature.
- **H2B job orders** are non-agricultural and temporary in nature, and positions such as landscapers.
- **H1B/PERM job orders** are for professional, skilled positions such as doctors and educators.
- **H2B job orders** are non-agricultural and temporary in nature, and include positions such as landscapers.

The Federal Bonding Program benefits an employer by providing fidelity bond insurance in situations where the employer chooses to hire someone thought to be high risk. Bonding coverage is provided at no cost to the employer or the job seeker. The bond coverage starts the day the new employee begins work and is in effect for six months. The advantage of the program is that the employer profits from the worker’s skills and abilities and is covered in case of potential theft or dishonesty; and the job applicant is given an opportunity if denied commercial bonding coverage due to their previous personal or employment history. The bond promotes confidence in a job seeker who needs gain re-entry into or maintain a connection to labor market and demonstrate that he or she can be a productive worker.

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\(^*\) Foreign Labor Certification (FLC) Target Population: Businesses

The Immigration and Nationality Act requires employers first receive a labor certification from the U.S. Department of Labor before hiring a foreign worker. The Secretary of Labor must make two findings as part of the certification:

- At the time the application was submitted sufficient qualified U.S. workers cannot be found in the area of intended employment that are available, willing and able to fill the position offered to the foreign worker.
- The employment of foreign workers will not adversely affect the wages and working conditions of similarly employed U.S. workers.

IWD handles job orders through IowaWORKS Centers and completes housing inspections for H2A placements.

There are three types of Foreign Labor Certification Job Orders commonly posted:

- **H2A job orders** are agricultural in nature.
- **H2B job orders** are non-agricultural and temporary in nature, and positions such as landscapers.
- **H1B/PERM job orders** are for professional, skilled positions such as doctors and educators.
- **H2B job orders** are non-agricultural and temporary in nature, and include positions such as landscapers.
Registered Apprenticeship Programs in areas across the state. Each of the participants in the program completes the National Career Readiness Certification (NCRC) test.

In addition, offenders are also offered work readiness classes that emphasize job applications, resume writing, interviewing skills and effectively addressing the criminal history issue. All of these classes will help the offender present himself or herself better during the recruitment, interviewing and hiring processes with employers. All of the offenders that participate in the program are entered into Iowa Workforce Development’s work search database that can be accessed by all of the One-Stop Centers in Iowa after the offender’s release. One-on-one consultations with a workforce advisor are provided to offenders before their release to discuss employment options. The Re-Entry Advisors assigned to the program are charged with assisting soon-to-be-released offenders with placement in a job or participation in a Registered Apprenticeship program before they leave prison.

Federal/State Cooperative Programs: The Labor Market Information Division of Iowa Workforce Development works with the U.S. Bureau of Labor Statistics (BLS) on four different programs which are cooperative in nature and involve federal-state input. In addition the Division maintains partnerships with the U.S. Census Bureau on the Local Employment Dynamics (LED) and On the Map programs.

The four federal state cooperative with Iowa Workforce Development and the U.S. Bureau of Labor Statistics include the Current Employment Statistics (CES) program, the Quarterly Census of Employment and Wages (QCEW) program, the Occupational Employment Statistics (OES) program, and the Local Area Unemployment Statistics (LAUS) program. These programs are instrumental in developing a wide array of information that is used to describe the labor market in Iowa in terms of its industries, occupations, wages, jobs and workers. The information is used by businesses, economic developers, educators, government planners and policy makers, grant writers, legislators, job seekers and students.

An effort has been under way since the initiation of the integrated service model to concentrate on accurate and valuable assessments to fit the customers’ needs to skills upgrading services provided in workshop format within the One-Stop or with either the Community College or Adult Education. This effort in the WIOA Adult, Dislocated Worker, TAA and Wagner Peyser program has shown to be an effective way to meet customer and employer needs.

None of these efforts can stand alone and are all a part of the options of services and activities within the One-Stop centers. With the combination of and growth within these initiatives Iowa Workforce development will continue to meet the needs of the State’s customers and employers.

Laborshed Studies have assisted economic development efforts throughout Iowa for the past 10 years and continue to be unique tool utilized for retention and recruitment of business. A Laborshed is defined as the area or region from which an employment center draws its commuting workers regardless of natural or political boundaries. These studies give communities the ability to document and illustrate the characteristics of their labor force, which is an effective tool for retaining and expanding existing businesses while also attracting
prospective new employers into the area. The studies include potential labor force, availability and willingness to change/enter employment, occupations, wages, benefits, commuting distances, education, advertising sources for employers, out commute/in commute, and underemployment.

The studies are conducted by Iowa Workforce Development in partnership with Iowa Department of Economic Development regional marketing territory groups or other local development groups, utilities, community colleges, and local officials.

Educational Outcomes Measures: This project was developed in coordination with the Iowa Department of Education and Iowa’s 15 community colleges. Iowa Workforce Development uses wage records from the state’s unemployment insurance database to answer questions regarding the state’s employment rate, earning levels, types of industry by gender and race, academic degrees, credential attainment and types of programs.

All of the wage data, as well as student records, are used for research and evaluation purposes only, and are published as aggregated data to protect individuals’ identifies. Therefore, in addition to the partnership with Iowa Department of Education and Iowa’s community colleges, Iowa Workforce Development and the Labor Market and Workforce Information Division have partnered with the Iowa Department of Human Rights, Criminal and Juvenile Justice Planning, US Department of Labor’s Office of Apprenticeship, public and private educational institutions.

Skillshed Analysis: This concept was piloted in Iowa by Iowa Workforce Development and nine Iowa Department of Economic Development regional marketing territory groups in 2010. The Skillshed Study analysis compared data from the Laborshed and Workforce needs survey to show supply and demand for skills and labor. The analysis also shows the gaps between those skills possessed by the workforce and those skills needed by employers. The education and training needed to fill these gaps between supply and demand is then determined to complete the Skillshed for a particular locale or region.

A cornerstone for maintaining a business-customer focus workforce development system is the preparation of a Regional Assessment and Analysis by local boards. The information from this process will help the local Workforce Investment Board identify the workforce development needs in its regions, and determine the course of action to meet those needs. The representation of business members on the Regional Workforce Investment Board helps to ensure this focus and accuracy on the needs analysis. In addition, the Iowa Workforce Development Board will use the information as it updates its Strategic Workforce Development Plan.

Locally, Wagner-Peyser and WIOA Adult and Youth staff are engaged in outreach activities to business and to economic development organizations, sharing labor market information and assisting with their recruitment and retention issues. These efforts are complemented by activities of the Regional Workforce Investment Board and Chief Elected Officials, and through the community colleges, since many of those institutions are WIOA Service Providers, and all of which work closely with the One-Stop partners. It is through this grassroots approach that the
workforce system garners its greatest knowledge about the current and future needs of business.

Despite existing efforts to identify demand-side requirements, there remain opportunities to build a more robust sector strategies ecosystem throughout the state of Iowa. There also exist opportunities to better connect the education, workforce and economic development systems throughout the state.

Integration of Business Services: As stated earlier, Wagner-Peyser Act funded staff provide the cornerstone for core services in the Iowa One-Stop Center system. Core services to business are no exception. Management for Wagner-Peyser staff have been empowered to work with their local partners and boards to ensure that the delivery of services to business is non-duplicative and focused on the priorities of the local workforce boards.

Specific examples of this activity include working with economic development groups such as the Professional Developers of Iowa, the Iowa Association of Business and Industry, and Chambers of Commerce; being involved with community and regional leadership in seeking economic development grants; and directing business service representatives’ activities in support of all partner programs.
Iowa Department of Education

The Iowa Department of Education (DE) works with the Iowa State Board of Education to provide support, supervision, and oversight for the state education system that includes public elementary and secondary schools, nonpublic schools that receive state accreditation, area education agencies (AEAs), community colleges, and teacher preparation programs.

Vision

Iowa learners experience high levels of success and develop the capacity to continually grow as successful, healthy, and productive citizens in a global community.

Mission

Creating excellence in education through leadership and service.

Iowa's public education system includes:

- local school districts serving students in pre-kindergarten through 12th grade;
- Area Education Agencies (AEAs) that provide support and assistance to the districts in a given region;
- community colleges that provide a wide range of coursework to students of all ages; and
- four-year colleges and universities.

The Iowa Department of Education and State Board of Education are responsible for the local schools, AEAs, and community colleges, while the Board of Regents, State of Iowa is responsible for the public four-year colleges.

<table>
<thead>
<tr>
<th>Accelerated Career Education (ACE)</th>
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<tbody>
<tr>
<td><strong>Target Population:</strong> Community Colleges</td>
</tr>
<tr>
<td>For infrastructure improvements at community colleges associated with implementing ACE programs authorized under Iowa code chapter 260G. The Accelerated Career Education (ACE) program is designed to provide businesses with an enhanced skilled workforce. This program assists Iowa’s community colleges to either establish or expand programs that train individuals in the occupations most needed by Iowa businesses.</td>
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<tr>
<th>Adult Education and Literacy</th>
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<tr>
<td><strong>Target Population:</strong> Persons at least 16 years of age and not enrolled or required to be enrolled in a secondary school meeting one of the following eligibility requirements: 1. Lacks sufficient mastery of basic educational skills to enable the person to function effectively in society; 2. Does not have a secondary school diploma or a recognized equivalent; or 3. Is unable to speak, read, or write the English language.</td>
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<tr>
<td>Iowa’s Adult Education and Literacy program is designed to support the current federally funded programs to meet the basic literacy needs of adults and to improve and/or upgrade current education and skill levels of adults.</td>
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<tr>
<th>Carl Perkins</th>
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<td><strong>Target Population:</strong> Students in Secondary and Postsecondary Career and Technical Education Programs</td>
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<tr>
<td>The goal of Carl Perkins funding is to develop more fully the academic and career and technical skills of secondary and postsecondary students who enroll in Career and Technical Education (CTE) by developing and assisting students in meeting high standards, integrating academic and career and technical instruction, linking secondary and postsecondary education, increasing state and local flexibility, collecting and disseminating research and information on best practices, providing technical assistance and professional development, supporting</td>
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partnerships among diverse stakeholders, and providing individuals with the ability to keep the US competitive.

**English Literacy/Civics Education**  
**Target Population:** Limited English Proficiency Population  
The goal of EL/Civics is to accelerate literacy and language gains for ELLs through targeted instruction in general classrooms or specialized classrooms.

**GAP Tuition Assistance**  
**Target Population:** At-Need Learners as Defined by the Iowa Legislature  
Need based tuition assistance to applicants for short term, non-credit certificate programs for in-demand jobs.

**High School Equivalency Diploma (HSED) Program**  
**Target Population:** All those that are officially out of school and without a high school diploma. Age 16-18 have specific exceptions outlined in code 281.32.8  
In January of 2014, Iowa began using the HiSET® high school equivalency test developed by Education Testing Service (ETS). HSED provides an opportunity to earn a high school equivalency credential in an accessible and affordable format.

**Teacher Leadership and Compensation System (TLC) & TLC Administrator Support Program (ASP)**  
**Target Population:** Schools and Teachers  
The TLC System rewards effective teachers with leadership opportunities and higher pay, attracts promising new teachers with competitive starting salaries and more support, and fosters collaboration for all teachers to learn from each other. Improving student learning requires improving the instruction they receive each day. Through the system, teacher leaders take on extra responsibilities including helping colleagues analyze data and fine tune instructional strategies as well as coaching and co-teaching. ASP: To equip and support principals to form a cohesive building leadership team that is aligned to a singular mission as embraced by the district, and is able to develop and lead a collaborative process to strengthen instructional practice that leverages teacher leaders for school-wide improvement of student learning.

**Pathways for Academic Career Education & Employment (PACE) Program**  
**Target Population:** Low-skill adults  
Pathways for Academic Career Education & Employment encourage and support attainment of short-term training and advanced degree programs for middle skill careers.

**Regional Work-based Intermediary Networks**  
**Target Population:** Secondary Students  
Prepare students for the workforce by connecting business and education offering work-based learning activities to students and teachers.

**State Career and Technical Education Funding**  
**Target Population:** Secondary CTE Programs  
Funding has been given to State Approved CTE programs at the secondary level to help with CTE Education. Districts report salaries, benefits and travel required for teaching the program and a percentage is returned to the district.

**Workforce Training and Economic Development Fund**  
**Target Population:** Secondary and postsecondary community college students  
Funding to support new program development at Iowa’s community colleges to support career and technical education programs.
Iowa College Aid

Created in 1963 by the Iowa General Assembly, the Iowa College Student Aid Commission (Iowa College Aid) is a state agency dedicated to making the path to education and training beyond high school easier for Iowans. Iowa College Aid provides college access, career planning, financial literacy, default prevention and outreach services that prepare students to enter and succeed in postsecondary education.

Strategic Initiatives

Iowa College Aid's focus is to put students first. This reflects our long-standing commitment to helping Iowans achieve an education beyond high school. "Putting Students First" is the agency's primary guiding principle.

Mission

We advocate for and help Iowa students and families as they explore, finance and complete educational opportunities beyond high school to increase family and community success.

Motto

Because college changes everything.

Vision

All Iowans can achieve an education beyond high school.

Values

We believe in the value of education, and our commitment is to:

- Put students first.
- Respect and honor the dignity of each other and all those we serve.
- Uphold the public trust.
- Create and nurture internal and external partnerships that benefit our customers.
- Provide services to our customers that exceed expectations and address their changing needs.
- Develop and empower a motivated, compassionate, professional and accountable team.
- Utilize evidence-based decision making.

Strategic Goals

- Increase community engagement in increasing college attainment.
- Increase the number of low-income and underrepresented Iowa students who enroll in postsecondary education and complete a degree or certificate.
- Raise awareness about the needs of postsecondary attainment for adults.
- Increase funding for students and student services.
### Iowa College Aid Programs

**Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP)**  
**Target Population:** 7th grade class at 12 participating school districts; services follow this class of students through secondary and first year of PSE. School buildings must have >50% FRPL; priority was given to districts with multiple buildings, high ELL, and agreement to project model  

The Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP) Grant and the College Access Challenge Grant for the State of Iowa with the goal of increasing the college-going culture in Iowa. GEAR UP Iowa serves low-income school districts and students to build a systemic approach to improve college access and success.

**All Iowa Opportunity Foster Grant Program**  
**Target Population:** Iowa residents under the age of 18 and currently in licensed foster care under the care and custody of the Iowa Department of Human Services or Iowa Juvenile Court Services, and who meet related additional eligibility guidelines.  

This grant can be used for any college expenses including tuition, fees, books and supplies, dorms and off-campus housing, meal plans, transportation and personal living expenses.

**All Iowa Opportunity Scholarship Program**  
**Target Population:** Graduating High School Seniors  

The All Iowa Opportunity Scholarship is a state-funded scholarship program for students who do well in high school and demonstrate financial need.

**College Changes Everything**  
**Target Population:** Students demonstrating financial need  

Planning and implementation grants to communities along with training and technical assistance to communities for developing collaborative Local College Access Networks.

**IHaveAPlan Iowa Web Portal**  
**Target Population:** General  

State-designated college and career information system that has been used to satisfy state mandate for college and career planning for students in grades’ 8-12. It is also used by the general population for use in career and related educational planning.

**Iowa Grant Program**  
**Target Population:** Iowa residents that demonstrate financial need and enroll in an undergraduate program of study at an eligible Iowa college or university. Priority is given to students whose parent was a public safety worker that was killed in the line of duty.  

The Iowa Grant is available to assist Iowa students attending Regent Universities, independent colleges and universities and community colleges throughout Iowa.

**Kibbie Skilled Workforce Shortage Tuition Grant Program**  
**Target Population:** Community College Students  

Iowa residents with Expected Family Contributions within specified range who enroll in a specified career/technical program of study at an eligible Iowa college that prepare them to work in high need workforce shortages in Iowa.
Iowa Tuition Grant Program
Target Population: Iowa residents with Expected Family Contributions within specified range who enroll in an undergraduate program of study at an eligible Iowa college or university.

The Iowa Tuition Grants are awarded to Iowa residents enrolled at one of Iowa’s eligible private colleges and universities. The statutory maximum award is set at $5,000, although the maximum award for an academic year may be less depending on the funding available and number of projected recipients. Recipients can receive grants for up to four years of full-time, undergraduate study. Part-time students may be eligible for adjusted amounts.

National Guard Educational Assistance Program
Target Population: Iowa resident that are members of an Iowa Army or Air National Guard unit for each term the member receives benefits; satisfactorily completes required Guard training, maintains satisfactory performance of Guard duty; pursues a certificate or undergraduate degree at an eligible Iowa college/university and maintains satisfactory academic progress.

The Iowa National Guard Educational Assistance Grant (NGEAP) provides annual awards to Iowa National Guard members who attend eligible Iowa colleges and universities. Maximum individual awards cannot exceed the current average resident tuition rate at Iowa Regent Universities.

Registered Nurse & Nurse Educator Loan Forgiveness Program
Target Population: Nurse educator applicants will be given priority. If funding does not permit all applicants to receive repayment benefits, criteria for selection of recipients will be prioritized as follows: first for nurse educators, then for registered nurses: applicant renewal status; full-time employment; Iowa residency status; date of application.

The State of Iowa offers loan repayment assistance to registered nurses employed in Iowa and nurse educators teaching at eligible Iowa colleges and universities.

Teacher Shortage Loan Forgiveness Program
Target Population: If funding does not permit all eligible applicants to receive an award, applicants will be prioritized as follows: renewal status; Iowa residency status; full-time employment status; total indebtedness; and date of application.

The State of Iowa offers loan repayment assistance to fully licensed teachers who began their first classroom teaching positions in Iowa no earlier than July 1, 2007, and are employed in a teacher shortage area as designated by the Iowa Department of Education.

Vocational Technical Tuition Grant Program
Target Population: Iowa residents with Expected Family Contributions within specified range who enroll in an approved career/technical program of study at an Iowa community colleges.

Iowa residents enrolled in career or technical education programs at Iowa community colleges may be eligible to receive this grant. The maximum award is $1,200 per year for up to two years of education.

Student Support Services (TRiO)
Target Population: Low-income, first generation, and disabled Americans

TRiO equals educational opportunity for low-income, first generation, and disabled Americans. TRiO programs help students overcome class, social, and cultural barriers to higher education.
Iowa Vocational Rehabilitation

The mission of the Iowa Vocational Rehabilitation Services is to work for and with individuals who have disabilities to achieve their employment, independence and economic goals.

Disability Determination Services Bureau is responsible for determining the eligibility of Iowa residents who apply for disability benefits under the Social Security Disability Insurance and Supplemental Security Income programs.

Rehabilitation Services Bureau assists eligible individuals to become employed. Persons receiving vocational rehabilitation services have a wide range of disabilities. Most offices are already co-located in the One-Stop Centers across Iowa.

Vocational Rehabilitation is a State-Federal program. The Federal share is 78.7%; the State share is 21.3%. The Rehabilitation Services Bureau has 14 area offices and 32 service units.

Administrative Services Bureau provides support to the other elements of the Division through the functions of fiscal accounting, budgeting and payroll; statistical records, reporting and closed case file control; personnel management and collective bargaining administration; purchasing and property control; information systems and the physical plant management of the Jessie Parker Building.

Office of the Administrator is responsible for overall administration of the statewide programs. The administrator determines program scope and policies, promotes public interest and acceptance, directs budget funds, develops program plans and provides for staff development, research and evaluation. Under the umbrella of the administrator are the State Rehabilitation Council and the Community Rehabilitation Program Advisory Group.

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<tr>
<th>Collaborative Transition Protocol</th>
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<tr>
<td><strong>Target Population:</strong> Students in transition</td>
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<tr>
<td>Aligns secondary school IEP and IVRS IPE also providing Student Accommodation Reports for smoother postsecondary transition. Collaborative training is occurring at secondary, postsecondary and VR levels with disability support services</td>
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<tr>
<th>Benefits Planning</th>
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<tr>
<td><strong>Target Population:</strong> Individuals on SSI/SSDI</td>
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<tr>
<td>Provide analysis for economic independence to individuals on SSI/SSDI.</td>
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<th>IWD/IVRS Pilot Project</th>
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<tr>
<td><strong>Target Population:</strong> VR eligible Job Candidates, workforce candidates with disabilities</td>
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<tr>
<td>Five one stop centers are working with VR to improve work flow efficiencies through improved intake and data sharing linkages, enhanced wrap-around supports cross-system and streamlined processes.</td>
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<th>Earn and Learn Programs</th>
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<tr>
<td><strong>Target Population:</strong> VR eligible Students with disabilities, select target areas</td>
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<tr>
<td>Developing Earn and Learn programs for specific trades in collaboration with Community Colleges, Apprenticeship programs and businesses. VR provides stipend and facilitates business involvement, communicates with secondary, postsecondary and business implementing pathway. Earn and Learn programs can lead to various OJT</td>
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employment options with a specific focus on employee needs and business needs. Efforts are being made to establish more dedicated integration with Registered Apprenticeship programs. IVRS has attended Career Pathways trainings and are finding more opportunities to network with RAP partners and connect students to RA and related programs.

Progressive Employment  
**Target Population:** VR job candidates  
Implement continuum of employment services designed to meet the job candidate at their ability and provide steps for employment progress through a menu of service options designed to facilitate competitive employment.

**Self-Employment Program**  
**Target Population:** IDB, IVRS job candidates  
Resources committed to developing entrepreneurial opportunities.

**Employer Disability Resource Network (EDRN)**  
**Target Population:** Job candidates with disabilities  
One stop resource for businesses. VR conducts regular ongoing meetings for network of service providers, including a web site, to provide timely response for business inquiries and support.

**Business Specialist**  
**Target Population:** VR eligible Job Candidates  
Integrate VR staff into business and industry. Contracts are being established with businesses integrating VR service delivery and pathways specific to that business.

**AAA Employment Specialists**  
**Target Population:** VR eligible Job Candidates, 55 years and older  
Collaborative project with the Iowa Department of Aging providing for six employment specialists housed at the Area Agencies on Aging and includes employment services and training for eligible participants.

**Occupational Skill Training Programs**  
**Target Population:** VR eligible Job Candidates  
A myriad of skill training programs designed to meet business needs through specific training. Walgreens REDI, Project Search, Transition Alliance Programs and cross collaboration with schools and business, community providers. There are currently over twenty programs in the state.

**Access2Ability**  
**Target Population:** VR eligible Job Candidates  
Partnership with Manpower Staffing agency to improve employment outcomes.

**MOA with DE on Data Dashboard**  
**Target Population:** Students with Disabilities  
Explores ways to better serve students in schools through training, parent/family engagement, resource sharing.

**Making the Grade**  
**Target Population:** VR eligible Students  
Contract with community providers focused on competitive job experience for students in secondary school. Seven sites across state are focused on increasing competitive employment experiences and opportunities.

**Youth Leadership Forum**  
**Target Population:** Eligible VR job candidates in partnership with Department of Human Right and Iowa
Increased social advocacy and life skills development for youth in through participation in a week long experience in which students who are juniors and seniors in high school spend time at ISU learning about the qualities related to leadership. Self-advocacy, work-readiness, and interpersonal skills development are taught. Participants also learn about employment laws and labor market needs. Through the experience participants develop confidence in their work abilities and become more connected to their community which increases employment success.
Department for the Blind

The Iowa Department for the Blind’s mission is to empower Iowan’s of all ages who are visually impaired and blind to become employed, as well as, live independently in their community. All services at Iowa Department for the Blind, or IDB, promote employment, living independently, and full community inclusion.

The Iowa Department for the Blind helps educate, train, and empower blind and visually impaired Iowan’s to pursue goals across the lifespan. With a main office in Des Moines, IDB has staff that live and work across the state in a variety of capacities. The three main goals of Iowa Department for the Blind are:

✧ To improve the skills of individuals who are blind or visually impaired so that they may obtain, maintain or retain competitive employment throughout Iowa.
✧ To increase confidence and independence in all aspects of daily life.
✧ To improve access to information, activities, and opportunities.

The Department is recognized as a leading provider of services in the United States. These services include innovative and effective Vocational Rehabilitation and Independent Living Programs, as well as, world-class library services. The benefits to clients are many and include an impact on attitudes, confidence and independence. The collective social and economic impact is also significant. As Iowan’s with vision loss obtain employment they contribute to Iowa’s economy.

The Iowa Department for the Blind works to educate and inform businesses, family members, service providers, advocacy groups, community and service organizations, as well as, the general public about the true capabilities of individuals who are blind or visually impaired. IDB actively seeks ongoing communication, interaction, and collaboration with all constituencies.

Iowa Department for the Blind collaborates with many stakeholders to provide opportunities for independence and employment throughout the state. IDB provides employment services to blind and visually impaired Iowans who are looking for a job or want to retain or advance in their current career.

The Department for the Blind believes that with the right skills and opportunities a blind or visually impaired person can and should be competitively employed and live within their community of choice.
### IDB Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>Target Population</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Vocational Rehabilitation Services</strong></td>
<td>Iowans who are blind or have visual impairments and have goals to become employed.</td>
</tr>
<tr>
<td><strong>Transitional Vocational Rehabilitation</strong></td>
<td>Middle, High School &amp; College Students who are blind or have visual impairments or age 14 or older, with goals around employment.</td>
</tr>
<tr>
<td><strong>Business Enterprises Program</strong></td>
<td>Legally Blind Entrepreneurs</td>
</tr>
<tr>
<td><strong>Youth Leadership Program</strong></td>
<td>Eligible VR clients, in partnership with the Department of Human Rights and Iowa Vocational Rehabilitation Services.</td>
</tr>
<tr>
<td><strong>Independent Living Program</strong></td>
<td>Iowans who are blind or have Visual Impairments who desire to live independently in their home or community.</td>
</tr>
<tr>
<td><strong>Orientation Center</strong></td>
<td>Iowans who are blind or have visual impairments.</td>
</tr>
<tr>
<td><strong>Career Resources Center</strong></td>
<td>Iowans with Visual Impairments</td>
</tr>
<tr>
<td><strong>Iowa Library for the Blind and Physically Handicapped</strong></td>
<td>Provides resources and training to ensure individuals they can participate in skill and certificate training.</td>
</tr>
</tbody>
</table>

Assists clients plan for employment or maintain their current job through training, education, technology, career counseling, and more.

Transition program assist to navigate the transition from high school to the world beyond with career counseling and work experience activities.

We train legally blind entrepreneurs throughout Iowa to run vending machine businesses.

Increased leadership, social, advocacy and life skills development for students in secondary schools through participation in a week long summer experience.

Training, support groups, and rehabilitation teaching for Iowan’s with vision loss.

The Adult Orientation and Adjustment Center provides a curriculum for learning the skills of blindness needed for employment, from traveling to technology needed on the job.

Career Resource Center provides accessible technology, equipment and software to be used to prepare, gain and maintain skills and credentials needed for employment.

Provides resources and training to ensure individuals they can participate in skill and certificate training. The library provides programs and resources on work place skills needed to gain, retain and advance in a career. Accessible resources and training are provided to locate, evaluate and effectively use information. In addition, resources to develop and enhance digital literacy skills are available. The Library also provides accessible resources and programs for English Language Learners.
**Iowa Department of Human Services**

*Vision*

The Iowa Department of Human Services makes a positive difference in the lives of Iowans we serve through effective and efficient leadership, excellence, and teamwork.

*Mission*

The Mission of the Iowa Department of Human Services is to help individuals and families achieve safe, stable, self-sufficient, and healthy lives, thereby contributing to the economic growth of the state. We do this by keeping a customer focus, striving for excellence, sound stewardship of state resources, maximizing the use of federal funding and leveraging opportunities, and by working with our public and private partners to achieve results.

*Guiding Principles*

Customer Focus – We listen to and address the needs of our customers in a respectful and responsive manner that builds upon their strengths. Our services promote meaningful connections to family and community.

Excellence – We are a model of excellence through efficient, effective, and responsible public service. We communicate openly and honestly and adhere to the highest standards of ethics and professional conduct.

Accountability – We maximize the use of resources and use data to evaluate performance and make informed decisions to improve results.

Teamwork – We work collaboratively with customers, employees, and public and private partners to achieve results.

*Employment Assistance Programs*

<table>
<thead>
<tr>
<th>Home and Community Based Services Supported Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Population: Youth (15 and older) or Adults with Disabilities (ID and BI) or Brain Injury</td>
</tr>
<tr>
<td>Basic work skills and supports through career exploration, developing work skills and work supports.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Food Assistance Employment and Training</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Population: Food Assistance recipients who are 1) at least 18 years of age 2) do not receive FIP 3) Live in one of the 29 counties offering E&amp;T services</td>
</tr>
<tr>
<td>Basic work skills and supports through career exploration, developing work skills and work supports.</td>
</tr>
</tbody>
</table>
Future Ready Iowa

Future Ready Iowa aims to achieve systemic changes to increase the number of citizens with a postsecondary credential with the intended result of increasing the number of skilled workers available to employers. Igniting economic development with a skilled workforce and the best educated student population in the nation will achieve Governor Branstad’s goals, which will increase the income levels of Iowa families.

For the workforce development system this means creating a system that utilizes resources efficiently and aligns government programs in a manner that responds to and supports the needs of private business. Future Ready Iowa was developed by the National Governors Association Policy Academy Developing Iowa’s Future Talent Pipeline and list the general membership of the Policy Academy.

To achieve the prosperity supported by world-class talent educated with Iowa’s values and work ethic, Iowa’s government is responding with the workforce development system of the future. Iowa’s workforce development systems will build the system of tomorrow to attain the results needed today through skill building focused on the job-driven expectations of business and industry – occupational and soft skills.

Through implementation of career pathways and infusing of robust sector strategies across systems, Iowa is committed to serving the underserved citizenry by closing educational and employment gaps to end disparities based on disability, ethnicity, race, class, and geographic location.

Vision

A Future Ready Iowa that prepares individuals for dynamic careers and lifelong learning, meets employer needs, grows family incomes, and strengthens communities. Future Ready Iowa helps more Iowans attain the “new minimum” of high-quality education and training beyond high school by aligning education, workforce, and economic development resources.

Goal

By 2025, 70% of all Iowans will have earned a postsecondary degree or industry-recognized credential or certification – the new minimum – that meets employer needs.

Objectives

- Identify and meet employer needs by focusing on sector strategies, career pathways and better aligning state and federal programs and initiatives, including public-private partnerships, to support high-skill, high-demand jobs.
- Communicate high-demand career pathways to students, parents, teachers, counselors, workers and community leaders through career planning, including an interactive portal of career opportunities and required credentials and experience.
- Improve college and career readiness, increase interest and achievement in science, technology, engineering and math (STEM) study and careers.
Minimize education-related debt.

Strategies

1. Identify and quantify employers’ education, training, and employment needs and capture those needs in a Talent Supply & Demand interactive portal to be driven by a public-private collaborative, leveraging and institutionalizing the sector strategies and career pathways methodologies.
2. Improve degree and credential completion and target resources to support attainment of high-demand credentials, degrees, and certifications valued by employers, including for those individuals with barriers to employment.
3. Cultivate, develop and align work-based learning opportunities including, but not limited to, STEM school-business partnerships, student internships, teacher externships and apprenticeships for individuals through public-private partnerships.
4. Create a system of coordinated resources to engage, assist, and reinforce Future Ready career guidance for parents, students, educators and adults.
5. Ensure secondary students have access to high quality career and technical educational programs aligned with labor market needs.
6. Ensure all Iowa students meet high state academic standards, including being literate by the end of the third grade and achieving in STEM disciplines.
7. Increase rigorous concurrent enrollment opportunities in high demand career pathways, including STEM disciplines.
8. Institutionalize the college-going process within secondary schools statewide (College Application Campaign, FAFSA Completion, assessing “college fit,” etc.).
9. Elevate and operationalize promising financial literacy models that impact student borrowing.
10. Nurture entrepreneurial connectivity and skills development.
Iowa Department for the Aging

History

The Iowa Department on Aging is the designated State Unit on Aging and was established as a result of The Older Americans Act (OAA), enacted by Congress in 1965. The OAA promotes the well-being of older adults and assists them in remaining independent and in their own homes and communities. The U.S. Administration for Community Living distributes federal OAA funds to State Units on Aging which, in turn, designate Area Agencies on Aging (AAAs) at the local level to provide information and services to older Iowans. Title III of the OAA provides funds to help states organize and pay for meals and a broad range of home and community based services through the AAAs and hundreds of service providers throughout the state; this structure is commonly called the "aging network." All persons who are 60 and older are eligible to receive services, but states are required to target assistance to persons with the "greatest social or economic need."

The Department Today

The Iowa Department on Aging is currently charged with an aggressive restructuring of the aging network. On March 29, 2012, Governor Terry Branstad signed House File 2320 mandating a reduction in the number of Area Agencies on Aging. Frequently referred to as the “modernization of the aging network,” this initiative effectively reduced the number of AAAs from 13 to six in an effort to create a more efficient network.

Mission

The mission of the Iowa Department on Aging is to develop a comprehensive, coordinated and cost-effective system of long-term living and community support services that helps individuals maintain health and independence in their homes and communities.

Vision

The Iowa Department on Aging works closely with Iowa's six Area Agencies on Aging and other partners to design a system of information, education, support and services for Iowans that assists older Iowans and adults with disabilities maintain independence.

Goal

The goal is to have Iowa be viewed as the premier state to live and to retire!

Advocacy

Advocate for changes in public policy, practices and programs that empower Iowans; facilitate their access to services; protect their rights; and prevent abuse, neglect and exploitation. Activities may include legislative advocacy, information dissemination, outreach and referral, research and analysis and coalition building.

Planning Development and Coordination
Conduct planning, policy development, administration, coordination, priority setting and evaluation of all state activities related to the objectives of the Federal Older Americans Act.

**Health**

Support policies, programs and wellness initiatives to empower older Iowans to stay active and healthy and improve their access to affordable, high quality home and community-based services.

**Older Iowans**

The 2010 U.S. Census found that 20 percent of Iowa’s population is currently 60 years of age or older. By 2030, 20 percent of the population in 88 of Iowa’s 99 counties will be aged 65 or older.

<table>
<thead>
<tr>
<th>ABE Senior Community Service Employment Program</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Population:</strong> Individuals age 55 and older, at or below 125% of poverty, and unemployed. Employers</td>
</tr>
<tr>
<td><strong>Foster economic self-sufficiency and promote useful part-time opportunities in community service organizations to increase the numbers of older persons who can obtain employment. Assist participants in receiving work skills training and provide work services for non-profit and governmental organizations.</strong></td>
</tr>
</tbody>
</table>
Governor’s STEM Council

Created with the goal of increasing STEM interest and achievement, the STEM Council is a collaboration of bipartisan Iowa legislators, educators, business, nonprofits, students and families focused on improving STEM opportunities and awareness in Iowa. The STEM Council follows this definition of STEM:

“...an interdisciplinary approach to learning where rigorous academic concepts are coupled with real-world lessons as students apply science, technology, engineering and mathematics in contexts that make connections between school, community, work and the global enterprise enabling the development of STEM literacy and with it the ability to compete in the new economy.”

The Iowa Governor’s STEM Advisory Council is a made up of leaders in higher education, business, pre-K through 12 educators, as well as state and local government officials. The STEM Council is led by Lieutenant Governor Kim Reynolds and Kemin Industries President and CEO Dr. Chris Nelson. The executive director of the Iowa Governor's STEM Advisory Council is Dr. Jeff Weld. There are 47 members on the STEM Council, including 17 members that comprise the Executive Committee.

Current STEM Initiatives

<table>
<thead>
<tr>
<th>Initiative</th>
<th>Target Population</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Microsoft IT Academy</td>
<td>Secondary and community college students and their teachers</td>
<td>Office software and systems network analyst training and certifications to 150 Iowa secondary schools and community colleges.</td>
</tr>
<tr>
<td>Iowa STEM BEST Business Engaging Students and Teachers</td>
<td>Secondary school lead applicants with business commitments &amp; Secondary STEM learners.</td>
<td>Incentive program to drive school/business partnerships for content delivery/aligned instruction. Five current sites involving 14 districts and businesses.</td>
</tr>
<tr>
<td>STEM Teacher Externships</td>
<td>Secondary teachers of STEM subjects, and industry partners in STEM areas.</td>
<td>Secondary teachers of math, science, technology, and engineering matched full time in summer to an industry to take on authentic tasks and role.</td>
</tr>
</tbody>
</table>

The National Apprenticeship Act of 1937 authorizes the federal government, in cooperation with the states, to oversee the nation's apprenticeship system. In Iowa, the U.S. Department of Labor's (DOL) Office of Apprenticeship (OA) is responsible for registering apprenticeship programs that meet federal guidelines. It issues certificates of completion to apprentices and encourages the development of new programs.

Registered Apprenticeship is a proven system for training employees in a variety of occupations that require a wide range of skills and knowledge. It is an ideal way for employers to build and maintain a skilled workforce. Registered Apprenticeship combines supervised on-the-job learning with technical related instruction in subjects related to the apprentice's chosen occupation. Apprenticeship, by virtue of its success in preparing skilled workers, helps America compete more effectively in the global economy, and contributes to America's economic development and sustained economic growth.

The Registered Apprenticeship system provides opportunity for workers seeking high-skilled, high-paying jobs and for employers seeking to build a qualified workforce. In this regard, the Registered Apprenticeship system effectively meets the needs of both employers and workers. Registered Apprenticeship is highly active in traditional industries such as construction and advanced manufacturing. It is also instrumental in the training and development of high demand industries such as healthcare, energy and information technology.

Apprenticeship programs are operated by both the public and private sectors, with programs registered with OA called sponsors. A sponsor may be employers, employer associations and labor-management organizations. Recently, community colleges and workforce development centers have collaborated with business and industry to develop Registered Apprenticeship programs through sponsoring employer-participation agreements. Regions that adopt robust Registered Apprenticeship programs in the context of economic development strategies create seamless pipelines of skilled workers and flexible career pathways to meet current and future workforce demands.

The State of Iowa tripled funding for Registered Apprenticeship Programs in 2014 to $3 million and has been recognized by The UAS DOL in regard to innovative practices and number of new Registered Apprenticeship Programs.

<table>
<thead>
<tr>
<th>ApprenticeshipUSA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Population:</strong> Employers, Veterans, Youth, unemployed, Underemployed, Career Seekers</td>
</tr>
<tr>
<td>Registered Apprenticeship offers employers in every industry the tools to develop a highly skilled workforce to help grow their business. For workers, RA offers opportunities to earn a salary while gaining the skills necessary to succeed in high-demand careers. ApprenticeshipUSA exemplifies high standards, instructional rigor and quality training. Immediate Employment, Job Training, Skills, and Nationally recognized Certifications and Credentials for job seekers.</td>
</tr>
</tbody>
</table>
Iowa Economic Development Authority

The Iowa Economic Development Authority (IEDA) offers a variety of programs and services to individuals, communities and businesses to attract and grow business, employment and workforce in Iowa. Ground-breaking economic growth strategies focusing on cultivating start-up companies and helping existing companies become more innovative complement the activities underway to retain and attract companies that are creating jobs for Iowans.

Developing sustainable, adaptable communities ready for this growth is also an essential part of our work at IEDA — providing programs and resources that help communities reinvest, recover and revitalize to make each community’s vision a reality. Much of what you know about Iowa is true. It’s what you don’t know that sets us apart. The Iowa Partnership for Economic Progress seeks to build Iowa’s economic health and strengthen supports to employers as well as current and future employees through dedicated collaborations among key agencies and streamlined processes.

Iowa Economic Development Authority Programs

<table>
<thead>
<tr>
<th>Program Name</th>
<th>Target Population</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Career Link Program - Community Development Block Grant</td>
<td>Low to moderate income individuals</td>
<td>Industry-driven training program that invests in projects that assist the underemployed and working poor to obtain the training and skills they need to move into available higher-skill, higher wage jobs.</td>
</tr>
<tr>
<td>Iowa Industrial New Jobs Training Program (260E)</td>
<td>Employers creating new jobs in Iowa</td>
<td>Finances training for new jobs created through a business expanding or locating in Iowa through the sale of bond certificates by Iowa community colleges.</td>
</tr>
<tr>
<td>Accelerated Career Education Program (ACE tax credits) (260G)</td>
<td>Any business except retail</td>
<td>Assists community colleges to establish or expand training programs for occupations needed by Iowa business.</td>
</tr>
<tr>
<td>Targeted Industries Internship Program (TIIP)</td>
<td>Iowa companies with under 500 employees in the Advanced Manufacturing, Bioscience, and IT industries.</td>
<td>Provides grants to small and medium-sized companies under 500 employees in the advanced manufacturing, biosciences and information technology industries to help support their internship programs with a goal of transitioning interns to full-time employment in the state upon graduation.</td>
</tr>
<tr>
<td>Iowa Jobs Training Program</td>
<td>Current workforces of existing Iowa employers</td>
<td>Finances training for existing of incumbent workforces of Iowa businesses.</td>
</tr>
<tr>
<td>STEM Internship</td>
<td>Iowa employers employing STEM major interns.</td>
<td>Provides grants to employers to support Iowa students studying in the fields of science, technology, engineering, and mathematics through internships that lead to self-sustaining jobs with Iowa employers.</td>
</tr>
</tbody>
</table>
Iowa Finance Authority

The Iowa Finance Authority (IFA) offers a variety of programs and services to individuals, communities and businesses and has touched the lives of countless Iowans through a wide variety of affordable financing programs throughout its 40 year history.

Affordable housing programs offered by IFA include mortgage and down payment assistance as well as programs that assist in the development and preservation of affordable rental properties.

IFA also offers programs to assist in community development, including the issuance of tax-exempt bonds and providing low-cost funds for drinking water and waste water facilities through the State Revolving Fund.

In addition, the Agricultural Development Division offers loan and tax credit programs to assist beginning Iowa farmers. Iowa Title Guaranty is also administered at IFA and offers guaranteed title to real property in Iowa.

Iowa Agricultural Development Division

The IADD was established by the Iowa General Assembly in 1980 to provide financial assistance to Iowa’s grain and livestock producers. Operating expenses for the IADD are derived from modest application and service fees paid by program participants. The IADD also earns interest from a trust fund, but it does not receive any state tax dollars.

Economic Development

The Iowa Finance Authority issues tax-exempt bonds to businesses and organizations for a wide range of projects. These have included expanding and improving health care services, industrial development and housing. As of 2012, the Iowa Finance Authority has issued more than $7.5 billion in bonds to assist businesses build or expand in Iowa.

Economic Development Bond Program:

Issues tax-exempt bonds on behalf of private entities or organizations for eligible purposes.

Private Activity Bond Cap Allocation:

In 2014, the State of Iowa received $309 million of volume cap for allocation to eligible projects in the following categories: single-family, job training, student loans, beginning farmers, industrial and political subdivision.
Iowa Department of Corrections

The Iowa Department of Corrections Professional Development Training Program mission is to prepare and update institution and community correctional employees’ knowledge base, skills, and competencies; to enable them to perform their duties within the parameters of sound and effective correctional practices in order to protect the general public, themselves, and their co-workers, while managing offenders in an environment that supports offender change.

Iowa Workforce Development, in partnership with the Iowa Department of Corrections, has implemented the Ex-Offender Initiative which focuses on connecting ex and soon-to-be-released inmates with career opportunities and supports. The workforce advisors assigned to this initiative network with employers to address the barriers they may have in hiring ex-offenders. Each of the participants in the program completes the National Career Readiness Certification (NCRC).

Thousands of inmates are released from Iowa prisons each year. Many of them are eager to get a job and lead a productive life. Without a job it is nearly impossible for these individuals to establish a new life and become productive citizens. Hiring an ex-offender can help them integrate into society so they can become a taxpayer instead of a tax burden.

Many employers experiencing labor shortages consider their number one challenge is to identify, attract and retain employees. To address these needs, employers are increasing their applicant pool by looking at individuals with criminal histories. Employed ex-offenders are some of the most dedicated and productive employees. They are overwhelmingly dependable and punctual and the turnover rate is atypically low.

Iowa DOC supports Private Industry Partnerships In Iowa, which create opportunities for private companies to hire offenders while they are still incarcerated under the jurisdiction of the Department of Corrections (DOC).

Since the Private Industry Enhancement (PIE) program began in 1992, private companies and the DOC have worked in partnership at locations throughout the state. Offenders have worked millions of hours in fields such as manufacturing, printing, and call center operations, at facilities located on and off prison grounds.

The first private sector partner was established nearly twenty years ago and is still going strong. For employers, the PIE program offers a productive, reliable, and motivated workforce. Offenders are paid market based wages, and by law are allowed to retain 20% of their earnings. The balance is used to pay taxes, child and family support, victim restitution, and room and board. As a result, inmates are able to build some savings before they are released, while at the same time giving back to society.

Although inmates are paid market based wages, the benefits paid by employers are significantly reduced:

- Employers pay no medical or dental benefits;
- Employers pay no sick time, vacation or holiday pay;

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Employers pay no unemployment insurance;
Employers pay no workers’ compensation;

In addition, training assistance and training wage programs may be available, making the PIE program a very cost effective solution for many private employers. Inmate employees are carefully screened by the Department of Corrections, and are then interviewed and selected by the employer. As the employer, you retain the right to hire, promote or dismiss offenders, ensuring that you can operate your business successfully. The PIE program for private sector operations is administered by Iowa Prison Industries. IPI can help you determine if the PIE program is right for your company, and will work with you at every step along the way to get started.

<table>
<thead>
<tr>
<th>Corrections Education Program (ABE)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Population:</strong> Offenders over the age of 18 who do not have a High School Diploma.</td>
</tr>
</tbody>
</table>

Designed to meet the basic literacy needs of adults. Improve and/or upgrade current education and skill levels of adults.
(B) The Strengths and Weaknesses of Workforce Development Activities. Provide an analysis of the strengths and weaknesses of the workforce development activities identified in (A), directly above.

In March, 2015, key stakeholders participated in a week-long Value Stream Mapping event to examine the global view of the Core WIOA and integrated mandatory employment and training agencies and programs in Iowa. Identification of alignment opportunities and best practices for employment services to Iowans was a primary focus of this group. Diverse groups were involved in the Value Stream Mapping event and included the following:

- DHS
  - Promise Jobs
- Iowa Vocational Rehabilitation
- Iowa Dept. for the Blind
  - Business Enterprise Program
  - Vocational Rehabilitation Program
- Dept. of Education, Division of Community Colleges
  - Adult Education Literacy
- Iowa Workforce Development
  - Youth Program – Job Seekers
  - Migrant & Seasonal Farm Worker
- Iowa Workforce Development (IowaWORKS)
  - Wagner Peyser - Job Seeker
  - Wagner Peyser - Business Services
  - Adult Program - Job Seeker
  - Dislocated Worker - Job Seeker
  - Disabled Veteran's Outreach Program
  - Trade Assistance
  - Adult Program - Business Services
  - Dislocated Worker - Business Services
Youth Program - Business Services

- Community College
- GAP Program
- Iowa Department of Management
- Iowa Economic Development Authority

The Value Stream Mapping team identified and prioritized Key Elements that would highly impact jobseekers. Key elements were prioritized to allow for a more focused approached to planning. The team also developed a method by which to rank the level of difficulty in completing the activities needed to move identified tasks forward.

(C) **STATE WORKFORCE DEVELOPMENT CAPACITY. PROVIDE AN ANALYSIS OF THE CAPACITY OF STATE ENTITIES TO PROVIDE THE WORKFORCE DEVELOPMENT ACTIVITIES IDENTIFIED IN (A), ABOVE.**

Additional recommendations call for innovative strategies that are business-driven and focus on increasing the skills, talents, and abilities of the workforce so workers are prepared to scale industrial and commercial projects across the state. The Battelle report included a set of recommendations for future action intended to preserve and improve Iowa’s position in a globally competitive economy. Key guiding principles emerged from the analysis, including the following:

- Appropriate measures of economic success must go beyond traditional measures of jobs and economic activity and should include the quality of jobs and improvements in the standard of living.
- The state’s 12 major industry clusters are driving its top-line economic performance and will remain critical to economic growth in the future.
- A balanced and integrated economic development plan must focus on innovation, retention and attraction for the state to keep pace with the challenges of a global economy.

Robust industry clusters are driving the state’s economy. Iowa’s economy grew 5.9% from 2007 to 2013, while national growth was only 4.7%. During the same period, per capita income increased by 23% – outperforming U.S. gains of about 12%. The report revealed that Iowa still faces many of the challenges it has confronted for years: too few workers; stymied entrepreneurs; wages lower than the nation’s; declining infrastructure; and limited access to high-speed Internet. The Governor and the Iowa Legislature took steps to bolster transportation and infrastructure investment in the 2014 legislative session and continued investments in the talent pipeline; however, the persistent skills gap remains a challenge.

Other key findings of the Battelle Report included:

**Quality Job Growth:** Iowa grew both middle- and high-skilled jobs at a faster rate than the nation. Workers employed in high-skilled jobs grew nearly 20% from 2004 to 2013, while growth
nationally was about 14%. Middle-skilled employment in Iowa grew 4.4% but shrank 1.7% nationally. Iowa low-skilled employment declined 1.5% and grew 1.3% nationally.

**Rising Wages:** Wages in Iowa grew 15% from 2007 to 2013, compared to 12% nationally.

**Per Capita Income Growth:** Per capita income climbed about 23% from 2007 to 2013, outpacing U.S. gains of about 12%.

**More Stem Degrees:** Iowa increased the number of degrees in STEM — science, technology, engineering and math — 31% from 2009 to 2012.

**Research Investment:** Iowa businesses increased research and development 52% when compared to the gross state product from 2009 to 2011, placing it first among 15 benchmark states.

**Below-Average Per Capita Income:** Despite strong per capita income gains, Iowa ranked 23rd nationally last year in per capita income. Per capita income is "perhaps the most meaningful measure of how the state's economy is doing in generating a high standard of living for its residents," the report said.

**Low Rate of High-Skilled Jobs:** While gaining high skilled jobs, Iowa remained below the U.S. average in percentage of total jobs, with 23% employed in high-skilled positions compared to 26% nationally in 2013. And Iowa workers' wages are 23% below the national average.

**Few New Jobs in Key Industries:** Even with strong employers, Iowa's leading industries are not expected to be strong job-generators nationally over the next decade. For example, 10 of 12 industry clusters are expected to see job growth of less than 1%. Iowa will have to increase worker productivity and look for emerging markets in the industry clusters to see gains.

**Low Rate of Stem Jobs:** Despite recent gains, Iowa had only 10.1% of its post-secondary degrees awarded in STEM-related fields compared to a national average of 14.8%.

**Slow Population Growth:** Iowa's slow-growing population is troubling, increasing only 5.1% from 2000 to 2012, while the U.S. population grew 11.5%.

**Low Rate of Entrepreneurial Activity:** Despite gains, Iowa ranks last among benchmark states on entrepreneurial activity and 13th for new company generation.

**Infrastructure Needs:** The report cited a need for increased investment in infrastructure, from improving declining Iowa highways to expanding broadband access to making its cities more livable. In fact, 31% of businesses surveyed want, but cannot get, higher speed internet access. In response to this note, the Connect Every Acre Broadband Bill was signed into law. Connect Every Acre encourages the expansion of high-speed broadband internet to all corners of the state helping to foster growth for modern agriculture, increase access for rural communities and school districts and connecting small business to the global marketplace.

**State Workforce Development Capacity.** Provide an analysis of the capacity of State entities to provide the workforce development activities identified in (A), above.
Iowa is committed to creating and supporting a business climate that results in more jobs. During the 2013 legislative session, The Iowa Skilled Worker and Job Creation Fund was created with the chief goal of improving the lives of Iowans by helping them get appropriate training to compete for 21st century jobs. An historic $66,000,000 in annual appropriations was approved to address Iowa’s growing shortage of skilled workers. This fund will assist Iowans from all social and economic backgrounds in acquiring the skills and industry recognized credentials needed for successful access to in-demand jobs. Education, workforce development, job training and adult literacy programs are beneficiaries of this landmark legislation which exemplifies Iowa’s ongoing commitment to innovative and job-driven solutions.

The Skilled Worker and Job Creation Fund supported the following initiatives in 2015 with $40.3 million in state funding support delivered through Iowa’s fifteen community colleges:

- Gap Tuition Assistance Program (GAP)
- Workforce Training & Economic Development (WTED)
- Pathways for Academic Career & Employment Program (PACE)
- Work-Based Learning Intermediary Network
- Adult Basic Education & Adult Literacy Programs (AEL)
- Accelerated Career Education (ACE)
- Kibbie Skilled Workforce Shortage Tuition Grant Program

Iowans are proud of the many programs and activities aimed at improving the workforce and connecting employees with employers. Iowa industry faces a significant shortage of skilled workers and tradespersons, so Governor Branstad tripled funding to better support Registered Apprenticeship Programs throughout Iowa. Home Base Iowa connects veterans with opportunities for careers in Iowa, encourages additional incentives when locating to specific communities and outlines ways for veterans to continue service in options like the National Guard or Reserves. Skilled Iowa provides an potential employees with a credential that demonstrates to a potential employer what specialized tasks or general work talents he or she can perform. Created with the goal of increasing STEM interest and achievement, the STEM Council is a collaboration of bipartisan Iowa legislators, educators, business, nonprofits, students and families focused on improving STEM opportunities and awareness in Iowa.

In Iowa, working collaboratively to address economic and workforce delivery system issues has been ongoing, but there are opportunities for improving alignment of existing resources to demand-side needs. WIOA has provided a quality context and the proper guidance in which to improve collaborations across agencies.

The Core Partners have played a critical role in the development of Iowa’s Unified State Plan. This process would not have been possible without the commitment and dedication of all of the partners and stakeholders that participated in this process. The following pages provide an overview of programs, services, activities and agencies which serve to make up Iowa’s Workforce Delivery System.
(a) **State Strategic Vision and Goals.** The unified or combined state plan must include the state’s strategic vision and goals for developing its workforce and meeting employer needs in order to support economic growth and economic self-sufficiency. This must include—

1. **Vision.** Describe the state’s strategic vision for its workforce development system.
2. **Goals.** Describe the goals for achieving this vision based on the analysis in (a) above of the state’s economic conditions, workforce, and workforce development activities. This should—
   
   (A) Include goals for preparing an educated and skilled workforce, including preparing youth and individuals with barriers to employment\(^4\) and other populations.\(^5\)
   
   (B) Include goals for meeting the skilled workforce needs of employers.

**Iowa Unified State Plan Vision**

Workforce delivery systems will collaborate to build a Future Ready Iowa – a pipeline of skilled workers who are prepared to meet the workforce needs of Iowa’s current and emerging industries. In alignment with the National Governor’s Association Talent Pipeline vision and goals, this unified plan will ensure individuals are prepared for dynamic careers through an emphasis on lifelong learning while meeting the needs of employers. Future Ready Iowa will help more Iowans attain the “new minimum” of high-quality education, training, and work readiness by bringing together education, rehabilitation, workforce, and economic development resources and ensuring that all Iowans have access to an integrated and efficient workforce delivery system. Future Ready Iowans will be ready to meet the employment challenges of today and into the future so that ALL Iowans work in competitive, integrated employment settings.

The vision was developed in collaboration with core partners and stakeholders across Iowa. Crafting a vision that articulates the needs of many and reflects the value and philosophies of a wide range of partner agencies was the driving force behind the process. Many considerations were brought forth and input was sought from a diversity of stakeholders across Iowa. There are several initiatives in Iowa which directly relate to and support the precepts of WIOA and were an essential piece of the discussions surrounding the vision and goals of the Unified State Plan.

The Governor’s current goals for the state of Iowa, NGA Talent Pipeline vision and strategies, Future Ready Iowa, the current mission of Iowa Workforce Development as well as those from Core partner programs, and many other factors were considered, reviewed, and infused into the vision. Further, on October 5, 2015, Governor Branstad and Lt. Governor Reynolds advanced the Future Ready Iowa initiative by setting a goal of 70% of Iowans having two- and four-year college degrees, certificates, and other valuable credentials and experience by 2025.

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4 Individuals with barriers to employment include displaced homemakers; low-income individuals; Indians, Alaska Natives, and Native Hawaiians; individuals with disabilities, including youth who are individuals with disabilities; older individuals; ex-offenders; homeless individuals, or homeless children and youths; youth who are in or have aged out of the foster care system; individuals who are English language learners, individuals who have low levels of literacy, and individuals facing substantial cultural barriers; eligible migrant and seasonal farmworkers (as defined at section 167(i) of WIOA and Training and Employment Guidance Letter No. 35-14); individuals within 2 years of exhausting lifetime eligibility under the Temporary Assistance for Needy Families Program; single parents (including single pregnant women); and long-term unemployed individuals.

5 Veterans, unemployed workers, and youth and any other populations identified by the State.
Three primary goals have been established which will provide direction for Iowa’s leaders in moving the vision from an idea to a reality. The goals are centered on three main tenets of WIOA which include advancing Iowa’s current workforce, preparing tomorrow’s workers for participation in an advanced global economy and improving the way in which workforce development services are delivered.

Governor Branstad and Lieutenant Governor Reynolds have focused on four ambitious goals for their administration since 2011: 200,000 new jobs for Iowans, a 15% reduction in the cost of government, a 25 percent increase in family incomes and to again have the nation’s best schools. In October, 2015, another goal was added which is also supported by the Unified Plan goals, vision and content. Governor Branstad and Lieutenant Governor Kim Reynolds are calling for 70 percent of Iowans in the workforce to have education or training beyond high school by 2025 to increase career opportunities and provide employers with a skilled workforce.

A report released in October, 2015, by the Branstad-Reynolds Administration in collaboration with the Georgetown University Center on Education and the Workforce (Georgetown Center) projects that from 2010 to 2025, Iowa will add 612,000 jobs to its economy, including replacement jobs due to retirement. The report, Iowa: Education and Workforce Trends Through 2025, also finds that 68% of all jobs are expected to require postsecondary education and training beyond high school, which positions Iowa 18th among the states and 3 percentage points above the national average.

A framework of strategies provides the basis for to support objectives, align programs and to improve workforce delivery systems throughout Iowa. The strategies were developed to be flexible and dynamic serving as a guide for individual program work plans. The plan is that strategies will be open to ongoing development and continued improvement, while the goals and objectives remain constant.

The strategies, along with WIOA requirements, are used as guiding principles in creating program goals and objectives and developing performance measures.
### GOAL 1: All of Iowa’s workforce will represent a continuum of the most advanced, skilled, diverse and Future Ready workers in the nation.

#### Objective 1.1
Increase opportunities for workers to gain experience, skills, and credentials needed to obtain and maintain self-sustaining employment.

#### Goal 1 Strategies
- ∆ Provide workers with the skills, work-based learning opportunities, resources, accommodations and supports needed to secure and maintain self-sustaining employment so that ALL Iowans are working to their fullest potential.
- ∆ Ensure ALL Iowans have full access and direct connection to programs that work to address essential components of reading instruction and literacy.
- ∆ Create affordable options for workers to obtain education, training, skills, including personal and soft skills, necessary to secure and maintain self-sustaining employment.
- ∆ Implement an accessible data collection effort that streamlines data collection processes, increases efficiency throughout the workforce delivery system, and aids in accurate performance measurement used in decision-making.
- ∆ Identify duplication of services and gaps within the current workforce delivery system and draft policies and collaborative agreements to maximize resources that foster a more unified and consistent approach to the provision of workforce delivery services.
- ∆ Identify and quantify employers’ education, training, and workforce needs and capture those needs in a Talent Supply & Demand Dashboard to be driven by a public-private collaborative accessible computer system.
- ∆ Introduce Iowans to entrepreneurial skills, concepts, and provide opportunities for connection with Iowa’s business leaders.
- ∆ Provide individuals and small businesses with guidance, to include business enterprises, asset development, and benefits planning, in the start-up, operation, and effective management of entrepreneurial pursuits.

#### Objective 1.2
Remove and reduce identified barriers to employment for ALL of Iowa’s workers while expanding opportunities for traditionally under-served and underrepresented populations as defined by WIOA, the state of Iowa and local boards.

#### Objective 1.3
Strengthen supports to employers utilizing a business-driven model.
### GOAL 2: ALL Iowa youth will be afforded the best educational and career opportunities in the nation.

#### Objective 2.1
Assist all Iowa youth in their career path navigation by offering a variety of options supporting the transition from K-12 into the workforce.

#### Objective 2.2
Prepare Iowa’s youth to meet the evolving demands of tomorrow’s workforce.

#### Objective 2.3
Engage Iowa’s youth in the career path development process using creative, progressive, and self-directed techniques in the delivery of career services.

#### Goal 2 Strategies
- To ensure ALL Iowa students have full access and inclusion with a direct connection to cultivate, develop and align work-based learning opportunities through public-private partnerships that provide learners with a combined approach to learning which infuses experiential learning into classroom and community-based instruction.
- Identify and conduct appropriate and accessible assessments for use in assisting youth in identifying their career goals, aspirations and the development of a career path.
- Increase availability and accessibility of high quality Career and Technical Education (CTE) programs to all youth, including disconnected youth.
- Create a communication and information dissemination strategy targeting Iowa’s diverse workforce and various stakeholders including youth, parents, employers, and others.
- Align Career and Technical Education (CTE) programs for youth with current and future labor market needs.
- Introduce ALL youth to entrepreneurial skills development and concepts, while providing opportunities for connection with Iowa business leaders.
- Incorporate financial literacy education, community-based, and experiential learning opportunities as standard components of youth services.
GOAL 3: Iowa will improve the structure, accessibility and administration of workforce delivery systems across the state.

**Objective 3.1**

Workforce services will be delivered utilizing an approach that reduces duplication of services, is consistent throughout the state, and integrates education, rehabilitation, economic and workforce activities across the state.

**Goal 3 Strategies**

- Create a service delivery model which is business-driven, user-friendly, data-driven and meets the evolving needs of employers.
- Offer a variety of innovative options designed to meet the wide array of talents and needs represented among Iowa’s current and future workers. Apprenticeships, earn-while-you-learn and other programs will engage and support ALL Iowans in moving towards self-sustaining career goals.
- Bring a diversity of stakeholders together to review and create effective policies, programs, and opportunities for Iowa’s current and future workforce.
- Support current and future workers in their efforts to enter into self-sustaining employment.
- Develop a referral process that allows for direct connection by and between key agency staff, which includes holding agencies accountable for assisting workers in achieving success.
- Create an advanced training certification program of the One-Stop Center to ensure all One-Stop centers are operating consistently and that staff have access to the knowledge, resources, and supports across the state.
- Create a demand-driven labor market responsive strategy for the investment of workforce development resources within a region which are meet the needs of ALL job seekers.
- Minimize the participatory burden to an accessible system through the creation and implementation of a common intake and reporting system among core partners and relevant agencies.
- Communicate high-demand career pathways to students, parents, teachers, counselors, workers and community leaders through career planning, including a dashboard of career opportunities and required credentials and experience.
- Establish a Sector Partnership & Career Pathway Advisory Council to serve as cross agency leadership in the development and support of sector partnerships and career pathways.

**Objective 3.2**

Enhance the efficacy of workforce development programs through the purposeful alignment of education, rehabilitation, economic development, and workforce delivery systems.

**Objective 3.3**

Braid, integrate and seek diverse funding streams to maximize benefits and services to the employees and employers that make up Iowa’s workforce.

> Create a service delivery model which is business-driven, user-friendly, data-driven and meets the evolving needs of employers.
> Offer a variety of innovative options designed to meet the wide array of talents and needs represented among Iowa’s current and future workers. Apprenticeships, earn-while-you-learn and other programs will engage and support ALL Iowans in moving towards self-sustaining career goals.
> Bring a diversity of stakeholders together to review and create effective policies, programs, and opportunities for Iowa’s current and future workforce.
> Support current and future workers in their efforts to enter into self-sustaining employment.
> Develop a referral process that allows for direct connection by and between key agency staff, which includes holding agencies accountable for assisting workers in achieving success.
> Create an advanced training certification program of the One-Stop Center to ensure all One-Stop centers are operating consistently and that staff have access to the knowledge, resources, and supports across the state.
> Create a demand-driven labor market responsive strategy for the investment of workforce development resources within a region which are meet the needs of ALL job seekers.
> Minimize the participatory burden to an accessible system through the creation and implementation of a common intake and reporting system among core partners and relevant agencies.
> Communicate high-demand career pathways to students, parents, teachers, counselors, workers and community leaders through career planning, including a dashboard of career opportunities and required credentials and experience.
> Establish a Sector Partnership & Career Pathway Advisory Council to serve as cross agency leadership in the development and support of sector partnerships and career pathways.
(1) **Performance Goals.** Using the table provided in Appendix 1, include the State’s expected levels of performance relating to the performance accountability measures based on primary indicators of performance described in Section 116(b)(2)(A) of WIOA. (This strategic planning element only applies to core programs.)
(2) **ASSESSMENT.** Describe how the State will assess the overall effectiveness of the workforce investment system in the State in relation to the strategic vision and goals stated above in sections (b)(1), (2), and (3) and how it will use the results of this assessment and other feedback to make continuous or quality improvements.
In developing the Unified Plan Vision and Goals, key strategies were identified through an intensive process which will guide the alignment and coordination of enhanced workforce delivery services in Iowa. The strategies are aligned with the corresponding goals:

**Goal 1 Strategies**

- Provide workers with the skills, work-based learning opportunities, resources, accommodations and supports needed to secure and maintain self-sustaining employment so that ALL Iowans are working to their fullest potential.

- Ensure ALL Iowans have full access and direct connection to programs that work to address essential components of reading instruction and literacy.

- Create affordable options for workers to obtain education, training, skills, including personal and soft skills, necessary to secure and maintain self-sustaining employment.

- Implement an accessible data collection effort that streamlines data collection processes, increases efficiency throughout the workforce delivery system, and aids in accurate performance measurement used in decision-making.

- Identify duplication of services and gaps within the current workforce delivery system and draft policies and collaborative agreements to maximize resources that foster a more unified and consistent approach to the provision of workforce delivery services.

- Identify and quantify employers’ education, training, and workforce needs and capture those needs in a Talent Supply & Demand Dashboard to be driven by a public-private collaborative accessible computer system.

- Introduce Iowans to entrepreneurial skills, concepts, and provide opportunities for connection with Iowa’s business leaders.

- Provide individuals and small businesses with guidance, to include business enterprises, asset development, and benefits planning, in the start-up, operation, and effective management of entrepreneurial pursuits.

**Goal 2 Strategies**

- To ensure ALL Iowa students have full access and inclusion with a direct connection to cultivate, develop and align work-based learning opportunities through public-private
partnerships that provide learners with a combined approach to learning which infuses experiential learning into classroom and community-based instruction.

△ Identify and conduct appropriate and accessible assessments for use in assisting youth in identifying their career goals, aspirations and the development of a career path.

△ To ensure ALL Iowa students have full access and inclusion with a direct connection to cultivate, develop and align work-based learning opportunities through public-private partnerships that provide learners with a combined approach to learning which infuses experiential learning into classroom instruction.

△ Increase availability and accessibility of high quality Career and Technical Education (CTE) programs to all youth, including disconnected youth.

△ Create a communication and information dissemination strategy targeting Iowa's diverse workforce and various stakeholders including youth, parents, employers, and others.

△ Align Career and Technical Education (CTE) programs for youth with current and future labor market needs.

△ Introduce ALL youth to entrepreneurial skills development and concepts, while providing opportunities for connection with Iowa business leaders.

△ Incorporate financial literacy education, community-based, and experiential learning opportunities as standard components of youth services.

**Goal 3 Strategies**

△ Create a service delivery model which is business-driven, user-friendly, data-driven and meets the evolving needs of employers.

△ Offer a variety of innovative options designed to meet the wide array of talents and needs represented among Iowa's current and future workers. Apprenticeships, earn-while-you-learn and other programs will engage and support ALL Iowans in moving towards self-sustaining career goals.

△ Bring a diversity of stakeholders together to review and create effective policies, programs, and opportunities for Iowa's current and future workforce.

△ Support current and future workers in their efforts to enter into self-sustaining employment.

△ Develop a referral process that allows for direct connection by and between key agency staff, which includes holding agencies accountable for assisting workers in achieving success.
△ Create an advanced training certification program of the One-Stop Center to ensure all One-Stop centers are operating consistently and that staff have access to the knowledge, resources, and supports across the state.

△ Create a demand-driven labor market responsive strategy for the investment of workforce development resources within a region which are meet the needs of ALL job seekers.

△ Minimize the participatory burden to an accessible system through the creation and implementation of a common intake and reporting system among core partners and relevant agencies.

△ Communicate high-demand career pathways to students, parents, teachers, counselors, workers and community leaders through career planning, including a dashboard of career opportunities and required credentials and experience.

△ Establish a Sector Partnership & Career Pathway Advisory Council to serve as cross agency leadership in the development and support of sector partnerships and career pathways.

Core Partners and other key service providers have been designing policies around the strategies which were developed collaboratively among the partners and other relevant stakeholders. Core Partners have been meeting on a regular basis – often weekly – basis to ensure that planning efforts are truly partner-centric and reflective of the requirements of those who are most at-need within the workforce delivery system in Iowa.
(2) **Describe the strategies the State will implement, including sector strategies and career pathways, as required by WIOA section 101(d)(3)(B), (D).**

**Sector Partnerships:**

A **sector partnership** is a workforce collaborative that organizes key stakeholders and targeted industry partners into a sustainable working group that focuses on the long-term workforce needs of a targeted industry cluster. Membership in the sector partnership is determined by the targeted industry partners. Sector partnerships operate within a true labor market region and are not confined to particular workforce, education, or similar regional boundaries.

**Industry Partner**

The term **industry partners** means a concentration of interconnected businesses, suppliers, research and development entities, service providers, and associated institutions in a particular field that are linked by common workforce needs.

**Support Partner**

Sector **support partners** work to meet the skill, recruitment, and retention needs of employers and the training, employment, and career advancement needs of workers. By meeting the needs of sector partnerships on behalf of industry, jobseekers and workers, sector support partners strengthen a region’s overall economic vitality. Support partners should include entities such as:

- local government;
- local economic development agencies;
- local agencies;
- chambers of commerce;
- nonprofit organizations;
- philanthropic organizations;
- community service agencies;
- economic development organizations;
- industry associations;
- labor organizations, except in instances where no labor representation exists;
- representatives of local boards;
- representatives of K-12, adult education and postsecondary educational institutions or other training providers;
- representatives of State workforce agencies or other entities providing employment services; and
- representatives of other, related regional sector partnerships.
Convener and Facilitator or Facilitating Team

A key role in the development and implementation of a sector partnership is that of the convener and facilitator or facilitating team. A convener is a credible, entity in a position to identify regional economic and labor needs and convene industry and support partners to develop strategies which address the identified regional needs. A facilitator or facilitating team is a neutral, credible, and trained entity tasked with ensuring the on-going operation and sustainability of a sector partnership. Accordingly, the facilitator assists in introducing options which address identified regional needs, distinguishing resource needs and funding sources, and other activities vital to the functioning of the sector partnership.

The facilitator is not to be the sector partnership leader, a position which should be designated upon a partnership member. Rather, a facilitator works closely with the partnership leader to accomplish the aforementioned tasks.

A convener and facilitator may be the same entity – i.e., the convener may assume the facilitator role – if that entity possesses the appropriate capacities to fully perform in both roles.

Levels of Sector Partnership Development

A Mature Sector Partnership:

- Has a clear neutral facilitator or facilitating team;
- Is led by industry, as demonstrated by industry sector members playing leadership roles who are committed to the long-term sustainability of the sector partnership;
- Has broad industry engagement as demonstrated by industry members attending meetings, partnering on activities, providing in-kind or financial resources, or similar;
- Includes critical and engaged support partners across programs from workforce development economic development, education, community organizations and others. Sector partnership actively communicates and collaborates with regional Workforce Investment Board(s);
- Operates in a true labor market region, not within the confines of a workforce area, community college boundary, or other boundaries;
- Operates under a shared, long-term strategic plan, road map, etc.;
- Has developed at least one (1) effective, employer-validated career pathway in support of a target industry cluster based on mapping knowledge, skills and abilities and skill attainment at multiple entry and exit points;
- Has clear, identified priorities and is able to demonstrate recent (within the past six (6) months) or current activities, services or products that are a direct outcome of the partnership, including but not limited to:
- The design and implementation, with employers, of work-based learning models in targeted sector(s):
  - On-the-job training
  - Cooperative education
  - Paid internships
- Pre-apprenticeship or Registered Apprenticeship programs
  - Has common agreed-upon dashboard of success indicators (i.e. consensus around sector partnership “outcomes”), determined in part by indicators needed to bring about system changes

An Emerging Sector Partnership:

- Has at least an interim independent facilitator or facilitating team;
- Has engaged at least one private sector champion to help drive the launch and implementation of a sector partnership;
- Includes support partners from workforce development, education, economic development and other programs or organizations in strategic roles. Is developing the capacity to engage in active communication and collaboration with regional Workforce Investment Board(s);
- Can say with confidence when the partnership is expected to “launch;”
- Engaged in networking with mature sector partnerships.

Career Pathway Development

A career pathway consists of structured course sequences which organize rigorous and high-quality education, training, and other services related to a targeted industry cluster to meet the education and skill needs of the region and state, and the particular needs of an individual, all in the context of workforce preparation. This is achieved through collaboration between industry partners and support partners within a sector partnership.

A career pathway must include advising and support services which identify education and career needs and goals to meet the needs of individuals with or without the need for relevant and reasonable accommodations. To meet the needs of all individuals, a career pathway must be equipped to effectively:

- enable an individual to attain a secondary school diploma or its recognized equivalent and at least 1 recognized postsecondary credential;
- prepare an individual to be successful in any of a full range of secondary and postsecondary education options, including apprenticeships;
- help an individual enter and advance within a specific occupation or occupational cluster;

Attention must be given to strategies and approaches which accelerate the educational postsecondary credential attainment and career advancement of the individual to the extent practicable. Such strategies must include, but is not limited to, the concurrent delivery of adult education and eligible postsecondary education programs, and “bridge” curricula which connects adult and basic education to workforce preparation programs and integrates education and training to allow students to advance in their education and employment.

A career pathway system is the cohesive combination of sector partnerships, resources and funding, policies, data, and shared accountability measures that support the development,
quality, scaling and sustainability of career pathways for youth and adults. As such, a career pathway system is a long-term objective for which all sector partnerships should strive. A career pathway system is an overarching frame and is not couched within any one public education, workforce, or other system; however, one system may take the lead on developing the career pathway. The value of a career pathway system is that it is not created in a vacuum but connects and aligns all other related public systems to each other and to private and non-profit partners.

(3) **Describe the strategies the State will use to align the core programs, any Combined State Plan partner programs included in this Plan, mandatory and optional one-stop partner programs, and any other resources available to the State to achieve fully integrated customer services consistent with the strategic vision and goals described above. Also describe strategies to strengthen workforce development activities in regard to gaps identified in the State’s workforce analysis.**
III. OPERATIONAL PLANNING ELEMENTS

The Unified State Plan must include an Operational Planning Elements section that supports the State’s strategy and the system-wide vision described in Section II(c) above. Unless otherwise noted, all Operational Planning Elements apply to Combined State Plan partner programs included in the plan as well as to core programs. This section must include—

(a) State Strategy Implementation. The Unified State Plan must include—

(1) State Board Functions. Describe how the State board will implement its functions under Section 101(d) of WIOA (i.e., provide a description of Board operational structures and decision making processes to ensure such functions are carried out).

Iowa will update the statutory provisions for the Iowa Workforce Development Board of Directors to reflect the State’s strategic vision and goals for preparing an educated and skilled workforce.

Iowa will explore the establishment of an Operations Team, consisting of representatives from the Department of Workforce Development, the Department of Education, the Economic Development Authority and Iowa Vocational Rehabilitation Services to provide staff support to the Iowa Workforce Development Board of Directors to achieve improved alignment of the core WIOA programs and the state’s education, workforce and economic development programs. The Operations Team will be tasked with the following:

- Coordinate and staff the activities of the Workforce Development Board of directors.
- Facilitate and coordinate all research, reports data, analysis, and recommendations associated with the operations team and its purposes.
- Provide regular updates to the Workforce Development Board on the status of activities of the operations team and the progress made in aligning programs pursuant to the purposes of the board.

The Director of the Department of Workforce Development will designate the person to coordinate and lead the operations team. Staffing and administrative costs for the operations team shall be provided by the Department of Workforce Development, the Department of Education, the Economic Development Authority and Iowa Vocational Rehabilitation Services.

The Iowa Skilled Worker and Job Creation Fund is a state resources available to support the achievement of the state’s strategic vision and goals.

On June 24, 2015, Governor Branstad notified the U.S. Department of Labor that pursuant to subsection 101(a) of the Workforce Innovation and Opportunity Act (WIOA), the state of Iowa will "grandfather" the existing Iowa Workforce Development Board as the state board pursuant to section 101 (e)(1) of the Act during the original two year assessment period. The State Board in its present configuration was "grandfathered" under a similar provision in the Workforce Investment Act of 1998 (WIA). The Board was created by statute in the Iowa code in 1996, and
was in existence on the day before the enactment of WIA. The function of the Board is identical to the duties of the board described in subsection 101(d) WIOA.

Having met identical criteria in WIA, the membership and structure is similar to the requirements described in subsections 101(a) through (c) WIOA, with 4 members each representing business and labor plus a representative from a nonprofit involved in workforce development services. To change the current structure of the State Board, Iowa would need to first amend the Iowa Code which established the current structure.

The function of the Board is identical to the duties of the board described in subsection 107(d) WIOA.
Iowa’s implementation strategy involves a multitude of activities and strategies which will support, strengthen and standardize collaborations among agencies. A statewide Memorandum of Understanding (MOU) will be used to formally guide implementation of WIOA in Iowa. The strategies and associated activities that will be funded by the entities carrying out the respective core program activities will be identified in the MOU.

Actions and methods supporting the state’s implementation efforts currently include:

- Iowa’s Unified State Plan,
- Statewide MOU,
- Policies developed in partnership with Core Partners,
- Standard Operating Procedures Manual,
- Shared monitoring criteria for One-Stop Center staff,
- State Board program review and recommendations,
- Establishment of quality assurance standards,
- Ongoing programmatic reviews by the implementation team,
- Centralized, interactive website,
- Co-enrollment of program participants and
- Shared advanced data collection system.

The following entities are parties to the statewide MOU:

- Adult Education and Literacy, State of Iowa Department of Education
- Career and Technical Education, State of Iowa Department of Education
- State of Iowa Vocational Rehabilitation
- State of Iowa Department for the Blind
- Promise Jobs, Iowa Workforce Development
- Iowa Workforce Development

The collaboration WIOA requires need not be limited to the boundaries of a Local Region, Area, or One-Stop System. Ideas must flow horizontally, both within and across Local Regions or Areas, as well as vertically between State Partners, Local Partners, Local Boards, and Local staff. The Partners will regularly engage one another and Local Partners, Boards, and Staff regarding workforce system delivery in order to develop policies, procedures, and guidance with respect to the best practices to achieve the objectives of WIOA and Future Ready Iowa.

Increased access for the most at-risk workers is a primary focus of the MOU and providing excellent service to persons with barriers to employment is a top priority. Members of the following populations have been identified as being at-risk for the purposes of WIOA, however, other groups which may be based locally or regionally may also be designated as a target population at the discretion of the local or state board.
(A) **Core Program Activities to Implement the State’s Strategy.** Describe the activities the entities carrying out the respective core programs will fund to implement the State’s strategies. Also describe how such activities will be aligned across the core programs and Combined State Plan partner programs included in this plan and among the entities administering the programs, including using co-enrollment and other strategies.

The Partners will collaborate to develop an integrated case management system that will help streamline workforce development service delivery across the state. The integrated case management system will help streamline service delivery and avoid redundancies. This will help to maximize resources so that they can be directed toward providing high-quality services to job seekers, employees, and employers that are responsive to real-time and future labor market needs.

(B) **Alignment with Activities Outside the Plan.** Describe how the activities identified in (A) will be aligned with programs and activities provided by mandatory one-stop partners and other optional one-stop partners and activities provided under employment, training (including Registered Apprenticeships), education (including career and technical education), human services and other programs not covered by the plan, as appropriate, assuring coordination of, and avoiding duplication among these activities.

(C) **Coordination, Alignment and Provision of Services to Individuals.** Describe how the entities carrying out the respective core programs, Combined State Plan partner programs included in this plan, and mandatory and optional one-stop partner programs will coordinate activities and resources to provide comprehensive, high-quality, customer-centered services, including supportive services to individuals including those populations identified in Section II(A)(1)(B). The activities described shall conform to the statutory requirements of each program.

The Partners shall collaborate to develop policies, procedures, and best practices to facilitate the organization and integration of workforce services by function (rather than by program) when permitted by a program’s authorizing statute and as appropriate. This will include coordinating staff communication, capacity building, and training efforts. Functional alignment includes having one-stop center staff who perform similar tasks serve on relevant functional teams (e.g. Skills Development Team, Business Services Team). Service integration shall focus on serving all customers seamlessly (including targeted populations) by providing a full range of services staffed by cross-functional teams, consistent with the purpose, scope, and requirements of each program.

The Partners strive to achieve an integrated partnership that seamlessly incorporates services of the core partners and other system partners. The Partners shall collaborate to develop and implement operational policies, procedures, and best practices that reflect an integrated system.
of performance, communication, and case management, and use technology to achieve integration and expanded service offerings.

The Partners will collaborate to develop policies and guidance with respect to best practices to increase and maximize access to services for individuals, particularly those with barriers to employment. State Partners will also provide assistance (technical or otherwise) to Local Partners, Boards, and staff so that they can implement policies, procedures, and practices to help increase and maximize access to workforce development services for individuals, particularly those with barriers to employment.

As part of the Value Stream Mapping event a review of processes among and between WIOA Core Partners was conducted. The team examined current alignment of systems and analyzed ways to improve system alignment.

The issues identified by the mapping exercise included the following:

- Reduce duplication, identify barriers to collaboration, and increase collaboration of all partners at the one-stops.
- Focus on workforce training beyond entry-level skills and achieving postsecondary awards/credentials.
- Identify barriers to participant referrals or access to appropriate service providers within the one-stop system.
- Identify integration opportunities and data sharing on assessment information among workforce partners.
- Reduce duplication of services among various partners.
- Develop knowledge of all partners regarding roles and responsibilities.

New strategies for addressing issues identified by Value-Stream Mapping recommended:

- Integrate one-stop services (remove duplication and increase communication among partners);
- Incorporate middle skills training and learn-and-earn models into system;
- Develop a common intake and referral process for all partners;
- Develop a system for universal assessment and sharing results among agencies;
- Provide professional development to program staff on integration within a one-stop system;
- Co-enroll common customers in appropriate educational programs;
- Develop data-sharing capability among all agencies;
- Develop a data-sharing system that contains a common eligible training provider list;
- Braid funds to better utilize resources efficiently.

The information from the mapping event was used in the development of sub committees for the plan so that those committees would develop strategies and plans on how to improve the alignment of the workforce partnership.
All subcommittees and working groups have representation from the Core Partners, and also from key stakeholders on behalf of various populations, sectors and other identified participants.

Alignment of the core programs, as well as other resources available to the State, have been infused into the vision and goals and incorporated throughout the Unified State Plan. Key Stakeholders have been identified and invited to be participants in Iowa’s planning and implementation process. Many collaborative relationships in Iowa were established prior to WIOA and have strengthened during this process, while others are being reviewed for inclusion.

WIOA planning efforts have served to solidify key relationships, provide a context in which to enhance ongoing partnerships and have served as a roadmap for establishing new and innovative connections within Iowa’s workforce delivery system.

(D) COORDINATION, ALIGNMENT AND PROVISION OF SERVICES TO EMPLOYERS. DESCRIBE HOW THE ENTITIES CARRYING OUT THE RESPECTIVE CORE PROGRAMS, ANY COMBINED STATE PLAN PARTNER PROGRAM INCLUDED IN THIS PLAN, MANDATORY AND OPTIONAL ONE-STOP PARTNER PROGRAMS WILL COORDINATE ACTIVITIES AND RESOURCES TO PROVIDE COMPREHENSIVE, HIGH-QUALITY SERVICES TO EMPLOYERS TO MEET THEIR CURRENT AND PROJECTED WORKFORCE NEEDS. THE ACTIVITIES DESCRIBED SHALL CONFORM TO THE STATUTORY REQUIREMENTS OF EACH PROGRAM.

Iowa has taken many steps to put the needs of employers and industry first. Programs such as the IVRS self-employment program, brings members of the workforce delivery team into the field to connect with employers and create new solutions for employees. The Business Development Manager for IVRS, and IVRS regional staff all work together with the SBDC and Department of Economic Development to support small business and technical assistance and support for existing businesses. IVRS is a member of the Chamber of Commerce throughout the State of Iowa in the various area offices. A system of responsive supports to businesses have been created and are provided through this outreach activity.

Businesses do not require all the VR services and have indicated they may need 3 -4 services from IVRS. So IVRS tailors what is provided to business and industry to meet their needs. These things range from providing technical assistance on resources to HR to help support their business when employees seek out specialized needs that come to their attention; as well as developing a full Resource Fair for business as an expressed need from businesses. IVRS also has embedded through a contract for services a Recruitment and Retention Specialist in businesses to assist them in their diversity recruitment and retention of individuals with disabilities. IVRS is planning on expanding this so that every area office has a large employer that has one of these contacts that focuses on outcomes.

Iowa places a high value in supporting the development of industry partnerships and rapid entrance into the workforce through various routes. Well planned and state-supported Sector Partnerships and Career Pathways can increase the number of individuals who obtain an industry valued credential and enter into sustainable employment. The state of Iowa has worked to develop state-wide definitions of Sector Partnership and Career Pathway which are...
supported by all relevant state agency leaders and allow for consistency and quality across systems and throughout the state.

(E) **Partner Engagement with Educational Institutions.** Describe how the state’s strategies will engage the state’s education and training providers, including community colleges and area career and technical education schools, as partners in the workforce development system to create a job-driven education and training system.

Iowa’s fifteen comprehensive community colleges have been an integral part of Iowa’s workforce development delivery system for many years. Ten of Iowa’s community colleges currently serve as their regions one-stop operator. $40.3 million in state funding from the Iowa Skilled Worker and Job Creation Fund is delivered through Iowa’s community colleges supporting a comprehensive portfolio of education, job training, workforce development and economic development programs serving a broad range of Iowans.

Iowa has a rich and well documented history of quality community college engagement. In 2008, the Government Accountability Office (GAO) identified Iowa, along with 5 other states, as having innovative initiatives in place to address workforce needs. The following measures were examined: (1) how community colleges meet the workforce training needs of communities; (2) One-Stop integration; (3) conditions that enhance or impede efforts; (4) actions that labor and education have taken to encourage linkages between community colleges and the workforce delivery system, including One-Stops. (Attachment D).

Des Moines Area Community College, Kirkwood Community College and North Iowa Area Community College were chosen by GAO to participate in this in-depth examination and were shown to be highly integrated within the One-Stop Centers. The report can be accessed at [http://www.gao.gov/new.items/d08547.pdf](http://www.gao.gov/new.items/d08547.pdf).

(F) **Leveraging Resources to Increase Educational Access.** Describe how the state’s strategies will enable the state to leverage other federal, state, and local investments that have enhanced access to workforce development programs at the above institutions, described in section (E).

(G) **Improving Access to Postsecondary Credentials.** Describe how the state’s strategies will improve access to activities leading to recognized postsecondary credentials, including registered apprenticeship certificates. This includes credentials that are industry-recognized certificates, licenses or certifications, and that are portable and stackable.

Over the past four years, Iowa’s community colleges and their regional partners have used the sector partnership approach to better meet the workforce needs of key industry sectors.

The Pathways for Academic Career Education Program (Iowa Code Chapter 260H) was passed by the Iowa Legislature in 2011 and funded with an annual $5.0 million appropriation from the Iowa Skilled Worker and Job Creation Fund in 2012. The PACE program contains statutory
language (260H.7B) defining a sector partnership and authorizes the use of PACE funding to develop and implement sector partnerships and career pathways. Iowa’s policies are highlighted in a study issued by the National Skills Coalition in August 2015 titled “Skills in the States - Sector Partnership Policy.”

A Sector Partnership and Career Pathways Advisory Council was convened in early 2015 by the Iowa Department of Education, Division of Community Colleges to develop standard definitions, performance metrics and operational guidelines for the development of sector partnerships and career pathway programs in Iowa. The Council’s work was completed in September 2015 and should be credited with significantly advancing an important discussion in the State. However, the group’s work was not industry-led and sector strategy work must include key industry sector representatives to gain the necessary long-term buy-in and commitment for sustained success. After further collaboration with Iowa’s employers is completed, then the long-term structure and commitment to drive sector partnerships and career pathway work will be clarified – and that structure may vary across industries.

1) Establish an Iowa Sector Partnership Leadership Council

   a) Reconfigure the existing Sector Partnership & Career Pathway Advisory Council to serve in this role. There should be a state organized identified structure representative of title partners in WIOA, providing ongoing leadership and technical assistance for establishing visibility, collaboration and setting priorities as highlighted in Future Ready Iowa.

      i) Membership should include broad education, workforce development, economic development and industry association representatives.

      ii) Tasked to provide state level leadership, support, policy development, coordination and guidance to regional sector partnership development.

      iii) Convene annual statewide workshops for regional sector partnerships from across the state to share best practices and promote statewide collaboration.

   b) The Operations team would provide staff support to the Council.

   c) The Council should serve as an advisory committee to the Iowa Workforce Development State Board of Directors.

2) Develop a statewide data base of existing sector partnerships and career pathways in Iowa.

   a) Update the inventory of sector partnerships and career pathways conducted in October 2014 by Iowa’s community colleges.

   b) Funding from the SP NEG grant will be used to finance the development of this data base and web portal.
3) Facilitator Training

   a) Conduct a training session for sector partnership facilitators to develop a statewide pool of trained, professional facilitators to assist in the development of regional sector partnerships.

   b) Funding from the SP NEG grant will be used to finance this training session facilitated by a recognized sector partnership consultant.

4) Expand Statewide Work Based Learning Intermediary Network

   a) Based on feedback from the CTE taskforce as well as WIOA efforts, seek to:

      i) Prioritize and align funding and programmatic efforts across agencies to identify gaps and reduce duplication

      ii) Remove barriers to address a wider spectrum of audience participants

   (H) Coordinating with Economic Development Strategies. Describe how the activities identified in (A) will be coordinated with economic development entities, strategies, and activities in the State.

The Iowa Partnership for Economic Progress (IPEP), an industry-led, CEO-level advisory board established by Governor Branstad in 2011 was charged with the task of continuously identifying and studying economic growth issues facing Iowa and recommend solutions and policy alternatives.

IPEP set out three guidelines for approaching the re-envisioning of Iowa’s economic development roadmap. First, engage a broad range of economic development stakeholders from private industry, economic development and higher education to ensure broad-based input. Second, complete a comprehensive analysis of Iowa’s industry clusters, development resources and economic assets. Finally, review and recommend the programs necessary for Iowa to strengthen its existing industry clusters and capitalize on opportunities for growth.

   (a) State Operating Systems and Policies The Unified or Combined State Plan must include a description of the State operating systems and policies that will support the implementation of the State’s strategies. This includes—

      1) The State operating systems that will support the implementation of the State’s strategies. This must include a description of—

      (A) State operating systems that support coordinated implementation of State strategies (e.g., labor market information systems, data systems, communication systems, case-management systems, job banks, etc.).

      (B) Data-collection and reporting processes used for all programs and activities, including those present in one-stop centers.
THE STATE POLICIES THAT WILL SUPPORT THE IMPLEMENTATION OF THE STATE’S STRATEGIES (E.G., CO-ENROLLMENT POLICIES AND UNIVERSAL INTAKE PROCESSES):

The integrity of local direction is fortified by the guidance provided by representation of the local region’s businesses, labor, educators and local elected officials – in short, the Regional Workforce Investment Board. In each region, the Regional Workforce Investment Board is supported by the Iowa Workforce Development Board, and Iowa state agencies, in guiding the coordinated implementation of Iowa’s Integrated Service Delivery system in each of the 15 regional workforce development areas that is responsive to the job seekers, employers, Registered Apprenticeship and other partners within the communities in each region. In addition to Wagner-Peyser, Trade, Veterans, New Iowans and Workforce Investment Act Title 1 Adult and Dislocated Worker programs, the Iowa Integration Service Delivery model will provide services to Unemployment Insurance recipients and PROMISE JOBS participants.

The merger of multiple partners and services into a seamless delivery system in a well-integrated One-Stop Center requires planning, policy, and commitment. As a primary partner in each center, it is the policy of Iowa Workforce Development to provide a base level of core services, which in turn are complemented and enhanced by the partner services that may be of a more intensive level or involve training. In this way, integration is emphasized and duplication is minimized. This approach also promotes the maximum use of Workforce Investment Act funds for training activities. The Iowa Legislature passed House File 2699 (2008) that required Iowa Workforce Development to develop a plan by January 1, 2009 that would coordinate the workforce delivery system in a more efficient, cost-effective manner while improving services for customers; co-location and integration of all workforce and job training programs, and improves the effectiveness of the regional workforce system. This workforce delivery system is known as the Iowa Integration Delivery System.

Adoption of the Iowa Integrated Service Delivery model by the local Regional Workforce Investment Board in collaboration with Iowa Workforce Development includes the development of local functional leadership whose responsibility is to manage the delivery of products and services regardless of their program source. This includes referrals made to employers, registered apprenticeship programs and other benefits that would benefit the job seeker in their search for employment. Iowa has three functional teams that deliver services in a comprehensive manner at the core and intensive level, Membership, Skill Development and Recruitment and Placement. The philosophy of Iowa’s Integrated Service Delivery model applies to services provided to both job seekers, employers and future workforce participants.

Policy guidance for integrated service delivery in the One-Stop System is provided in the IowaWORKS Integration Policies Guidebook (Iowa Workforce Development, February 27, 2012) and in the Iowa Workforce Investment Act Handbook.

(2) STATE PROGRAM AND STATE BOARD OVERVIEW.

(A) STATE AGENCY ORGANIZATION. DESCRIBE THE ORGANIZATION AND DELIVERY SYSTEMS AT THE STATE AND LOCAL LEVELS FOR THE PROGRAMS COVERED IN THE PLAN, INCLUDING THE ORGANIZATIONAL STRUCTURE. INCLUDE AN ORGANIZATIONAL CHART.

(B) STATE BOARD. PROVIDE A DESCRIPTION OF THE STATE BOARD, INCLUDING---
(i) Membership Roster. Provide a membership roster for the State Board, including members’ organizational affiliations.

(ii) Board Activities. Provide a description of the activities that will assist State Board members and staff in carrying out State Board functions effectively.

(3) Assessment of Programs and One-Stop Program Partners.

(A) Assessment of Core Programs. Describe how the core programs will be assessed each year based on State performance accountability measures described in section 116(b) of WIOA. This State assessment must include the quality, effectiveness, and improvement of programs broken down by local area or provider.

(B) Assessment of One-Stop Program Partner Programs. Describe how other one-stop delivery system partner program services and Combined State Plan Partner Program included in the plan will be assessed each year.

One-Stop Centers will be assessed using criteria developed by the Core partners, customer representatives, additional partners and other key stakeholders – including customer input. Multiple avenues will be utilized to evaluate the effectiveness of One-Stop services including:

- Development of a shared One-Stop Operations Manual
- Monitoring checklist
- Development of self-evaluation training, toolkit and ongoing guidance
- A system for obtaining client feedback which is user-friendly, streamlined and accessible
  - Surveys will be accessible in multiple formats, provided in a variety of ways, and can be submitted anonymously – at no cost or inconvenience to the client: This will be accomplished using SASE’s, online submission,
- Office Peer Review tool
- Timely survey evaluation and dissemination to local programs
- Dedicated Technical Assistance personnel available for on-site and remote TA
(C) **Previous Assessment Results.** Provide the results of an assessment of the effectiveness of the core programs and other one-stop partner programs and Combined State Plan partner program included in the plan during the preceding 2-year period. Describe how the State is adapting its strategies based on these assessments.

(4) **Distribution of Funds for Core Programs.** Describe the methods and factors the State will use in distributing funds under the core programs in accordance with the provisions authorizing such distributions.

(A) **For Title I Programs,** provide a description of the written policies that establish the State’s methods and factors used to distribute funds to local areas for—

- (i) Youth activities in accordance with WIOA section 128(b)(2) or (b)(3).
- (ii) Adult and training activities in accordance with WIOA section 133(b)(2) or (b)(3).
- (iii) Dislocated worker employment and training activities in accordance with WIOA section 133(b)(2) and based on data and weights assigned.

(B) **For Title II:**

- (i) Describe how the eligible agency will award multi-year grants or contracts on a competitive basis to eligible providers in the State, including how eligible agencies will establish that eligible providers are organizations of demonstrated effectiveness.
- (ii) Describe how the eligible agency will ensure direct and equitable access to all eligible providers to apply and compete for funds and how the eligible agency will ensure that it is using the same grant or contract announcement and application procedure for all eligible providers.

(C) **Title IV Vocational Rehabilitation**

In the case of a State that, under section 101(a)(2)(A)(ii) of the Rehabilitation Act designates a State agency to administer the part of the Vocational Rehabilitation (VR) services portion of the Unified or Combined State Plan under which VR services are provided for individuals who are blind, describe the process and the factors used by the State to determine the distribution of funds among the two VR agencies in the State.
(1) **Program Data**

(D) **Data Alignment and Integration.** Describe the plans of the lead State agencies with responsibility for the administration of the core programs, along with the State Board, to align and integrate available workforce and education data systems for the core programs, unemployment insurance programs, and education through postsecondary education, and to the extent possible, the Combined State Plan partner programs included in this plan. The description of the State’s plan for integrating data systems should include the State’s goals for achieving integration and any progress to date.

(i) **Describe the State’s plans to make the management information systems for the core programs interoperable to maximize the efficient exchange of common data elements to support assessment and evaluation.**

(ii) **Describe the State’s plans to integrate data systems to facilitate streamlined intake and service delivery to track participation across all programs included in this plan.**

(iii) **Explain how the State board will assist the Governor in aligning technology and data systems across mandatory one-stop partner programs (including design and implementation of common intake, data collection, etc.) and how such alignment will improve service delivery to individuals, including unemployed individuals.**

(iv) **Describe the State’s plans to develop and produce the reports required under Section 116, Performance Accountability System. (WIOA Section 116(d)(2)).**

**Planning Note:** States should be aware that Section 116(i)(1) requires the core programs, local boards, and chief elected officials to establish and operate a fiscal and management accountability information system based on guidelines established by the Secretaries of Labor and Education. Separately, the Departments of Labor and Education anticipate working with States to inform future guidance and possible information collection(s) on these accountability systems. States should begin laying the groundwork for these fiscal and management accountability requirements, recognizing that adjustments to meet the elements above may provide opportunity or have impact on such a fiscal and management accountability system.

In order to meet the requirements of WIOA for cooperation between the programs of Workforce Development, Vocational Rehabilitation, and Department of Education common portal, referred to as the “Participant Profile,” collects common demographical information and assigns a state wide Participant Individual Record Layout (PIRL) number. The profile uses OpenID to permit users to use their personal email address and password. This portal is owned by Iowa Workforce Development and is currently scheduled to receive upgrades which will make agency cross-use more efficient, reliable and secure.
The participant may create their own profile or one may be created by a staff member. The ID of the participant can be verified through DOT records or through Experian. Each individual program will have additional information specific to that program but will use common information, along with the PIRL number, from the profile.

The staff will have a dashboard with the status of each program by participant. Only the staff authorized for each program will have the ability to access details for each program.

The Department of Education (DE) posts a number of publicly available data sets on its website. The Education Statistics webpage features downloadable datasets which include data sets such enrollment figures, district assessments and teacher salary figures. In order to request additional information complete the online data request form. These requests are filled in the order they are received. Some data requests can be denied due to the complexity of the request or the type of data requested. The DE must ensure that any data release is not personally identifiable.

Education data is governed by the requirements within the Family Educational Rights and Privacy Act (FERPA). The DE is prohibited from releasing student information that is protect or identifiable under this law. The DE will redact data to ensure privacy standard are met.

The DE's Data Governance Committee serves as a separate and specialized advisory committee that defines the project's approach to master data management. The Data Governance Committee creates its mission statement, goals and objectives, which ultimately define the data culture of the DE. The chief role of the Data Governance Committee is to identify data issues and propose recommended solutions. Since it has no policy making authority, the Data Governance Committee provides the Steering Committee with the recommendations needed to adopt policies that ensure the quality, availability, security, and usability of Iowa education data for purposes of the Statewide Longitudinal Data System (SLDS). The group includes members that are subject matter authority regarding data processes. The Data Governance Committee identifies the business rules required to make certain data are current, consistent and accurate.

Iowa’s Common Data-Collection and Reporting Process

Iowa’s common data-collection and reporting processes are used for all programs and activities provided by workforce investment funds at Iowa’s One-Stop Centers. Iowa’s Integrated Service Delivery system uses a common job-seeker registration and enrollment process that also uses the same computer software that generates the participant data base. IWorks is Iowa’s data management system.

IWorks is web-enabled, requires a secure-password access and has been customized to meet Iowa’s case management and reporting system needs. Each job seeker who comes to a One-Stop Center is asked to enter their specific demographic information, which begins their registration. This partial registration information is then completed and verified by Center staff to ensure complete data entry and program enrollment.

IWorks is the common data-collection process for the following programs:
• Workforce Innovation and Opportunity Act
• Wagner-Peyser Act
• Veterans Employment Training programs
• Migrant and Seasonal Farm Workers
• PROMISE JOBS
• Food Assistance Employment & Training

Iowa’s State Performance Accountability System

Iowa Workforce Development, Adult Education and Family Literacy, and Vocational Rehabilitation will adopt joint performance reporting requirements as outlined in Workforce Innovation and Opportunity Act Section 116 providing that State joint performance measures and reporting requirements under authority of the Secretary of Labor and Education. Joint performance measures for WIOA shall consist of six (6) customer outcomes specific to core indicators of performance and employer (customer) satisfaction by program.

(A) **Assessment of Participants’ Post-Program Success.** Describe how lead State agencies will use the workforce development system to assess the progress of participants who are exiting from core programs in entering, persisting in, and completing postsecondary education, or entering or remaining in employment. States may choose to set additional indicators of performance.

(B) **Use of Unemployment Insurance (UI) Wage Record Data.** Explain how the State will meet the requirements to utilize quarterly UI wage records for performance accountability, evaluations, and as a source for workforce and labor market information, consistent with federal and state law. (This Operational Planning element applies to core programs.)

(C) **Privacy Safeguards.** Describe the privacy safeguards incorporated in the State’s workforce development system, including safeguards required by section 444 of the General Education Provisions Act (20 U.S.C. 1232g) and other applicable federal laws.
The state of Iowa has established policies regarding priority of service for veterans. Veterans and eligible spouses, including widows and widowers as defined in the statute and regulations, are eligible for priority of service. For the purposes of implementing priority of service, the Final Rule requires that program operators use the broad definition of veteran found in 38 U.S.C. 101(2). Under this definition, the term “veteran” means a person who served at least one day in the active military, naval, or air service, and who was discharged or released under conditions other than dishonorable, as specified in 38 U.S.C. 101(2). Active service includes full-time Federal service in the National Guard or a Reserve component. This definition of “active service” does not include full-time duty performed strictly for training purposes (i.e., that which often is referred to as “weekend” or “annual” training), nor does it include full-time active duty performed by National Guard personnel who are mobilized by State rather than Federal authorities (State mobilizations usually occur in response to events such as natural disasters).

Proposed rule 680.650 reaffirms that veterans continue to receive priority of service in ALL DOL-funded training programs but that a “veteran must still meet each program’s eligibility criteria.” Thus for WIOA Title I Adult services, the program’s eligibility and priority considerations must be made first, and then veteran’s priority applied.

Local areas must give priority of service to participants that fall into one of the below priority categories (Proposed § 679.560(b)(21)):

1) Recipients of public assistance

2) Other low-income individuals. The term “low income individuals” is defined in WIOA Law—Definitions; Section 3(36) means an individual who:

   • Receives, or in the past 6 months has received, or is a member of a family that is receiving or in the past 6 months has received, assistance through the Supplemental Nutrition Assistance Program (SNAP), TANF, Supplemental Security Income (SSI) under Title XVI of the Social Security Act, or state or local income-based public assistance program; or
   • Receives an income or is a member of a family receiving an income that in relation to family size, is not in excess of the current U.S. DOL 70 percent Lower Living Standard Income Level and U.S. Department of Health and Human Services Poverty Guidelines or
   • Is a homeless individual, or
• Is an individual with a disability whose own income meets the income requirements above, but who is a member of a family whose income does not meet this requirement.

3) Individuals who are basic skills deficient. The term “basic skills deficient” is defined in Section 3(5) to mean a youth or adult who is unable to compute or solve problems, or read, write, or speak English at a level necessary to function on the job, in the individual’s family, or in society. Iowa Workforce Development (IWD) is providing guidance for making this determination by defining it as an individual who meets ANY ONE of the following:

• Lacks a high school diploma or equivalency and is not enrolled in secondary education; or
• Scores below 9.0 grade level (8.9 or below) on the TABE; CASAS or other allowable assessments as per National Reporting System (NRS) developed by the U.S. Department of Education’s Division of Adult Education and Literacy or
• Is enrolled in Title II adult education (including enrolled for ESL); or
• Has poor English language skills (and would be appropriate for ESL even if the individual isn’t enrolled at the time of WIOA entry into participation.

The career planner makes observations of deficient functioning and records those observations as justification in the data management system or as a case note.

4) Individuals (non-covered persons) who do not meet the above priorities may be enrolled on a case by case basis with documented managerial approval. The WIOA eligible adult must meet one or more of the following categories of an individual with a barrier to employment:

• Displaced homemakers;
• Individuals with disabilities;
• Older individuals;
• Ex-offenders;
• Eligible migrant and seasonal farm workers;
• Single parents (including single pregnant women)

1. Action: Regional Workforce Development Boards (RWDBs) are to ensure One-Stop Operators and service providers recruit individuals in the priority of service categories and develop and provide appropriate services to meet those populations’ needs. Local areas must establish written policies and procedures to ensure priority for the populations described in this guidance for participants served in the WIOA Adult program for eligibility determinations beginning on July 1, 2015.

Local Boards must establish criteria for providing priority to individualized career and training services with WIOA adult funds. Criteria may include other resources and funds for providing career and training-related services in the workforce area, as well as the needs of specific
groups in the local workforce area and other factors the Board determines appropriate. Additionally, based on local policy, the Boards may:

- Establish a process that also gives priority to other individuals; and
- Choose to provide individualized career and training services to adults whose income is above the WIOA income guidelines requirement but below the Board-established self-sufficiency wage level.

(5) **Addressing the Accessibility of the One-Stop Delivery System. Describe how the One-Stop Delivery System (including One-Stop Center Operators and the One-Stop Delivery System partners), will comply with Section 188 of WIOA (if applicable) and applicable provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) with regard to the physical and programmatic accessibility of facilities, programs, services, technology, and materials for individuals with disabilities. This also must include a description of compliance through providing staff training and support for addressing the needs of individuals with disabilities. Describe the State’s One-Stop Center Certification Policy, particularly the accessibility criteria. (This Operational Planning Element applies to Core Programs.)**

In developing the Unified State Plan, Iowa has incorporated several measures which will assist in ensuring that ALL Iowans have equal opportunities within the workforce delivery system and throughout the workforce. Iowa has worked very closely with and has relied upon the expertise provided by the Iowa Vocational Rehabilitation Services (IVRS). As a Core partner, IVRS has provided ongoing technical assistance and support regarding development of policies, procedures, and system design and evaluation protocols to ensure that ALL Iowans have full and unhindered access. Additionally, key IWD staff and WIOA work group implementers have participated in LEAD Center Webinars regarding serving persons with disabilities under WIOA and have infused the promising practices and policies throughout the vision and goals and the entirety of the Unified State Plan.

The reference guide (Attachment D) created in partnership between the LEAD Technical Assistance Center, the Civil Rights Center and DOL, ETA is being used as a foundation for system design in regard to ensuring ALL Iowans have equally and supported access to services throughout Iowa. The One-Stop Operations and System Design Group has been tasked with creating effective policies, plans and procedures that will be incorporated into the One-Stop Center operations.

In utilizing the reference guide as a foundation on which to design supportive policies, it is important to note that until such time as the Department of Labor announces new regulations

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Promising practices in achieving universal access and equal opportunity: a section 188 disability reference guide. Office of Disability Employment Policy (ODEP) with assistance of its LEAD Technical Assistance Center at the National Disability Institute, the Civil Rights Center and the Employment and Training Administration at the US Department of Labor, (2015).
pursuant to WIOA Section 188, the current Section 188 regulations cited herein are used. Section 188 regulatory requirements are organized into three Sections:

- Providing Universal Access to Programs and Activities
- Ensuring Equal Opportunity
  - Obligation to Ensure Equal Opportunity for Individuals with Disabilities
- Implementing Universal Access and Equal Opportunity through the following:
  - Designation of Qualified Equal Opportunity Officer
  - Notice and Communication
  - Assurances
  - Data and Information Collection, Analysis and Maintenance
  - Monitoring For Compliance
  - Complaint Processing Procedures
  - Corrective Actions/Sanctions

**Universal Access**

The state of Iowa will take appropriate steps to ensure that universal access is provided to ALL WIA/WIOA Title I-financially assisted programs and activities. Reasonable efforts (including advertisement, recruitment, outreach, and targeting) to include participation of individuals with disabilities in One-Stop Centers and related programs will be put in place as policies are approved and such policies will be implemented within established timeframes.

Iowa’s One-Stop Centers will work to meet the needs of their customers by ensuring universal access to their programs and activities for all eligible individuals. Universal access includes performance of the following functions:

- Understanding local needs;
- Marketing and outreach;
- Involving community groups and schools;
- Effecting collaboration, including partnerships and linkages;
- Staff training;
- Intake, registration and orientation;
- Assessments and screenings; and
- Service delivery.

**Ensuring Equal Opportunity**

WIOA must prohibit discrimination in the registration for and the provision of aid, benefits, services or training, including career, training, and support services, on the basis of disability, including the specific discriminatory actions listed in 29 CFR 37.7.
Provide Reasonable Accommodation For Individuals With Disabilities

The recipient must provide reasonable accommodation for individuals with disabilities regarding application and registration for and the provision of aid, benefits, services or training, including career services (which include WIA’s core and intensive services), training, and support services to qualified individuals with disabilities, unless providing the accommodation would cause undue hardship. [29 CFR 37.8; see also 29 CFR 32.13]

Provide Reasonable Modifications of Policies, Practices, and Procedures

The recipient must provide reasonable modifications regarding its policies, practices, and procedures for the registration for and provision of career, training, and support services to individuals with disabilities, unless making the modifications would fundamentally alter the nature of the service, program, or activity. [29 CFR 37.8]

Administer Programs and Activities in the Most Integrated Setting Appropriate

The recipient must administer its programs and activities in the most integrated setting appropriate to the needs of qualified individuals with disabilities [29 CFR 37.7(d)]. The recipient must not provide different, segregated, or separate aid, benefits, services, or training to individuals with disabilities or any class of individuals with disabilities unless such action is necessary to provide qualified individuals with disabilities with aid, benefits, services, or training that are as effective as those provided to others [29 CFR 37.7(a)(4)]. The recipient must permit individuals with disabilities the opportunity to participate in WIA/WIOA Title I-financially assisted programs and activities despite the existence of permissibly separate or different programs or activities.

Effectively Communicate with Individuals with Disabilities

The recipient must take steps to ensure that communications with individuals with disabilities are as effective as communications with others. [29 CFR 37.9 and .29(b). See also Section 188 Guidance, 65 FR at 51986]. The recipient must furnish appropriate auxiliary aids and services where necessary to afford individuals with disabilities an equal opportunity to participate in, and enjoy the benefits of, a WIA/WIOA Title I-financially assisted program or activity. The recipient must give primary consideration to the requests of the individual with a disability when determining what type of auxiliary aid or service is appropriate. [29 CFR 37.9(b)]. Where a recipient communicates by telephone with beneficiaries and others, the recipient must use telecommunication devices for individuals with hearing impairments (TDDs/TTYs) or equally effective communication systems, such as telephone relay services. [29 CFR 37.9(c)]. The recipient must ensure that interested individuals, including individuals with visual and hearing impairments, can obtain information as to the existence or location of accessible services, activities, and facilities. [29 CFR 37.9(d)] The recipient must provide appropriate signage at the primary entrances to its inaccessible facilities, directing users to a location at which they can obtain information about accessible facilities. [29 CFR 37.9(e)].
Provide Programmatic, Architectural, And Technology Accessibility For Individuals With Disabilities

The recipient must operate each program or activity so that the program or activity, when viewed in its entirety, is readily accessible to individuals with disabilities. [29 CFR 32.27(a) and 29 CFR 37.3(b).] The recipient may comply with this obligation through such means as redesign of equipment, reassignment of classes or other services to accessible buildings, assignment of aides to beneficiaries, home visits, delivery of services at alternative accessible sites, alteration of existing facilities and construction of new facilities in conformance with standards for new construction, or any other method that results in making its program or activity accessible to individuals with disabilities. In choosing among available methods, the recipient must give priority to those methods that offer programs and activities to individuals with disabilities in the most integrated setting appropriate. [29 CFR 32.27(c)]. Each facility or part of a facility constructed by, on behalf of, or for the use of a recipient must be designed and constructed in such a manner that the facility or part of the facility is readily accessible to and usable by qualified individuals with disabilities. [29 CFR 32.28(a)]. Each facility or part of a facility which is altered by, on behalf of, or for the use of a recipient in a manner that affects or could affect the usability of the facility or part of the facility must be altered in such a manner that the altered portion of the facility is readily accessible to and usable by qualified individuals with disabilities. [29 CFR 32.28(b)]. The design, construction, or alteration of facilities must meet the most current standards for physical accessibility prescribed by the General Services Administration under the Architectural Barriers Act or the recipient must adopt alternative standards when it is clearly evident that equivalent or greater access to the facility or part of the facility is thereby provided. [29 CFR 32.28(c)].

Employment Practices

Ensure Equal Opportunity and Nondiscrimination – The recipient may not discriminate in its employment practices on the ground of disability, or on the grounds of race, color, religion, sex, including pregnancy, sexual identity, being a victim of sexual violence, national origin, age, or political affiliation or belief. All employee selection procedures must comply with the Uniform Guidelines on Employee Selection Procedures. [29 CFR 37.10(b)].

Provide Reasonable Accommodation for Individuals with Disabilities 34 29 CFR part 32, subparts B and C and Appendix A, which implement the requirements of Section 504 pertaining to employment practices and employment-related training, program accessibility, and reasonable accommodations, are incorporated into 29 CFR part 37 by reference. Therefore, recipients must comply with the requirements set forth in those regulations, in addition to the specific requirements listed in 29 CFR 37.10. [See 29 CFR 37.3(b) and 10(d)] In addition, recipients covered by Titles I and II of the ADA, including employers and programs that engaged in employment placement and referrals or employment training, should be aware of obligations imposed by those Titles. [29 CFR 37.10(e); see also 29 CFR part 1630 and 28 CFR part 35].

The recipient must provide reasonable accommodation for individuals with disabilities unless providing such accommodation would cause an undue hardship for the recipient. [29 CFR 37.8,
37.10(b); 29 CFR 32.13]. Provide for and Adhere to a Schedule to Evaluate Job Qualifications to Ensure That the Qualifications Do Not Discriminate on the Basis of Disability. The recipient must establish a regular review of the qualifications it uses for employment and training to ensure that standards, tests or other selection criteria do not screen out or tend to screen out individuals with disabilities on the basis of their disabilities, unless the standards, tests or other selection criteria, as used, are job-related for the position in question and consistent with business necessity and safe performance. [29 CFR 37.10(d) and 29 CFR 32.14].

For employment and employment-related training, the recipient must select and administer employment and training tests that, when administered to an individual with a disability that impairs sensory, manual, or speaking skills, accurately reflect the skills, aptitude, or other factors that the test purports to measure, rather than reflecting the impaired sensory, manual, or speaking skills of the individual. [29 CFR 37.10(d) and 29 CFR 32.14].

Limit Pre-employment/Employment Medical Inquiries/Confidentiality and comply with all over relevant and applicable provisions.

Assurances

Each application for WIA/WIOA Title I financial assistance must include the specific assurance not to discriminate on the basis of disability under Section 188 of WIA/WIOA and Section 504 of the Rehabilitation Act of 1973 and to comply with 29 CFR Part 37.

The recipient's responsibilities to ensure effective communications pursuant to 29 CFR 37.9 are set out under 29 CFR Part 32, as provided in 29 CFR 37.20. [29 CFR 37.20-.22; see also Section 188 Guidance, 65 FR at 51986].

Data And Information Collection, Analysis, And Maintenance

The recipient must comply with the requirements of 29 CFR 37.37 through 37.41 related to data and information collection and maintenance. Each grant applicant and recipient must promptly notify the Director of the Civil Rights Center when any administrative enforcement actions or lawsuits are filed against it alleging discrimination on the basis of disability, as well as information about any civil rights compliance review or complaint investigation by any other federal agency that resulted in a finding of noncompliance. [29 CFR 37.38] Note: 29 CFR 37.38 also requires grant applicants and recipients to notify the Director of the Civil Rights Center when administrative enforcement actions or lawsuits are filed against it alleging discrimination on the basis of race, color, religion, sex, national origin, age, political affiliation or belief, and for beneficiaries only, citizenship (on the basis of an individual's status as a citizen or national of the U.S., or as an individual lawfully authorized to work in the U.S.), or participation in a WIA/WIOA Title I-financially assisted program or activity.

Monitoring For Compliance

The Equal Opportunity (EO) Officer must monitor and investigate the recipient's activities and the activities of the entities that receive WIA/WIOA Title I financial assistance from the
recipient, to make sure that the recipient and its sub-recipients are not violating their nondiscrimination and equal opportunity obligations. [29 CFR 37.25(b); see also 37.7(e)]

Note that the required assurance goes beyond discrimination on the basis of disability, and also covers discrimination on a variety of other bases under Section 188, Title VI of the Civil Rights Act of 1964, as amended, the Age Discrimination Act of 1975, as amended, and Title IX of the Education Amendments of 1972, as amended. [29 CFR 37.20(a)(1)] Note also that this assurance to comply with these nondiscrimination and equal opportunity laws is judicially enforceable [29 CFR 37.20(a)(1)] and is incorporated into any arrangement where Federal financial assistance is made available under Title I of WIA/WIOA, whether there is a physical agreement or not. [29 CFR 37.20(a)(2)].

The recipient must conduct a self-evaluation in accordance with 29 CFR 32.6(c) (i.e., evaluate current policies and practices and their effects on individuals with disabilities and take remedial steps to eliminate the effects of any discrimination, and consult with interested parties such as individuals with disabilities and organizations representing individuals with disabilities).

**Complaint Processing Procedures**

The EO Officer must develop and publish the recipient's procedures for processing discrimination complaints and make sure that those procedures are followed. [29 CFR37.25(d); 37.70-37.80 Examples of promising practices related to complaint processing procedures are included in Part I of the Reference Guide.

**Corrective Actions/Sanctions**

The EO Officer, after monitoring and investigating the recipient's activities and the activities of the entities that receive WIA/WIOA Title I financial assistance from the recipient, must take corrective action to ensure that the recipient and its subrecipients are not violating their nondiscrimination and equal opportunity obligations. [29 CFR 37.25(b); see also 37.7(e)]
IV. COORDINATION WITH COMBINED STATE PLAN PROGRAMS

Not required for Unified Plan
V. COMMON ASSURANCES

WIOA § 102(b)(2)(E)

STATE CONFLICT OF INTEREST POLICY

The state shall establish a policy that identifies circumstances that may present a conflict of interest for a state or local workforce development board member. The policy must require board members to disclosure when they have an actual or possible conflict of interest to the board upon which they serve. The policy must set forth board procedures to resolve any actual or potential conflict of interest involving a board member.

POLICY REGARDING PUBLIC ACCESS TO BOARD MEETINGS AND INFORMATION

The state shall establish a policy providing to the public, including individuals with disabilities, access to state and local workforce board meetings. The policy shall set forth mandatory policies and practices with respect to the posting of board membership and minutes online and making it otherwise accessible to members of the public, including individuals with disabilities.

REVIEW OF AND COMMENT ON USP

The development of this plan was a collaborative effort with input from stakeholders. Foremost among them were the lead state agencies charged with the administration of core programs. They contributed to the drafting this plan. The lead state agencies have specifically reviewed, commented on, and approved appropriate operational planning elements of this plan, as shown by their inclusion as signatories to the plan.

The state posted a draft version of this plan online for public comment. It also sent notice to representatives of local boards, chief elected officials, business groups, labor organizations, institutions of higher education, other primary stakeholders, and the general public. This plan is currently available to the public online and accessible by other means upon request.

FISCAL AND MANAGEMENT ACCOUNTABILITY INFORMATION

Under this plan, the state shall establish and operate a fiscal and management accountability information system based on guidelines established by the federal Secretary of Labor and Secretary of Education. The state fiscal and management accountability information system shall promote efficient collection and use of fiscal and management information for reporting and monitoring the use of funds authorized under the WIOA core programs. The state fiscal and management accountability information shall help ensure the proper disbursement of, and accounting for, funds paid to the state through allotments made for the adult, dislocated worker, and youth programs. The state fiscal and management accountability information shall be used in preparing performance reports.

COMPLIANCE WITH UNIFORM ADMINISTRATIVE REQUIREMENTS

Under this plan, the state shall take action to secure compliance with the uniform administrative requirements of WIOA. The state shall establish policies and procedures for
annual onsite monitoring of local regions to ensure compliance with the uniform administrative requirements. This includes annual monitoring of local regions to ensure compliance with applicable uniform administrative requirements for grants and agreements as promulgated in circulars or rules of the federal Office of Management and Budget. The state shall establish policies and procedures to ensure that procurement transactions under title I of WIOA between local boards and units of state or local government shall be conducted only on a cost-reimbursable basis.

**FEDERAL FINANCIAL ASSISTANCE AND EQUAL OPPORTUNITY**

Programs and activities funded or otherwise financially assisted in whole or in part under WIOA are considered to be programs and activities receiving federal financial assistance for the purpose of applying the following laws:

- *The prohibition against discrimination on the basis of age under the Age Discrimination in Employment Act of 1975 (42 U.S.C. § 6101 et seq.)*


- *The prohibition against discrimination on the basis of sex under Title IX of the Education Amendments of 1972 920 U.S.C. § 1681 et seq.)*

- *The prohibition against discrimination on the basis of race, color, or national origin under Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.)*

**PROHIBITION OF DISCRIMINATION REGARDING PARTICIPATION, BENEFITS, AND EMPLOYMENT**

No individual shall be excluded from participation in, denied the benefits of, subjected to discrimination under, or denied employment in the administration of or in connection with, any program or activity because of race, color, religion, sex (except as otherwise permitted under title IX of the Education Amendments of 1972), national origin, age, disability, or political affiliation or belief.

**PROHIBITION ON ASSISTANCE FOR FACILITIES FOR SECTARIAN INSTRUCTION OR RELIGIOUS WORSHIP**

Participants shall not be employed under this subchapter to carry out the construction, operation, or maintenance of any part of any facility that is used or to be used for sectarian instruction or as a place for religious worship (except with respect to the maintenance of a facility that is not primarily or inherently devoted to sectarian instruction or religious worship, in a case in which the organization operating the facility is part of a program or activity providing services to participants).

**PROHIBITION ON DISCRIMINATION ON BASIS OF PARTICIPANT STATUS**

No person may discriminate against an individual who is a participant in a program or activity that receives funds under WIOA, with respect to the terms and conditions affecting, or rights provided to, the individual, solely because of the status of the individual as a participant.
PROHIBITION ON DISCRIMINATION AGAINST CERTAIN NONCITIZENS

Participation in programs and activities or receiving funds under WIOA shall be available to citizens and nationals of the United States, lawfully admitted permanent resident aliens, refugees, asylees, and parolees, and other immigrants authorized by the Attorney General to work in the United States.

AUTHORIZED EXPENDITURE OF FEDERAL FUNDS FOR CORE PROGRAMS

Under this plan, the federal funds received to carry out WIOA core programs must be expended only for those authorized activities under the core programs. Federal funds received to carry out core programs must not be expended for any other purpose.

COMPLIANCE WITH WIOA TITLE II REQUIREMENTS

Under this plan, the Department of Education shall expend the funds appropriated to carry out title II of WIOA only in a manner consistent with fiscal requirements under WIOA section 241(a) regarding supplement and not supplant provisions. With respect to title II of WIOA, the Department of Education shall ensure that there is at least one eligible provider serving each local area.

STATE SHARE OF COSTS TO CARRY OUT PERFORMANCE EVALUATION SYSTEM

Under this plan, the state board shall determine an appropriate share of the costs of carrying out the performance evaluation system under WIOA section 116 to be paid by the state. The state shall pay its share for carrying out the performance evaluation system, as determined by the state board, from funds made available through each of the WIOA core programs.
VI. PROGRAM-SPECIFIC REQUIREMENTS FOR CORE PROGRAMS

ADULT, DISLOCATED WORKER, AND YOUTH ACTIVITIES UNDER TITLE I-B. THE UNIFIED OR COMBINED STATE PLAN MUST INCLUDE THE FOLLOWING WITH RESPECT TO ACTIVITIES CARRIED OUT UNDER SUBTITLE B—

(a) General Requirements

(6) Regions and Local Workforce Development Areas.

(A) IDENTIFY THE REGIONS AND THE LOCAL WORKFORCE DEVELOPMENT AREAS DESIGNATED IN THE STATE.

(B) DESCRIBE THE PROCESS USED FOR DESIGNATING LOCAL AREAS, INCLUDING PROCEDURES FOR DETERMINING WHETHER THE LOCAL AREA MET THE CRITERIA FOR “PERFORMED SUCCESSFULLY” AND “SUSTAINED FISCAL INTEGRITY” IN ACCORDANCE WITH 106(b)(2) AND (3) OF WIOA. DESCRIBE THE PROCESS USED FOR IDENTIFYING REGIONS AND PLANNING REGIONS UNDER SECTION 106(A) OF WIOA. THIS MUST INCLUDE A DESCRIPTION OF HOW THE STATE CONSULTED WITH THE LOCAL BOARDS AND CHIEF ELECTED OFFICIALS IN IDENTIFYING THE REGIONS.

(C) PROVIDE THE APPEALS PROCESS REFERRED TO IN SECTION 106(b)(5) OF WIOA RELATING TO DESIGNATION OF LOCAL AREAS.

PROVIDE THE APPEALS PROCESS REFERRED TO IN SECTION 121(H)(2)(E) OF WIOA RELATING TO DETERMINATIONS FOR INFRASTRUCTURE FUNDING

Legislation was passed in May 1996 to create a new state department, Iowa Workforce Development, and a State Board to streamline employment and training programs. The Regional Workforce Investment Boards were also created by the legislation to give communities direct input and decision making ability to address local workforce-related priorities. The boards work closely with Iowa Workforce Development and the Iowa Workforce Development Board to create a system to help Iowans respond to the rapid changes occurring in today's workplace.

The boards' responsibilities include identifying local workforce development needs, assisting in the award of local service provider contracts and monitoring their performance and providing an annual report and recommendations to the Iowa Workforce Development Board.

Each board consists of a total of 14 members including representatives from the following groups: five-business, five-labor, one-city official, one-county elected official, one-school district representative and one-community college representative. The Region 8 Board has 28 members.

Careful consideration is made to include bi-partisan representation with a balance of gender and rural/urban populations representing Iowans from all 99 counties. The boards include a number of individuals experienced in employment and training issues as well as those with less experience to bring in fresh ideas. Local service providers and Iowa Workforce Development (IWD) staff are generally not selected for the board roles in order to avoid any conflict of interest.
In 1999, the Regional Advisory Boards assumed the responsibilities of the Regional Workforce Investment Boards under the federal Workforce Investment Act signed into law in August, 1998.

**Regional Workforce Investment Boards by area include:**

**Region 1**
(Counties include: Allamakee, Chickasaw, Clayton, Delaware, Dubuque, Fayette, Howard and Winneshiek)

**Region 2**
(Counties include: Cerro Gordo, Floyd, Franklin, Hancock, Mitchell, Winnebago and Worth)

**Region 3 and 4**
(Counties include: Clay, Dickinson, Emmet, Kossuth, Lyon, Palo Alto, O’Brien, Osceola and Sioux)

**Region 5**
(Counties include: Buena Vista, Calhoun, Hamilton, Humboldt, Pocahontas, Webster and Wright)

**Region 6**
(Counties include: Hardin, Marshall, Poweshiek and Tama)

**Region 7**
(Counties include: Black Hawk, Bremer, Buchanan, Butler and Grundy)

**Region 8**
(Counties include: Audubon, Carroll, Crawford, Greene, Guthrie and Sac)

**Region 9**
(Counties include: Clinton, Jackson, Muscatine and Scott)

**Region 10**
(Counties include: Benton, Cedar, Iowa, Johnson, Jones, Linn and Washington)

**Region 11**
(Counties include: Boone, Dallas, Jasper, Madison, Marion, Polk, Story and Warren)

**Region 12**
(Counties include: Cherokee, Ida, Monona, Plymouth and Woodbury)
Region 13
(Counties include: Cass, Fremont, Harrison, Mills, Page, Pottawattamie and Shelby)

Region 14
(Counties include: Adair, Adams, Clark, Decatur, Montgomery, Ringgold, Taylor and Union)

Region 15
(Counties include: Appanoose, Davis, Jefferson, Keokuk, Lucas, Mahaska, Monroe, Wapello, Wayne and Van Buren)

Region 16
(Counties include: Des Moines, Henry, Lee and Louisa)

On June 24, 2015, Governor Branstad notified the U.S. Department of Labor that pursuant to subsection 101(a) of the Workforce Innovation and Opportunity Act (WIOA), the state of Iowa will "grandfather" the existing Iowa Workforce Development Board as the state board pursuant to section 101 (e)(1) of the Act during the original two year assessment period. The State Board in its present configuration was "grandfathered" under a similar provision in the Workforce Investment Act of 1998 (WIA). The Board was created by statute in the Iowa code in 1996, and was in existence on the day before the enactment of WIA. The function of the Board is identical to the duties of the board described in subsection 101(d) WIOA.

Having met identical criteria in WIA, the membership and structure is similar to the requirements described in subsections 101(a) through (c) WIOA, with 4 members each representing business and labor plus a representative from a nonprofit involved in workforce development services. To change the current structure, Iowa would need to first amend the Iowa Code which established the current structure.

Further within the State of Iowa, pursuant to his authority under subsection 107(a) of WIOA, I, as the State appointing authority, Governor Branstad empowered the existing Regional Workforce Investment Boards the choice of grandfathering as the respective local WIOA boards pursuant to subsection 107(i) of the Act during the original two year assessment period. The following Regions chose to keep the grandfathered structure -- 1, 2, 5, 6, 7, 9, 10, 12, 13, 14, 15 and 16 for now. Four regions are transitioning to the WIOA preferred board structure -- 3, 4, 8, and 11. The Regional Boards in their present configuration were "grandfathered" under a similar provision in the Workforce Investment Act of 1998 (WIA). These boards were created by statute in the Iowa code in 1996, and was in existence on the day before the enactment of WIA. The function of the Board is identical to the duties of the board described in subsection 107(d) WIOA.

Local Area Designation

The purpose of a local area is to serve as a jurisdiction for the administration of workforce development activities using Adult, Dislocated Worker, and Youth funds allocated by the State
and to coordinate efforts related to the other core programs at a local community level. WIOA Section 106(b) establishes that the Governor must designate local workforce development areas (local areas) in order for the State to receive Adult, Dislocated Worker, and Youth funding under Title I, subtitle B.

1. **Designation of New local areas:**
   a. At any time, the Chief Elected Official (CEO) and Regional Workforce Investment Board from any unit of general local government or combination of units may submit a request for designation as a workforce development area. The State Board must determine if the new local area meets the Governor's policy criteria. The request will include:
      i. To what extent the new local area is consistent with local labor market areas;
      ii. To what extent the new local area has a common economic development area;
      iii. A description of federal and non-federal resources available in the new local area, including appropriate education and training institutions to administer activities under the Youth, Adult and Dislocated Worker formula programs under WIOA;
      iv. Memorandum(s) of understanding between the CEO(s) of the new local area and local service providers, as described in the WIOA, section 121 (b), demonstrating commitment to integration and alignment of resources and services.
      v. Consideration of comments received through a public comment process. The public comment period must provide at least 60 days for public comment prior to designation of the local workforce development area and provide an opportunity for representatives of interested business, education, and labor organizations to have input into the development of the formation of the local area.
   b. The request will be submitted to:
      State Workforce Investment Board

      Beth Townsend, Director
      Iowa Workforce Development
      1000 E. Grand Ave
      Des Moines, IA 50319

c. A recommendation, including the reason and conclusion, for approval or denial as a new local area will be made by the State Workforce Investment Board (SWIB) to the Governor. The SWIB may consult with any other stakeholders
prior to issuing their recommendation. The decision of the Governor will be final and sent to the Chief Elected Official for the New Local Area.

d. Appeal:
   i. An appeal must be filed to the SWIB at the address in (b) of this section within 30 days of the date of the letter from the Governor.
   ii. The SWIB will provide an opportunity for the CEO at their next public meeting to present their request for designation as a new area.
   iii. If the decision of the Governor and SWIB does not result in designation, the CEO may request review by the Secretary of Labor. The Secretary may require that the area be designated as a workforce development area, if the Secretary determines that the entity was not accorded procedural rights under the State appeals process or if the area meets the initial designation requirements at WIOA sec. 106(b)(2).

2. Initial designation of local areas that had been designated under WIA and want to continue as WIOA local areas.

   a. Chief Elected Officials (CEO) and Regional Workforce Investment Board (RWIB) of local areas that currently operate under WIA have the option of requesting local area designation status under WIOA. If the chief elected official and local board in a local area submits a request for initial designation, the Governor must approve the request if the entity was designated as a local area for purposes of WIA, performed successfully, and sustained fiscal integrity for the two years preceding the enactment of WIOA. If a local area is approved for initial designation, the period of initial designation applies to program years 2015 and 2016.

   b. A request for initial designation will be submitted in a joint letter from the CEO and RWIB, including a copy of approved board minutes where a majority of the CEO board and RWIB approved a motion for the initial designation as provided for in WIOA section 106(b)2.

   c. To provide for an orderly transition, the request will be submitted no later than September 4, 2015 to:
   Beth Townsend, Director
   Iowa Workforce Development
   1000 E. Grand Ave
   Des Moines, IA 50319

   d. Each request will be evaluated based on the following:
i. **Performed Successfully:** For the purpose of initial local area designation, the term "performed successfully" means that the local area met or exceeded the levels of performance the Governor negotiated with the RWIB and CEO and the local area has not failed any individual measure for the last two consecutive program years (PY 12 and PY 13) before the enactment of WIOA.

1. Meeting performance is defined as attaining at least 80% of the negotiated level of performance for any individual measure in both PY12 and PY13.
2. Failing performance is defined as failing to attain at least 80% of the negotiated level of performance for any individual measure in both PY12 and PY13. Failing to meet an individual performance measure in either PY12 or PY13 and “meeting” performance for the same measure in the other program year is NOT defined as failing performance.

ii. **Sustained Fiscal Integrity:** For the purpose of determining initial local area designation, the term "sustained fiscal integrity" means that the Secretary has not made a formal determination that either the grant recipient or the administrative entity of the area misexpended funds due to willful disregard of the requirements of the provision involved, gross negligence, or failure to comply with accepted standards of administration for the two-year period preceding the determination.

e. The decision of the Governor will be final and sent to the Chief Elected Official within 30 days of receipt by the Director of IWD.

f. In the event the local area failed to meet either standard for initial designation, options will be presented to the SWIB for continued certification of the local area at the next available Board meeting. The SWIB will make their recommendation to the Governor. The decision of the Governor will be final unless appealed by the CEO within 30 days.

g. **Appeal:** If the decision of the Governor does not result in designation, the CEO may request review by the Secretary of Labor. The Secretary may require that the area be designated as a workforce development area, if the Secretary determines that the entity was not accorded procedural rights under the State appeals process or if the area meets the initial designation requirements at WIOA sec. 106(b)(2).

As of September 4, 2015, all fifteen RWIB/CEO board had formally requested resignation of their existing service delivery areas by the Governor whose formal action will be forthcoming.
Procedures for Designation of Local Board Members

The Regional workforce Investment Board (RWIB) represents a wide variety of individuals, businesses, and organizations throughout the local area. The RWIB serves as a strategic convener to promote and broker effective relationships between the Chief Elected Officials (CEO) and economic, education, and workforce partners throughout the local area. The RWIB is responsible for developing a strategy to continuously improve and strengthen the workforce development system through innovation in, and alignment and improvement of, employment, training, and education programs to promote economic growth. RWIB members must actively participate and collaborate closely with the required and other partners of the workforce development system, including public and private organizations. This is crucial to the RWIB’s role to integrate and align a more effective, job-driven workforce development system.

The minimum criteria for the composition of the Regional Workforce Investment Board (RWIB) are described in the WIOA section 107. As well, Section 107(i) allows the Governor to utilize an alternative entity that, “is established to serve the local area; was in existence on the day before the date of enactment of this Act, pursuant to State law; and includes representatives of business in the local area; and representatives of labor organizations, nominated by local labor federations; or other representatives of employees in the local area.

The current IWD merged Region 3-4, Region 8, Region 11 RWIB and any newly created local areas, are required to comply with the composition described in Section 107(b)2 and to be in line with the State law Iowa Code 84A(4). However, other local areas may elect to utilize the composition described in WIOA section 107(b)2 or use the alternative entity described in section 107(i). Eleven of the twelve boards that had the option of grandfathering their current board structure did so and certified by their RWIB/CEO boards. Only region 16 chose to transition to the WIOA required board structure. WILL ADD MORE DETAIL ON THIS.

Selection and Appointment Process

1. IWD merged Region 3-4, Region 8, Region 11 and any newly created local areas
   a. The CEO and RWIB chairs should immediately begin the processes of assessing the differences in current membership and the minimum required composition.
   b. Any gaps in the composition of the new local board are to be filled no later than September 30, 2015. Existing members that satisfy one of the required categories may be “grandfathered”.
   c. Unlike the State Board, members of the Local Board may be appointed as a representative of more than one entity if the individual meets all the criteria for representation.
   d. The process to fill all vacant positions is described in Attachment 2.
   e. No later than September 30, 2015 the CEO and RWIB chair will request certification by sending a letter to the attention of the Governor confirming that the RWIB has been constituted in accordance with these procedures. As well, the letter will include the names of each voting and non-voting member, their
2. Regions selecting the Alternative Entity
   a. The CEO and RWIB chairs should immediately begin the processes of ensuring that all vacancies are filled.
   b. Each RWIB shall have five voting members from business and five voting members from labor, and shall include one county elected official, one city official, one representative of a school district, and one representative of a community college.
   c. The board may appoint ex officio, nonvoting members. In order to solicit periodic, regular and meaningful input the board is encouraged to include:
      i. At least one eligible provider administering adult education;
      ii. An older worker nominated by a senior community service employment program;
      iii. Economic and community development entities including community based organizations;
      iv. The state Employment Service Office under the Wagner-Peyser Act (29 U.S.C. 49 et seq.) serving the local area; and
      v. The programs carried out under title I of the Rehabilitation Act of 1973, other than sec. 112 or Part C of that title.
   d. Any gaps in the composition of the local board are to be filled no later than September 30, 2015. Existing members that satisfy one of the required categories may be “grandfathered”.
   e. Unlike the State Board, members of the Local Board may be appointed as a representative of more than one entity if the individual meets all the criteria for representation.
   f. The process to fill all vacant positions is described on page 10.
   g. No later than __________ the CEO and RWIB chair will request certification by sending a letter to the attention of the Governor confirming that the RWIB has been constituted in accordance with these procedures. As well, the letter will include the names of each voting and non-voting member, their sector, gender, county of residence and political affiliation and if any voting member is representing more than one sector.

Deliver to:

Beth Townsend, Director
Iowa Workforce Development
1000 E. Grand Ave
Des Moines, IA
Minimum Required Composition

1. The majority of the members of the Local Board must be representatives of business in the local area. At a minimum, two members must represent small business as defined by the U.S. Small Business 107(b)(2)(A)) Administration. Business representatives serving on Local Boards may also serve on the State Board. Each business representative must meet the following criteria:
   a. Be an owner, chief executive officer, chief operating officer, or other individual with optimum policymaking or hiring authority;
   b. Provide employment opportunities in in-demand industry sectors or occupations, as those terms are defined in WIOA section 3(23); and provide high-quality, work-relevant training and development opportunities to its workforce or the workforce of others (in the case of organizations representing business as per WIOA Sec. 107(b)(2)(A)(ii); and
   c. Are appointed from among individuals nominated by local business organizations and business trade associations.

2. Not less than 20 percent of the members of the Local Board must be workforce representatives. These representatives
   a. Must include two or more representatives of labor 107(b)(2)(B)) organizations, where such organizations exist in the local area. Where labor organizations do not exist, representatives must be selected from other employee representatives;
   b. Must include one or more representatives of a joint labor management, or union affiliated, registered apprenticeship program within the area who must be a training director or a member of a labor organization. If no union affiliated registered apprenticeship programs exist in the area, a representative of a registered apprenticeship program with no union affiliation must be appointed, if one exists; and may include:
   c. In addition to the representatives enumerated above, the Board may include the following to contribute to the 20 percent requirement:
      i. One or more representatives of community-based organizations that have demonstrated experience and expertise in addressing the employment, training or education needs of individuals with barriers to employment, including organizations that serve veterans or provide or
support competitive integrated employment for individuals with disabilities; and

ii. One or more representatives of organizations that demonstrated experience and expertise in addressing the employment, training, or education needs of eligible youth, including representatives of organizations that serve out-of-school youth.

3. The balance of Local Board membership must include:
   a. At least one eligible provider administering adult education and (WIOA Section literacy activities under WIOA title II;
   b. At least one representative from an institution of higher education providing workforce development activities;
   c. At least one representative from each of the following governmental and economic and community development entities:
      i. Economic and community development entities;
      ii. The state Employment Service Office under the Wagner-Peyser Act (29 U.S.C. 49 et seq.) serving the local area;
      iii. The programs carried out under title I of the Rehabilitation Act of 1973, other than sec. 112 or Part C of that title;
      iv. At least one ex-officio member for each of the following categories:
         1. One county elected official;
         2. One city official; and
         3. One representative of a school district.
   v. In addition to the representatives enumerated above, the Governor and CEO may appoint other appropriate entities in the local area, including:
      1. Entities administering education and training activities who represent local educational agencies or community-based organizations with demonstrated expertise in addressing the education or training needs for individuals with barriers to employment;
      2. Governmental and economic and community development entities who represent transportation, housing, and public assistance programs;
      3. Philanthropic organizations serving the local area; and
      4. Other appropriate individuals as determined by the chief elected official.

4. Following is a matrix for the minimum composition:
   a. 10 - Business Representatives
   b. 2 – Representatives of Labor
c. 1 - Representatives of a joint labor management, or union affiliated, registered apprenticeship program

d. 1 – Representative from either 2(a), 2(b), or 2(c) above.

e. 1- one eligible provider administering adult education

f. 1- one representative from an institution of higher education providing workforce development activities, including community colleges

g. 1- Economic and community development entities

h. 1- Employment Service Office under the Wagner-Peyser Act

i. 1- programs carried out under title I of the Rehabilitation Act of 1973

j. At least 3 ex-officio members
   ▪ One county elected official;
   ▪ One city official; and
   ▪ One representative of a school district

Appointment Process

The process for making appointments to the local board is:

1) The CEO board or its designee must solicit nominations from the required business, labor, education, and governmental entities upon notification of a RWIB vacancy.

2) The nominating organization must complete and submit the nomination form to the CEO board.

   a) WIOA requires that the business representatives be appointed from among individuals nominated by local business organizations and business trade associations.

   b) Representatives from labor organizations will be appointed from among individuals who have been nominated from local labor federations.

   c) All nominations for members which represent education must be made by local school districts or community colleges, respectively.

   d) All nominations from business, labor and education must be made in writing with the signed approval of the required nominating organization.

   e) All nominations for members who are county or city officials shall be made individually or collectively by the region’s county boards of supervisors or mayors and city councils respectively.

   f) The overall membership of the board must be balanced by gender and political affiliation consistent with Iowa code section 69.16 and 16.16A. To the extent possible the members should represent all counties within a region served by the board and both voting and non-voting members should represent persons with disabilities, minorities and older workers of the region.

   g) Existing and future RWIB members do not have to be re-nominated unless required to do so by the CEO board of a region.
h) Nominations are valid for an unlimited time period unless the Local Elected Officials of a region set a specific time limit.

3) The CEO board must submit a list of nominees for a board vacancy to IWD. As future vacancies occur, the CEO board must submit nominations within 45 days of the vacancy. The CEO board must submit at least two nominees for each vacancy to IWD at the address listed below for the Governor’s review:

Beth Townsend, Director
Iowa Workforce Development
1000 E. Grand Ave
Des Moines, IA

4) The Governor will review the list, add or delete nominees from the list and return the revised list to the CEO board within 45 days of receipt of the list by the department.

5) If the CEO board fails to submit nominations for a vacancy within the 45-day time period or fails to reach agreement locally on appointments to the board, the governor may appoint a person to fill the vacancy.

6) The CEO board will review the revised list and make the final selection of a person to fill a vacancy from the revised list. If the revised list of candidates is not acceptable to the board, the CEO board may submit new candidates to the Governor for consideration and repeat the process until a candidate is appointed.

7) The CEO board will send an appointment letter to the person selected to fill the vacancy on behalf of the chief elected officials and the governor within 30 days of receipt of the revised list and send a copy of the letter to IWD at the above address.

8) In addition to taking immediate action to appoint a WIOA-compliant State Board, the Governor and State Board must establish criteria prior to July 1, 2015 that the CEO must follow for the appointment of a WIOA-compliant Local Board. Development of the State criteria will enable CEOs to make necessary appointments so that Local Boards can proceed with necessary local implementation activities.

As of October 1, Regions 3/4, 8 and 11 had asked for recertification of their WIOA compliant boards and have submitted nominations to fill their board vacancies. Region 16 has asked to change their board structure to conform to the new WIOA board configuration and have submitted nominations to fill their board vacancies. Regions 1, 2, 5, 6, 7, 9, 10, 12, 13, 14 and 15 have requested grandfathering their non-compliant board structures forward and have submitted nominations to fill their board vacancies.

(b) **Youth Program Requirements.** With respect to youth workforce investment activities authorized in section 129 of WIOA,—

1) **Identify the State-developed criteria to be used by local boards in awarding grants for youth workforce investment activities and describe how the local boards will take into consideration the ability of the providers to meet performance accountability**
With respect to youth workforce investment activities authorized in section 129, the criteria to be used by local boards in awarding grants for youth workforce investment activities and a plan for how the local boards will take into consideration the ability of the providers to meet performance accountability measures for the youth program are as follows:

In 2012 Iowa embarked on a service delivery model process that ensures services are integrated at the front line level and unified at the management level including core partners within the One-Stop. By refocusing the local regions’ performance assessment from individual program performance measures to universal outcome measures, service seamless, efficient integration can be further enhanced regionally with the core and required partners.

Joint collection measures will be easier to manage and more clearly understood by Regional Workforce Development Boards (RWDB), businesses, and workforce investment system partners.

As the Grant Recipient for the funding under the Act, the Workforce Development Board will be governed by the procurement policy as developed in accordance with Federal, State and local policies and approved by Iowa Workforce Development and the State Board. Iowa Workforce Development is the administrative/fiscal agent for this WDB and in this capacity administers and oversees all phases of the WDB’s operations. The WDB has the responsibility to provide policy guidelines for the workforce region.

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7 Sec. 102(b)(2)(D)(i)(V)
8 Sec. 102(b)(2)(D)(i)(I)
Requests for proposals (RFPs) shall be publicized and identify all evaluation factors and their relative importance for youth workforce investment activities. Any response to publicized requests for proposals shall be honored to the maximum extent practical. The WDB Director shall develop a method for conducting technical evaluations of the proposals received and for selecting contractors. WDB staff will review all proposals that meet the submission requirements and may submit summary reports of all proposals received to the Workforce Development Board as directed by the WDB. The WDB may review summary reports of all proposals received and select the agency or the service provider that it deems best able to operate the program efficiently and effectively, with price and other factors considered. After evaluation and recommendation of the RFPs by the Regional WDB staff, the Workforce Development Board will make the final selection of service providers. The Regional Workforce Development Board will have final authority for selection of service providers.

Final selections for funding will primarily be based on, yet not limited to, effectiveness, demonstrated performance, fiscal accountability, cost/price analysis, staffing, potential for meeting performance standards/goals, program operation and coordination, quality of training especially in addressing competency deficiencies of clients, participant characteristics, past performance of the bidder, non-duplication of services, and funding.

A selection committee consisting of appointed WDB members, WDB Chairman, and WDB staff shall review competitive proposals. This task may also be assigned to an existing WDB committee (i.e. Youth Council or RFP Proposal Review Committee). The committee shall submit a recommendation to the full WDB for their review and final approval. The State Operations Team shall provide training, guidance, and/or technical assistance on an as needed basis to the selection committee. This shall include a comprehensive review of all proposals received by WDB staff.

The evaluation process will be divided into five major steps:

1. general review of the proposals;
2. evaluation of the bidder's qualifications;
3. appraisal of the technical aspects of each proposal;
4. assessment of the cost aspects of each proposal and
5. positive review of demonstrated performance, effectiveness, potential for meeting performance goals, costs, and quality of training.

Final selections will primarily be based on, yet not limited to, effectiveness, demonstrated performance, potential for meeting performance goals, costs, quality of training, participant characteristics, past workforce development experience and performance of the bidder and non-duplication of services.

(c) **Single-area State requirements. In States where there is only one local workforce investment area, the Governor serves as both the State and local chief elected official. In such cases, the State must submit any information required in the local plan (WIOA section 106(d)(2)).**

States with a single workforce area must also include:
(1) **Any comments from the public comment period that represent disagreement with the plan.** (WIOA section 108(d)(3).)

(2) **The entity responsible for the disbursement of grant funds, as determined by the governor, if different from that for the state.** (WIOA section 108(b)(15).)

(7) **The type and availability of WIOA Title I Youth activities, including an identification of successful providers of such activities.** (WIOA section 108(b)(9).)

---Single-area State Requirements DO NOT APPLY TO IOWA---

(d) **Waiver Requests (optional).** States wanting to request waivers as part of their Title I-B Operational Plan must include a waiver plan that includes the following information for each waiver requested:

1. **Identifies the statutory or regulatory requirements for which a waiver is requested and the goals that the state or local area, as appropriate, intends to achieve as a result of the waiver and how those goals relate to the Unified or Combined State Plan;**

2. **Describes the actions that the state or local area, as appropriate, has undertaken to remove state or local statutory or regulatory barriers;**

3. **Describes the goals of the waiver and the expected programmatic outcomes if the request is granted;**

4. **Describes how the waiver will align with the Department’s policy priorities, such as:**
   - (A) Supporting employer engagement;
   - (B) Connecting education and training strategies;
   - (C) Supporting work-based learning;
   - (D) Improving job and career results, and
   - (E) Other guidance issued by the Department;

5. **Describes the individuals affected by the waiver, including how the waiver will impact services for disadvantaged populations or individuals with multiple barriers to employment; and**

6. **Describes the processes used to:**
   - (A) Monitor the progress in implementing the waiver;
   - (B) Provide notice to any local board affected by the waiver;
   - (C) Provide any local board affected by the waiver an opportunity to comment on the request;
   - (D) Ensure meaningful public comment, including comment by business and organized labor, on the waiver.
   - (E) Collect and report information about waiver outcomes in the State’s WIOA Annual Report.

7. **The Secretary may require that States provide the most recent data available about the outcomes of the existing waiver in cases where the State seeks renewal of a previously approved waiver.

---Waiver Requests DO NOT APPLY TO IOWA---
Title I-B Assurances

The state plan must include assurances that:

1) The state has implemented a policy to ensure adult program funds provide a priority in the delivery of career and training services to individuals who are low income, public assistance recipients or basic skills deficient;
2) The state has implemented a policy to ensure local areas have a process in place for referring veterans with significant barriers to employment to career services provided by the jvsg program’s disabled veterans’ outreach program (dvop) specialist;
3) The state established a written policy and procedure that set forth criteria to be used by chief elected officials for the appointment of local workforce investment board members;
4) The state established written policy and procedures to ensure local workforce investment boards are certified by the governor every two years in accordance with wioa section 107(c)(2);
5) Where an alternative entity takes the place of a state board, the state has written policy and procedures to ensure the alternative entity meets the definition under wioa section 101(e) and the legal requirements for membership;
6) The state established a written policy and procedure for how the individuals and entities represented on the state workforce development board help to determine the methods and factors of distribution, and how the state consults with chief elected officials in local areas throughout the state in determining the distributions;
7) The state will not use funds received under wioa title i to assist, promote, or deter union organizing in accordance with wioa section 181(b)(7);
8) The state distributes adult and youth funds received under wioa equitably throughout the state, and no local area suffers significant shifts in funding from year-to-year during the period covered by this plan;
9) If a state workforce development board, department, or agency administers state laws for vocational rehabilitation of persons with disabilities, that board, department, or agency cooperates with the agency that administers wagner-peyser services, adult and dislocated worker programs and youth programs under title i;
10) Priority of service for covered persons is provided for each of the title i programs; and
11) The state agrees to report on the impact and outcomes of its approved waivers in its wioa annual report.
12) The state has taken appropriate action to secure compliance with the uniform guidance at 2 cfr 200 and 2 cfr 2900, including that the state will annually monitor local areas to ensure compliance and otherwise take appropriate action to secure compliance with the uniform guidance under section wioa 184(a)(3);
WAGNER-PEYSER ACT PROGRAM (EMPLOYMENT SERVICES)

(a) Employment Service Professional Staff Development.

(1) Describe how the State will utilize professional development activities for Employment Service staff to ensure staff is able to provide high quality services to both jobseekers and employers.

(2) Describe strategies developed to support training and awareness across core programs and the Unemployment Insurance program and the training provided for Employment Services and WIOA staff on identification of Unemployment Insurance (UI) eligibility issues.

(b) Explain how the State will provide information and meaningful assistance to individuals requesting assistance in filing a claim for unemployment compensation through one-stop centers, as required by WIOA as a career service.

(c) Describe the State’s strategy for providing reemployment assistance to UI claimants and other unemployed individuals.

(d) Describe how the State will use W-P funds to support UI claimants, and the communication between W-P and UI, as appropriate including the following:

(1) Coordination of and provision of labor exchange services for UI claimants as required by the Wagner-Peyser Act;

(2) Registration of UI claimants with the State’s employment service if required by State law;

(3) Administration of the work test work test for the State unemployment compensation system, including making eligibility assessments (for referral to UI adjudication, if needed), and providing job finding and placement services for UI claimants; and

(4) Provision of referrals to and application assistance for training and education programs and resources.

(e) Agricultural Outreach Plan (AOP). Each State agency must develop an AOP every four years as part of the Unified or Combined State Plan required under sections 102 or 103 of WIOA. The AOP must include—

(1) Assessment of Need.

(A) Provide an assessment of the unique needs of farmworkers in the area based on past and projected agricultural and farmworker activity in the State. Such needs may include but are not limited to: employment, training, and housing.

(B) Provide an assessment of available resources for outreach and whether the State believes such resources are sufficient. If the State believes the resources are insufficient, provide a description of what would help and what the State would do with the additional resources.

(2) Outreach Activities.

(A) Describe the State agency’s proposed outreach activities including strategies on how to contact farmworkers who are not being reached by the normal intake activities conducted by the Employment Service offices.

(B) The plan for the proposed outreach activities must include:

(i) The goals for the number of farmworkers who will be contacted each program year by W-P staff.

(ii) The number of farmworkers who will be contacted each program year by other agencies under cooperative arrangements. These numerical goals
MUST BE BASED ON THE NUMBER OF FARMWORKERS ESTIMATED TO BE IN THE STATE IN THE COMING YEAR, TAKING INTO ACCOUNT THE VARYING CONCENTRATION OF FARMWORKERS DURING THE SEASONS IN EACH GEOGRAPHIC AREA, THE RANGE OF SERVICES NEEDED IN EACH AREA AND THE NUMBER OF W-P AND/OR COOPERATING AGENCY STAFF WHO WILL CONDUCT OUTREACH.9

(iii) The State’s plans to conduct outreach to as many farm workers as possible.

(iv) The number of outreach workers dedicated to outreach to farmworkers by service areas.

(3) The State’s strategy for:

(A) Coordinating outreach efforts with WIOA Title 1 Section 167 grantees as well as with public and private community service agencies and MSFW groups.

(B) Explaining to farmworkers the services available at the local one-stop centers.

(C) Marketing the employment service complaint system to farmworkers and other farmworker advocacy groups.

(D) Providing farm workers with a basic summary of farmworker rights, including their rights with respect to the terms and conditions of employment.

(E) Urging those farm workers who have been reached through the State’s outreach efforts to go to the local one-stop center to obtain the full range of employment and training services.

(4) Services provided to farmworkers and agricultural employers through the one-stop delivery system. Describe the activities planned for providing the full range of employment and training services to the agricultural community, both farmworkers and agricultural employers, through the one-stop delivery system.

(5) Other requirements.

(A) State Monitor Advocate. The plan must contain a statement that indicates that the State Monitor Advocate has been afforded the opportunity to review and approve the AOP.

(B) Review and Public Comment. The plan must provide information indicating that WIOA Section 167 National Farmworker Jobs Program (NFJP) grantees, other appropriate farmworker groups, public agencies, agricultural employer organizations and other interested employer organizations, have been given an opportunity to comment on the State AOP. Include the list of organizations from which information and suggestions were solicited, any comments received, and responses to those comments.

(C) Assessment of progress. The plan must include an explanation of what was achieved based on the previous AOP, what was not achieved and an explanation as to why the State believes the goals were not achieved, and how the State intends to remedy the gaps of achievement in the coming year.

9 The numerical goals that must be included in the agricultural outreach plan are in reference only to the proposed outreach activities and are not negotiated performance targets.
Iowa Workforce Development has an internal-earnings transfer procedure, and contracts with other national agencies in order to use quarterly wage information to measure progress for state and local performance measures. Each quarter, earnings from Iowa employers are uploaded, and the state system performs the job match by way of Social Security Number matches. Iowa also has a contract with the state of Maryland’s administration of the Federal Employment Data Exchange System (FEDES) and with U.S. Department of Labor’s Wage Record Interchange System (WRIS) and (WRIS2), which provides earnings data for out-of-state individuals who participated in Iowa’s workforce programs.

These two systems also provide information on individuals who, as a result of our services, obtain employment with the U.S. Department of Defense, U.S. Postal Service, and federal jobs, in general. All earnings data is secured through multiple, fire-walled systems, and access is granted only to individuals with a legitimate business need. By participating in the U.S. Department of Labor’s Wage Record Interchange System (WRIS/WRIS2), Iowa has a more accurate picture of the effectiveness of the workforce delivery programs, and is able to report more comprehensive outcomes against performance measures.

Current measures include the following: Adult and Dislocated Worker

- Entered Employment – to increase employment as measured by entry into unsubsidized employment – measured in Quarter 1 after exit.
- Retention in Employment – to increase retention in unsubsidized employment six months after entry into employment - measured in Quarter 3 after exit.
- Average Earnings for Six Months – to increase earnings received in unsubsidized employment - measured in Quarter 3 after exit.

WIOA performance measures (set to take effect on July 1, 2016) will be based on data regarding exiting participants from the WIA program for the previous two years (beginning July 1, 2014), unless otherwise waived by DOL.

- Employment
  - to increase employment, as measured by entry into unsubsidized employment (measured Quarter 2 after exit)
- Employment Retention
  - to increase retention in unsubsidized employment six months after entry into employment (measured Quarter 4 after exit)
- Median Earnings
  - to increase earnings received in unsubsidized employment Median is defined as the numerical value that separates the higher half from the lower half of earnings.
• Credential Rate
  o to increase credentials or diplomas obtained during or immediately after program exit. Definition: Percentage of participants who obtain a recognized post-secondary credential or diploma during participation or within 1 year after program exit.

• In-Program Skills Gain
  o to increase the skills obtained through education leading to a credential or employment during the program year. Definition: Percentage of participants in education leading to credential or employment during program year, achieving measurable gains. Measured in real-time.

• Employer Services
  o To indicate effectiveness in serving employers.

Number and definitions are pending and will be effective July 1, 2016.
Wagner-Peyser Assurances

The State Plan must include assurances that:

1) The Wagner-Peyser Employment Service is co-located with one-stop centers or a plan and timeline has been developed to comply with this requirement within a reasonable amount of time. (sec 121(e)(3));

2) The State agency is complying with the requirements under 20 CFR 653.111 (State agency staffing requirements) if the State has significant MSFW one-stop centers;

3) If a State Workforce Development Board, department, or agency administers State laws for vocational rehabilitation of persons with disabilities, that board, department, or agency cooperates with the agency that administers Wagner-Peyser services, Adult and Dislocated Worker programs and Youth Programs under Title I; and

4) State agency merit-based public employees provide Wagner-Peyser Act-funded labor exchange activities in accordance with Department of Labor regulations.
ADULT EDUCATION AND LITERACY PROGRAMS

The State Plan must include a description of the following as it pertains to Adult Education and Literacy programs under Title II, the Adult Education and Family Literacy Act (AEFLA).

(a) Aligning of Content Standards. Describe how the eligible agency will, by July 1, 2016, align its content standards for adult education with State-adopted challenging academic content standards, as adopted under Section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as amended (20 U.S.C. 6311(b)(1)).

(b) Local Activities. Describe how the State will, using the considerations specified in Section 231(e) of WIOA, fund each eligible provider to establish or operate programs that provide adult education and literacy activities, including programs that provide such activities concurrently. The Unified or Combined State Plan must include at a minimum the scope, content, and organization of local activities.

Adult Education and Literacy Activities (Section 203 of WIOA)

Adult Education;
Literacy;
Workplace Adult Education and Literacy Activities;
Family Literacy Activities;
English Language Acquisition Activities;
Integrated English Literacy and Civics Education;
Workforce Preparation Activities; or
Integrated Education and Training that—

1. Provides Adult Education and Literacy Activities, Concurrently and Contextually with both, Workforce Preparation Activities, and Workforce Training for a Specific Occupation or Occupational Cluster, and
2. Is for the Purpose of Educational and Career Advancement.

Special Rule. Each eligible agency awarding a grant or contract under this section shall not use any funds made available under this Title for Adult Education and Literacy Activities for the purpose of supporting or providing programs, services, or activities for individuals who are under the age of 16 and are enrolled or required to be enrolled in secondary school under State law, except that such agency may use such funds for such purpose if such programs, services, or activities are related to family literacy activities. In providing family literacy activities under this Title, an eligible provider shall attempt to coordinate with programs and services that are not assisted under this Title prior to using funds for Adult Education and Literacy Activities under this Title for activities other than activities for eligible individuals.

(c) Corrections Education and Other Education of Institutionalized Individuals. Describe how the State will establish and operate programs under Section 225 of WIOA for Corrections education and education of other institutionalized individuals, including how it will fund, in accordance with the requirements of Title II Subtitle C, any of the following academic programs for:

Adult Education and Literacy Activities;
SPECIAL EDUCATION, AS DETERMINED BY THE ELIGIBLE AGENCY;
SECONDARY SCHOOL CREDIT;
INTEGRATED EDUCATION AND TRAINING;
CAREER PATHWAYS;
CONCURRENT ENROLLMENT;
PEER TUTORING; AND
TRANSITION TO RE-ENTRY INITIATIVES AND OTHER POST RELEASE SERVICES WITH THE GOAL OF
REDUCING RECIDIVISM.

EACH ELIGIBLE AGENCY USING FUNDS PROVIDED UNDER PROGRAMS FOR CORRECTIONS EDUCATION AND
OTHER INSTITUTIONALIZED INDIVIDUALS TO CARRY OUT A PROGRAM FOR CRIMINAL OFFENDERS WITHIN A
CORRECTIONAL INSTITUTION MUST GIVE PRIORITY TO SERVING INDIVIDUALS WHO ARE LIKELY TO LEAVE THE
CORRECTIONAL INSTITUTION WITHIN 5 YEARS OF PARTICIPATION IN THE PROGRAM.

(D) INTEGRATED ENGLISH LITERACY AND CIVICS EDUCATION PROGRAM. DESCRIBE HOW THE STATE WILL ESTABLISH
AND OPERATE INTEGRATED ENGLISH LITERACY AND CIVICS EDUCATION PROGRAMS UNDER SECTION 243 OF
WIOA, FOR ENGLISH LANGUAGE LEARNERS WHO ARE ADULTS, INCLUDING PROFESSIONALS WITH DEGREES AND
CREDENTIALS IN THEIR NATIVE COUNTRIES.

DESCRIBE HOW THE STATE WILL FUND, IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE II, SUBTITLE C, AN
INTEGRATED ENGLISH LITERACY AND CIVICS EDUCATION PROGRAM AND HOW THE FUNDS WILL BE USED FOR THE
PROGRAM.

DESCRIBE HOW THE INTEGRATED ENGLISH LITERACY AND CIVICS EDUCATION PROGRAM WILL BE DELIVERED IN
COMBINATION WITH INTEGRATED EDUCATION AND TRAINING ACTIVITIES.

DESCRIBE HOW THE PROGRAM IS DESIGNED TO (1) PREPARE ADULTS WHO ARE ENGLISH LANGUAGE LEARNERS
FOR, AND PLACE SUCH ADULTS IN, UNSUBSIDIZED EMPLOYMENT IN IN-DEMAND INDUSTRIES AND OCCUPATIONS
THAT LEAD TO ECONOMIC SELF-SUFFICIENCY AND (2) INTEGRATE WITH THE LOCAL WORKFORCE DEVELOPMENT
SYSTEM AND ITS FUNCTIONS TO CARRY OUT THE ACTIVITIES OF THE PROGRAM.

(E) STATE LEADERSHIP. DESCRIBE HOW THE STATE WILL USE THE FUNDS TO CARRY OUT THE REQUIRED STATE
LEADERSHIP ACTIVITIES UNDER SECTION 223 OF WIOA.

DESCRIBE HOW THE STATE WILL USE THE FUNDS TO CARRY OUT PERMISSIBLE STATE LEADERSHIP ACTIVITIES
UNDER SECTION 223 OF WIOA, IF APPLICABLE.

(f) ASSESSING QUALITY. DESCRIBE HOW THE ELIGIBLE AGENCY WILL ASSESS THE QUALITY OF PROVIDERS OF ADULT
EDUCATION AND LITERACY ACTIVITIES UNDER TITLE II AND TAKE ACTIONS TO IMPROVE SUCH QUALITY, INCLUDING
PROVIDING THE ACTIVITIES DESCRIBED IN SECTION 223(A)(1)(B) OF WIOA.
ADULT BASIC EDUCATION AND LITERACY PROGRAMS CERTIFICATIONS AND ASSURANCES

States must provide written and signed certifications that:

1) The plan is submitted by the State agency that is eligible to submit the plan;
2) The State agency has authority under State law to perform the functions of the State under the program;
3) The State legally may carry out each provision of the plan;
4) All provisions of the plan are consistent with State law;
5) A State officer, specified by title in the certification, has authority under State law to receive, hold, and disburse Federal funds made available under the plan;
6) The State officer who is submitting the plan, specified by the title in the certification, has authority to submit the plan;
7) The agency that is submitting the plan has adopted or otherwise formally approved the plan; and
8) The plan is the basis for State operation and administration of the program;
9) The State Plan must include assurances that:
   • The eligible agency will expend funds appropriated to carry out title II of the Workforce Innovation and Opportunity Act (WIOA) only in a manner consistent with fiscal requirements under section 241(a) of WIOA (regarding supplement and not supplant provisions);
   • The eligible agency will ensure that there is at least one eligible provider serving each local area, as defined in section 3(32) of WIOA;
   • The eligible agency will not use any funds made available under title II of WIOA for the purpose of supporting or providing programs, services, or activities for individuals who are not “eligible individuals” within the meaning of section 203(4) of WIOA, unless it is providing programs, services or activities related to family literacy activities, as defined in section 203(9) of WIOA; and
   • Using funds made available under title II of WIOA to carry out a program for criminal offenders within a correctional institution, the eligible agency will give priority to serving individuals who are likely to leave the correctional institution within five years of participation in the program.

1. SF424B - ASSURANCES – NON-CONSTRUCTION PROGRAMS
   (HTTP://WWW2.ED.GOV/FUND/GRANT/APPLY/APPFORMS/APPFORMS.HTML)
2. GRANTS.GOV - CERTIFICATION REGARDING LOBBYING
   (HTTP://WWW2.ED.GOV/FUND/GRANT/APPLY/APPFORMS/APPFORMS.HTML)
3. SF LLL FORM – DISCLOSURE OF LOBBYING ACTIVITIES (REQUIRED, ONLY IF APPLICABLE)
   (HTTP://WWW2.ED.GOV/FUND/GRANT/APPLY/APPFORMS/APPFORMS.HTML)
VOCATIONAL REHABILITATION

---IVRS has this completed, but we were unable to get it inserted prior to posting. It will be available for review and comment in the second review round.---

The Vocational Rehabilitation (VR) Services Portion of the Unified or Combined State Plan 10 must include the following descriptions and estimates, as required by section 101(a) of the Rehabilitation Act of 1973, as amended by WIOA:

(a) **Input of State Rehabilitation Council.** All agencies, except for those that are independent consumer-controlled commissions, must describe the following:

1. Input provided by the State Rehabilitation Council, including input and recommendations on the VR services portion of the Unified or Combined State Plan, recommendations from the Council’s report, the review and analysis of consumer satisfaction, and other Council reports that may have been developed as part of the Council’s functions;
2. The Designated State unit’s response to the Council’s input and recommendations; and
3. The designated State unit’s explanations for rejecting any of the Council’s input or recommendations.

(b) **Request for Waiver of Statewideness.** When requesting a waiver of the statewideness requirement, the designated State unit must identify the types of services to be provided by the program on a non-statewide basis. The waiver request must also include written assurances that:

1. A local public agency will provide the non-Federal share of costs associated with the services to be provided in accordance with the waiver request;
2. The designated State unit will approves each proposed service before it is put into effect; and
3. Requirements of the VR services portion of the Unified or Combined State Plan will apply to the services approved under the waiver.

(c) **Cooperative Agreements with Agencies not Carrying Out Activities Under the Statewide Workforce Development System.** Describe interagency cooperation with and utilization of the services and facilities of agencies and programs that are not carrying out activities through the statewide workforce development system with respect to:

1. Federal, State, and local agencies and programs;
2. State programs carried out under section 4 of the Assistive Technology Act of 1998;
3. Programs carried out by the Under Secretary for Rural Development of the Department of Agriculture;
4. Noneducational agencies serving out-of-school youth; and
5. State use contracting programs.

(d) **Coordination with Education Officials.** Describe:

1. The designated State unit’s plans, policies, and procedures for coordination with education officials to facilitate the transition of students with disabilities from school to the receipt of VR services, including pre-employment transition services, as well as

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10 Sec. 102(b)(2)(D)(iii) of WIOA
PROCEDURES FOR THE TIMELY DEVELOPMENT AND APPROVAL OF INDIVIDUALIZED PLANS FOR EMPLOYMENT FOR THE STUDENTS.

(2) INFORMATION ON THE FORMAL INTERAGENCY AGREEMENT WITH THE STATE EDUCATIONAL AGENCY WITH RESPECT TO:

(A) CONSULTATION AND TECHNICAL ASSISTANCE TO ASSIST EDUCATIONAL AGENCIES IN PLANNING FOR THE TRANSITION OF STUDENTS WITH DISABILITIES FROM SCHOOL TO POST-SCHOOL ACTIVITIES, INCLUDING VR SERVICES;

(B) TRANSITION PLANNING BY PERSONNEL OF THE DESIGNATED STATE AGENCY AND EDUCATIONAL AGENCY THAT FACILITATES THE DEVELOPMENT AND IMPLEMENTATION OF THEIR INDIVIDUALIZED EDUCATION PROGRAMS;

(C) ROLES AND RESPONSIBILITIES, INCLUDING FINANCIAL RESPONSIBILITIES, OF EACH AGENCY, INCLUDING PROVISIONS FOR DETERMINING STATE LEAD AGENCIES AND QUALIFIED PERSONNEL RESPONSIBLE FOR TRANSITION SERVICES;

(D) PROCEDURES FOR OUTREACH TO AND IDENTIFICATION OF STUDENTS WITH DISABILITIES WHO NEED TRANSITION SERVICES.

(e) COOPERATIVE AGREEMENTS WITH PRIVATE NONPROFIT ORGANIZATIONS. DESCRIBE THE MANNER IN WHICH THE DESIGNATED STATE AGENCY ESTABLISHES COOPERATIVE AGREEMENTS WITH PRIVATE NON-PROFIT VR SERVICE PROVIDERS.

(f) ARRANGEMENTS AND COOPERATIVE AGREEMENTS FOR THE PROVISION OF SUPPORTED EMPLOYMENT SERVICES. DESCRIBE THE DESIGNATED STATE AGENCY’S EFFORTS TO IDENTIFY AND MAKE ARRANGEMENTS, INCLUDING ENTERING INTO COOPERATIVE AGREEMENTS, WITH OTHER STATE AGENCIES AND OTHER APPROPRIATE ENTITIES IN ORDER TO PROVIDE SUPPORTED EMPLOYMENT SERVICES AND EXTENDED EMPLOYMENT SERVICES, AS APPLICABLE, TO INDIVIDUALS WITH THE MOST SIGNIFICANT DISABILITIES, INCLUDING YOUTH WITH THE MOST SIGNIFICANT DISABILITIES.

(g) COORDINATION WITH EMPLOYERS. DESCRIBE HOW THE DESIGNATED STATE UNIT WILL WORK WITH EMPLOYERS TO IDENTIFY COMPETITIVE INTEGRATED EMPLOYMENT AND CAREER EXPLORATION OPPORTUNITIES IN ORDER TO FACILITATE THE PROVISION OF:

(1) VR SERVICES; AND

(2) TRANSITION SERVICES, INCLUDING PRE-EMPLOYMENT TRANSITION SERVICES, FOR STUDENTS AND YOUTH WITH DISABILITIES.

(h) INTERAGENCY COOPERATION. DESCRIBE HOW THE DESIGNATED STATE UNIT WILL COLLABORATE WITH THE STATE AGENCY RESPONSIBLE FOR ADMINISTERING EACH OF THE FOLLOWING PROGRAMS TO DEVELOP OPPORTUNITIES FOR COMPETITIVE INTEGRATED EMPLOYMENT, TO THE GREATEST EXTENT PRACTICABLE:

(1) THE STATE MEDICAID PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT;

(2) THE STATE AGENCY RESPONSIBLE FOR PROVIDING SERVICES FOR INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES; AND

(3) THE STATE AGENCY RESPONSIBLE FOR PROVIDING MENTAL HEALTH SERVICES.

(i) COMPREHENSIVE SYSTEM OF PERSONNEL DEVELOPMENT; DATA SYSTEM ON PERSONNEL AND PERSONNEL DEVELOPMENT. DESCRIBE THE DESIGNATED STATE AGENCY’S PROCEDURES AND ACTIVITIES TO ESTABLISH AND MAINTAIN A COMPREHENSIVE SYSTEM OF PERSONNEL DEVELOPMENT DESIGNED TO ENSURE AN ADEQUATE SUPPLY OF QUALIFIED STATE REHABILITATION PROFESSIONAL AND PARAPROFESSIONAL PERSONNEL FOR THE DESIGNATED STATE UNIT, INCLUDING THE FOLLOWING:

(1) DATA SYSTEM ON PERSONNEL AND PERSONNEL DEVELOPMENT
(A) **Qualified Personnel Needs.** Describe the development and maintenance of a system for collecting and analyzing on an annual basis data on qualified personnel needs with respect to:

(i) the number of personnel who are employed by the State agency in the provision of VR services in relation to the number of individuals served, broken down by personnel category;

(ii) the number of personnel currently needed by the State agency to provide VR services, broken down by personnel category; and

(iii) projections of the number of personnel, broken down by personnel category, who will be needed by the State agency to provide VR services in 5 years based on projections of the number of individuals to be served, including individuals with significant disabilities, the number of personnel expected to retire or leave the field, and other relevant factors.

(B) **Personnel Development.** Describe the development and maintenance of a system for collecting and analyzing on an annual basis data on personnel development with respect to:

(i) a list of the institutions of higher education in the State that are preparing VR professionals, by type of program;

(ii) the number of students enrolled at each of those institutions, broken down by type of program; and

(iii) the number of students who graduated during the prior year from each of those institutions with certification or licensure, or with the credentials for certification or licensure, broken down by the personnel category for which they have received, or have the credentials to receive, certification or licensure.

(2) **Plan for Recruitment, Preparation and Retention of Qualified Personnel.** Describe the development and implementation of a plan to address the current and projected needs for qualified personnel including, the coordination and facilitation of efforts between the designated State unit and institutions of higher education and professional associations to recruit, prepare, and retain personnel who are qualified, including personnel from minority backgrounds and personnel who are individuals with disabilities.

(3) **Personnel Standards.** Describe the State agency's policies and procedures for the establishment and maintenance of personnel standards consistent with Section 101(A)(7)(B) and to ensure that designated State unit professional and paraprofessional personnel are adequately trained and prepared, including:

(A) standards that are consistent with any national or State-approved or -recognized certification, licensing, registration, or other comparable requirements that apply to the profession or discipline in which such personnel are providing VR services; and

(B) the establishment and maintenance of education and experience requirements, to ensure that the personnel have a 21st century understanding of the evolving labor force and the needs of individuals with disabilities.
(4) **Staff Development.** Describe the state agency’s policies, procedures, and activities to ensure that, consistent with Section 101(a)(7)(C) of the Rehabilitation Act, all personnel employed by the designated state unit receive appropriate and adequate training in terms of:

(A) A system of staff development for professionals and paraprofessionals within the designated state unit, particularly with respect to assessment, vocational counseling, job placement, and rehabilitation technology, including training implemented in coordination with entities carrying out state programs under Section 4 of the Assistive Technology Act of 1998; and

(B) Procedures for the acquisition and dissemination of significant knowledge from research and other sources to designated state unit professionals and paraprofessionals.

(5) **Personnel to Address Individual Communication Needs.** Describe how the designated state unit has personnel or obtains the services of other individuals who are able to communicate in appropriate modes of communication with or in the native language of applicants or eligible individuals who have limited English speaking ability.

(6) **Coordination of Personnel Development Under the Individuals with Disabilities Education Act.** As appropriate, describe the procedures and activities to coordinate the designated state unit’s comprehensive system of personnel development with personnel development under the Individuals with Disabilities Education Act.

(j) **Statewide Assessment.**

(1) Provide an assessment of the rehabilitation needs of individuals with disabilities residing within the state, particularly the VR services needs of those:

(A) With the most significant disabilities, including their need for supported employment services;

(B) Who are minorities;

(C) Who have been underserved or underserved by the VR program;

(D) Who have been served through other components of the statewide workforce development system; and

(E) Who are youth with disabilities and students with disabilities, including, as appropriate, their need for pre-employment transition services or other transition services.

(2) Identify the need to establish, develop, or improve community rehabilitation programs within the state; and

(3) Include an assessment of the needs of individuals with disabilities for transition career services and pre-employment transition services, and the extent to which such services are coordinated with transition services provided under the Individuals with Disabilities Education Act.

(k) **Annual Estimates.** Describe:

(1) The number of individuals in the state who are eligible for services.

(2) The number of eligible individuals who will receive services under:

(A) The VR Program;

(B) The Supported Employment Program; and

(C) Each priority category, if under an order of selection.
(3) The number of individuals who are eligible for VR services, but are not receiving such services due to an order of selection; and

(4) The cost of services for the number of individuals estimated to be eligible for services. If under an order of selection, identify the cost of services for each priority category.

(l) State Goals and Priorities. The designated State unit must:

(1) Identify if the goals and priorities were jointly developed and agreed to by the State VR agency and the State Rehabilitation Council, if the State has a Council, and jointly agreed to any revisions.

(2) Identify the goals and priorities in carrying out the VR and Supported Employment Programs.

(3) Ensure that the goals and priorities are based on an analysis of the following areas:
   (A) The most recent comprehensive statewide assessment, including any updates;
   (B) The State’s performance under the performance accountability measures of section 116 of WIOA; and
   (C) Other available information on the operation and effectiveness of the VR program, including any reports received from the State Rehabilitation Council and findings and recommendations from monitoring activities conducted under section 107.

(m) Order of Selection. Describe:

(1) The order to be followed in selecting eligible individuals to be provided VR services.

(2) The justification for the order.

(3) The service and outcome goals.

(4) The time within which these goals may be achieved for individuals in each priority category within the order.

(5) How individuals with the most significant disabilities are selected for services before all other individuals with disabilities; and

(6) If the designated State unit has elected to serve eligible individuals, regardless of any established order of selection, who require specific services or equipment to maintain employment.

(n) Goals and Plans for Distribution of Title VI Funds.

(1) Specify the State’s goals and priorities for funds received under section 603 of the Rehabilitation Act for the provision of supported employment services.

(2) Describe the activities to be conducted, with funds reserved pursuant to section 603(d), for youth with the most significant disabilities, including:
   (A) The provision of extended services for a period not to exceed 4 years; and
   (B) How the State will leverage other public and private funds to increase resources for extended services and expanded supported employment opportunities for youth with the most significant disabilities.

(o) State’s Strategies. Describe the required strategies and how the agency will use these strategies to achieve its goals and priorities, support innovation and expansion activities, and overcome any barriers to accessing the VR and the Supported Employment Programs (See sections 101(a)(15)(D) and (18)(B) of the Rehabilitation Act and section 427 of the General Education Provisions Act (GEPA)):

(1) The methods to be used to expand and improve services to individuals with disabilities.
(2) How a broad range of assistive technology services and devices will be provided to individuals with disabilities at each stage of the rehabilitation process and on a statewide basis.

(3) The outreach procedures that will be used to identify and serve individuals with disabilities who are minorities, including those with the most significant disabilities, as well as those who have been underserved or underserved by the VR program.

(4) The methods to be used to improve and expand VR services for students with disabilities, including the coordination of services designed to facilitate the transition of such students from school to postsecondary life (including the receipt of VR services, postsecondary education, employment, and pre-employment transition services).

(5) If applicable, plans for establishing, developing, or improving community rehabilitation programs within the state.

(6) Strategies to improve the performance of the state with respect to the performance accountability measures under section 116 of WIOA.

(7) Strategies for assisting other components of the statewide workforce development system in assisting individuals with disabilities.

(8) How the agency's strategies will be used to:
   (A) achieve goals and priorities by the state, consistent with the comprehensive needs assessment;
   (B) support innovation and expansion activities; and
   (C) overcome identified barriers relating to equitable access to and participation of individuals with disabilities in the State VR Services Program and the State Supported Employment Services Program.

(p) Evaluation and Reports of Progress: VR and Supported Employment Goals. Describe:

(1) An evaluation of the extent to which the VR program goals described in the approved VR services portion of the Unified or Combined State Plan for the most recently completed program year were achieved. The evaluation must:
   (A) identify the strategies that contributed to the achievement of the goals.
   (B) describe the factors that impeded the achievement of the goals and priorities.

(2) An evaluation of the extent to which the Supported Employment program goals described in the Supported Employment Supplement for the most recent program year were achieved. The evaluation must:
   (A) identify the strategies that contributed to the achievement of the goals.
   (B) describe the factors that impeded the achievement of the goals and priorities.

(3) The VR program’s performance on the performance accountability indicators under section 116 of WIOA.

(4) How the funds reserved for innovation and expansion (I&E) activities were utilized.

(q) Quality, Scope, and Extent of Supported Employment Services. Include the following:

(1) The quality, scope, and extent of supported employment services to be provided to individuals with the most significant disabilities, including youth with the most significant disabilities.

(2) The timing of transition to extended services.
All individuals with disabilities are able to access services through the workforce development partners. 80% of the individuals with disabilities can be successful accessing the programs and services provided by Iowa Workforce Development with no specialized service needed because the staffs have received basic training and etiquette in working with individuals with disabilities. IVRS can provide technical assistance to those partners so that they can be effective in serving individuals with disabilities and making sure their programs and services are accessible. 20% of the job seekers may require more intensive services due to disability related needs. These individuals are referred to and recruited by Iowa Vocational Rehabilitation Services. Iowa Vocational Rehabilitation Services will provide extension of the workshops offered by IWD for all individuals with disabilities by infusing information on how to request an accommodation, how to manage the disability at work, etc. Only those individuals who require more intensive job support and service to become employed will be recruited into the IVRS caseload. All other individuals with disabilities will be served by the One-Stop center, with technical consultation provided by IVRS staff to enhance success of accessibility to the system.

Throughout the State of Iowa IVRS is co-located in most locations. In the Davenport Area Office, IVRS is not co-located because the One-Stop needed the space that IVRS housed for the Promise Jobs staff and so IVRS was asked to move out of the center. The management of both IWD and IVRS are working collaboratively on creating a solid partnership that will advance the vision of the integration model described above. In Dubuque IVRS has never been co-located but IVRS is exploring how to connect with the center so that workshops and programs can be accessible and recruit individuals who need and require IVRS services. IVRS has a number of branch offices and IWD has agreed that staff can travel to the IVRS office to provide workshops and other related type programs. In Ames, for example, IVRS has an office and the Regional Manager for this area inquired about having IWD staff use space in the IVRS Ames office to provide workshops and connect with IVRS staff. IVRS will make available to IWD this space as we have requested additional square footage to make sure we can accommodate the IWD partnership.

Integration in the One Stop programmatically allows individuals with disabilities to access all programs, workshops, and services with IWD staff trained by IVRS on: how to work with individuals with disabilities; when to involve technical assistance from IVRS to ensure accessibility; when to consider a reasonable accommodation and the legal implications of the ADA; what factors would identify a need to refer the job candidate with a disability to IVRS; what technology, data elements and referral processes will allow both agencies to exchange information without duplicating data input; what supports are needed by individuals with disabilities to access programs and services through IWD; how might staff work collectively to achieve outcomes for all individuals with disabilities. Integration in the One-Stop may include joint staff meetings, may require technical assistance from IVRS on how job candidates with disabilities participate in video modeling and other services. The focus of integration is how to support the job seeker to achieve employment with available services and supports from the entire One-Stop team; and involvement in IVRS services only when necessary and essential to the job seeker’s success.
How Various Programs Work In Concert To Support The Job Seeker And The Employer

As the job seeker walks into the One-Stop center the IWD staff greet the individual and learn about the needs and desires for employment. Together the IWD staff, Promise Job staff, IVRS staff, and the Adult Education and Literacy representatives evaluate and create a plan with the job seeker to train for and obtain competitive integrated employment. If the job seeker does not have a disability, IVRS would not be involved. But, those individuals with disabilities would access all the services and programs available through the IWD programming with IVRS providing consultation and technical assistance on how to be successful. This “wrap around service” concept ensures that the barriers to employment are addressed by the system instead of the individual and creates a more seamless system of support. Staff work together identifying what services and resources are available to the individual as an IWD participant. When the job candidate is recruited into the IVRS caseload, IVRS becomes the primary manager of the case working in concert with the other programs identifying accommodations and supports that all partners provide for access, while IVRS funds those individualized services and support needs based on the Individual Plan for Employment (IPE) developed with the eligible IVRS job seeker. IVRS and IWD staffs create a braided service that no longer “hands off” the individual between programs but they work together to achieve common outcomes. IVRS connects with Career Pathway trainings, NCRC, Adult Education and Literacy by referring the individual to the appropriate program consistent with the IVRS job seeker’s job goal. IVRS provides technical assistance to those programs on specific accommodation needs when applicable. IVRS coordinates the IPE with the other partner’s plans for employment creating a “short term” to “long term” employment plan that creates opportunities for self-sufficiency and middle skills development of the individual.

While IVRS provides training to the One-Stop center staff on disability and disability etiquette, the One-Stop center staff provides training to IVRS staff on the available services so that IVRS job candidates’ access all that is available to enhance their marketability for the business community. The lack of knowledge and training on programs, services and supports as well as how the systems can work together currently prevents the systems from working effectively. This training and on-going service development is essential to a fully integrated system. Therefore if the One-Stops create a “Resource Team” that acts similar to a Sector Board for business, but only this team is the resource for the One-Stop partners the system could be developed such that there are points of contact within the system that act as the intermediary to coordinate for special circumstances and needs.

Providing an integrated service system for employers can be accomplished similar to following the model developed by the Workforce Partnership in Burlington. This model ties in the community college, the special services and supports of IVRS, adult education, TANF, Career Pathways, etc. It provides industry the resources and supports they need and want, without involving extra unnecessary contacts and services not required. It provides a streamlined system for recruitment and retention assistance to meet their skill and labor shortage needs. In rural areas where there isn’t a workforce office, IVRS can take the lead in developing the system and involve and invite workforce to travel out when those meetings with business occur so that Workforce Development uses their resources wisely and avoids unnecessary travel and
duplication. The Community Conversations has already started to develop this system with IVRS being the point of contact in communities where IWD is not located and the businesses are advising VR of what they want and VR is involving the appropriate partners to the table.

Creating Connections for Seamless Integration: Continuum of Service

Job Seeker: currently IWD and IVRS both have their own workshops on JSST and other related work readiness skill development. Through the Community Conversations we have learned that the workshops are not meeting the business needs as they are screening job candidates out. Together IWD and IVRS are revamping their job seeking skills workshops and system to meet the needs of business. In this process IVRS will provide the more specialized skill development training in the class for those individuals with disabilities. For those individuals with disabilities who require more intensive services and cannot learn the skill in the full group setting, IVRS will recruit those individuals into the caseload and provide more one-on-one instruction and assistance. Content areas that IVRS will teach to the full group of job seekers with disabilities include: rights under the ADA; requesting accommodations; hidden job market; self-advocacy; FMLA; EEOC; work skills development and modifications; understanding disability and support needs; disability resources. The more intensive services of benefits planning, job coaching, transportation, sustainability of employment, etc., will be provided via the intensive service model through IVRS. Individuals who can start their own business through the resources and supports available in the community and IWD services will receive the consultation services through IVRS but the specific services with be provided via the community supports. Individuals requiring intensive technical and financial assistance of IVRS will be recruited into VR services.

Through the IWD/VR Pilot we are learning about common data points, common referral processes, common application systems, and how to work together to inform the system as a whole. Involving VR staff on RWIBs as voting members encourages and supports the development of a system that works for all job seekers. IVRS is working with the DE on Career Pathway discussions that have been generated by business and Community College contacting IVRS to develop specialized programming. IVRS has reached out to the Career Pathway staff at the DE so that the programs and trainings connect and create a stackable training system.

Barriers still exist in some areas of the state where individuals with disabilities are allowed to take the NCRC but have not been given their ranking because they took the examination with an appropriate accommodation. This demonstrates a need for technical assistance to educate the partner on how to provide an accommodation that does not hamper the validity of the results. Discussion needs to occur with Adult Literacy concerning the same issues and the development of an agreed upon approach to providing and supporting reasonable accommodations in the academic and training systems.
VOCATIONAL REHABILITATION CERTIFICATIONS AND ASSURANCES CERTIFICATIONS

States must provide written and signed certifications that:

1) The (enter the name of designated State agency or designated State unit, as appropriate,) is authorized to submit the VR services portion of the Unified or Combined State Plan under title I of the Rehabilitation Act of 1973 (Rehabilitation Act), as amended by WIOA, and its supplement under title VI of the Rehabilitation Act;

2) As a condition for the receipt of Federal funds under title I of the Rehabilitation Act for the provision of VR services, the (enter the name of designated State agency) agrees to operate and administer the State VR Services Program in accordance with the VR services portion of the Unified or Combined State Plan, the Rehabilitation Act, and all applicable regulations, policies, and procedures established by the Secretary of Education. Funds made available under section 111 of the Rehabilitation Act are used solely for the provision of VR services and the administration of the VR services portion of the Unified or Combined State Plan;

3) As a condition for the receipt of Federal funds under title VI of the Rehabilitation Act for supported employment services, the designated State agency agrees to operate and administer the State Supported Employment Services Program in accordance with the supplement to the VR services portion of the Unified or Combined State Plan, the Rehabilitation Act, and all applicable regulations, policies, and procedures established by the Secretary of Education. Funds made available under title VI are used solely for the provision of supported employment services and the administration of the supplement to the VR services portion of the Unified or Combined State Plan;

4) The designated State agency and/or the designated State unit has the authority under State law to perform the functions of the State regarding the VR services portion of the Unified or Combined State Plan and its supplement;

5) The State legally may carry out each provision of the VR services portion of the Unified or Combined State Plan and its supplement.

6) All provisions of the VR services portion of the Unified or Combined State Plan and its supplement are consistent with State law.

7) The (enter title of State officer below) has the authority under State law to receive, hold, and disburse Federal funds made available under the VR services portion of the Unified or Combined State Plan and its supplement;

8) The (enter title of State officer below) has the authority to submit the VR services portion of the Unified or Combined State Plan and the supplement for Supported Employment services;

9) The agency that submits the VR services portion of the Unified or Combined State Plan and its supplement has adopted or otherwise formally approved the plan and its supplement.
ASSURANCES

The designated State agency or designated State unit, as appropriate and identified in the State certifications included with this VR services portion of the Unified or Combined State Plan and its supplement, through signature of the authorized individual, assures the Commissioner of the Rehabilitation Services Administration (RSA), that it will comply with all of the requirements of the VR services portion of the Unified or Combined State Plan and its supplement, as set forth in sections 101(a) and 606 of the Rehabilitation Act. The individual authorized to submit the VR services portion of the Unified or Combined State Plan and its supplement makes the following assurances:

The State Plan must provide assurances that:

1) Public Comment on Policies and Procedures: The designated State agency assures it will comply with all statutory and regulatory requirements for public participation in the VR Services Portion of the Unified or Combined State Plan, as required by section 101(a)(16)(A) of the Rehabilitation Act.

2) Submission of the VR services portion of the Unified or Combined State Plan and Its Supplement: The designated State unit assures it will comply with all requirements pertaining to the submission and revisions of the VR services portion of the Unified or Combined State Plan and its supplement for the State Supported Employment Services program, as required by sections 101(a)(1), (22), (23), and 606(a) of the Rehabilitation Act; section 102 of WIOA in the case of the submission of a unified plan; section 103 of WIOA in the case of a submission of a Combined State Plan; 34 CFR 76.140.

3) Administration of the VR services portion of the Unified or Combined State Plan: The designated State agency or designated State unit, as appropriate, assures it will comply with the requirements related to:
   a) the establishment of the designated State agency and designated State unit, as required by section 101(a)(2) of the Rehabilitation Act.
   b) the establishment of either a State independent commission or State Rehabilitation Council, as required by section 101(a)(21) of the Rehabilitation Act. The designated State agency or designated State unit, as applicable (Option A or B must be selected):
      i) is an independent State commission
      ii) has established a State Rehabilitation Council
   c) consultations regarding the administration of the VR services portion of the Unified or Combined State Plan, in accordance with section 101(a)(16)(B) of the Rehabilitation Act. the non-Federal share, as described in 34 CFR 361.60.
   d) the local administration of the VR services portion of the Unified or Combined State Plan, in accordance with section 101(a)(2)(A) of the Rehabilitation Act. Select yes or no, as appropriate, to identify if the designated State agency allows for the local administration of VR funds, (Yes/No)
   e) the shared funding and administration of joint programs, in accordance with section 101(a)(2)(A)(ii) of the Rehabilitation Act. Select yes or no, as appropriate, to identify if the designated State agency allows for the shared funding and administration of joint programs, (Yes/No)
f) statewideness and waivers of statewideness requirements, as set forth in section 101(a)(4) of the Rehabilitation Act. Is the designated State agency is requesting or maintaining a waiver of statewideness for one or more services provided under the VR services portion of the Unified or Combined State Plan? (Yes/No) See Section 2 of this VR services portion of the Unified or Combined State Plan.

g) the descriptions for cooperation, collaboration, and coordination, as required by sections 101(a)(11), (24)(B), and 606(b) of the Rehabilitation Act.

h) all required methods of administration, as required by section 101(a)(6) of the Rehabilitation Act.

i) the requirements for the comprehensive system of personnel development, as set forth in section 101(a)(7) of the Rehabilitation Act.

j) the compilation and submission to the Commissioner of statewide assessments, estimates, State goals and priorities, strategies, and progress reports, as appropriate, and as required by sections 101(a)(15), 105(c)(2), and 606(b)(8) of the Rehabilitation Act.

k) the reservation and use of a portion of the funds allotted to the State under section 110 of the Rehabilitation Act for the development and implementation of innovative approaches to expand and improve the provision of VR services to individuals with disabilities, particularly individuals with the most significant disabilities.

l) the submission of reports as required by section 101(a)(10) of the Rehabilitation Act.

4) Administration of the Provision of VR Services: The designated State agency, or designated State unit, as appropriate, assures that it will:

a) comply with all requirements regarding information and referral services in accordance with sections 101(a)(5)(D) and (20) of the Rehabilitation Act.

b) impose no duration of residence requirement as part of determining an individual's eligibility for VR services or that excludes from services under the plan any individual who is present in the State in accordance with section 101(a)(12) of the Rehabilitation Act.

c) provide the full range of services listed in section 103(a) of the Rehabilitation Act as appropriate, to all eligible individuals with disabilities in the State who apply for services in accordance with section 101(a)(5) of the Rehabilitation Act? (Yes/No)

d) comply with all required available comparable services and benefits, determined to be available to the individual in accordance with section 101(a)(8) of the Rehabilitation Act and

e) comply with the requirements for the development of an individualized plan for employment in accordance with section 102(b) of the Rehabilitation Act.

f) comply with requirements regarding the provisions of informed choice for all applicants and eligible individuals in accordance with section 102(d) of the Rehabilitation Act.

g) provide vocational rehabilitation services to American Indians who are individuals with disabilities residing in the State, in accordance with section 101(a)(13) of the Rehabilitation Act.

h) comply with the requirements for the conduct of semiannual or annual review, as appropriate, for individuals employed either in an extended employment setting in a community rehabilitation program or any other employment under section 14(c) of the Fair Labor Standards Act, as required by section 101(a)(14) of the Rehabilitation Act.
meet the requirements in sections 101(a)(17) and 103(b)(2) of the Rehabilitation Act if the State elects to construct, under special circumstances, facilities for community rehabilitation programs.

5) Program Administration for the Supported Employment Title VI Supplement:
   a) The designated State unit assures that it will include in the VR services portion of the Unified or Combined State Plan all information required by section 606 of the Rehabilitation Act.
   b) The designated State agency assures that it will submit reports in such form and in accordance with such procedures as the Commissioner may require and collects the information required by section 101(a)(10) of the Rehabilitation Act separately for individuals receiving supported employment services under title I and individuals receiving supported employment services under title VI of the Rehabilitation Act.

6) (a) Financial Administration: The designated State agency assures that it will expend no more than 2.5 percent of the State's allotment under title VI for administrative costs of carrying out this program; and, the designated State agency or agencies will provide, directly or indirectly through public or private entities, non-Federal contributions in an amount that is not less than 10 percent of the costs of carrying out supported employment services provided to youth with the most significant disabilities with the funds reserved for such purpose under section 603(d) of the Rehabilitation Act, in accordance with section 606(b)(7)(G) and (H) of the Rehabilitation Act.

   (b) The designated State agency assures that it will use funds made available under title VI of the Rehabilitation Act only to provide supported employment services to individuals with the most significant disabilities, including extended services to youth with the most significant disabilities, who are eligible to receive such services; and, that such funds are used only to supplement and not supplant the funds provided under Title I of the Rehabilitation Act, when providing supported employment services specified in the individualized plan for employment, in accordance with section 606(b)(7)(A) and (D), of the Rehabilitation Act.

7) (a) Provision of Supported Employment Services: The designated State agency assures that it will provide supported employment services as defined in section 7(39) of the Rehabilitation Act.

   (b) The designated State agency assures that:

   i. the comprehensive assessment of individuals with significant disabilities conducted under section 102(b)(1) of the Rehabilitation Act and funded under title I of the Rehabilitation Act includes consideration of supported employment as an appropriate employment outcome, in accordance with the requirements of section 606(b)(7)(B) of the Rehabilitation Act.
   ii. an individualized plan for employment that meets the requirements of section 102(b) of the Rehabilitation Act, which is developed and updated with title I funds, in accordance with sections 102(b)(3)(F) and 606(b)(6)(C) and (E) of the Rehabilitation Act.
Appendix 1: Performance Goals for the Core Programs

INCLUDE THE STATE’S EXPECTED LEVELS OF PERFORMANCE RELATING TO THE PERFORMANCE ACCOUNTABILITY MEASURES BASED ON PRIMARY INDICATORS OF PERFORMANCE DESCRIBED IN SECTION 116(b)(2)(A) OF WIOA.
Attachment A: Battelle Report

Iowa’s Re-Envisioned Economic Development Roadmap
Prepared by: Battelle Technology Partnership Practice
December 2014
http://www.iowaeconomicdevelopment.com/Resources/battelle
Attachment B: Needs Assessment

Statewide Workforce Needs Assessment, 2014
Prepared by: Iowa Workforce Development Labor Market and Information Division
June 2015
https://www.iowaworkforcedevelopment.gov/labor-market-information-division
Attachment C: Iowa’s Workforce and the Economy

Iowa’s Workforce and the Economy, 2015
http://iwin.iwd.state.ia.us/pubs/pubs/iowaworkforceandtheeconomy.pdf
Attachment D: GAO Community College Engagement

*Community Colleges and One-Stop Centers Collaborate to Meet 21st Century Workforce Needs, 2008*

To view the full product, go to: [http://www.gao.gov/products/GAO-08-547](http://www.gao.gov/products/GAO-08-547)

For more information, contact George A. Scott at (202) 512-7215 or scottg@gao.gov.
Attachment D: Section 188 Guidance

The Office of Disability Employment Policy (ODEP)
LEAD Technical Assistance Center at the National Disability Institute
Civil Rights Center
Employment and Training Administration at the US Department of Labor